# Characterization of High Entropy Alloys for Magnetocaloric Applications

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> in Materials Science and Engineering

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# Contents

$\mathbf{C}$	onter	nts		ii	
	Abs	tract		vi	
	Acknowledgments				
Li	st of	Figur	es	x	
$\mathbf{Li}$	st of	Table	S	xv	
1	Intr	oduct	ion	1	
	1.1	Struct	sures of Metals	3	
		1.1.1	Crystal Structures	3	
		1.1.2	Phases and Phase Diagrams	4	
		1.1.3	Electronic Configurations of Transition Metals	8	
	1.2	Soft N	Iagnetic Materials	9	
		1.2.1	Ferromagnetism	9	
		1.2.2	Exchange Interactions	13	
	1.3	Magn	etic Refrigeration	16	
		1.3.1	The Magnetocaloric Effect	16	
		1.3.2	Applications of Magnetocaloric Cooling	24	
		1.3.3	Current Magnetocaloric Materials of Interest	30	

	1.4	High l	Entropy Alloys	33
		1.4.1	Structure and Physics	33
		1.4.2	Previous Study and Applications	36
	1.5	Hypot	hesis	37
<b>2</b>	Exp	erime	ntal Techniques and Sample Preparation	40
	2.1	Sampl	e Production	40
		2.1.1	Arc Melting and Melt Spinning	40
		2.1.2	Thin Film Sputtering	41
		2.1.3	Compositional Analysis	43
	2.2	Struct	ural Characterization	43
		2.2.1	X-Ray Diffraction	43
		2.2.2	Scanning Electron Microscopy and Electron Dispersive Spectroscopy .	46
		2.2.3	Differential Scanning Calorimetry	47
	2.3	Magne	etic Characterization	47
		2.3.1	Vibrating Sample Magnetometry	47
		2.3.2	Mössbauer Spectroscopy	50
		2.3.3	Magneto-Optical Kerr Effect	53
	2.4	Applie	ed Pressure Experiments	54
		2.4.1	High Pressure XRD	54
		2.4.2	High Pressure Magnetometry	55
3	Dev	velopin	g Magnetocaloric HEAs in the FeCoNiCu $X$ System	57
	3.1	Basis	of Alloy Development and Curie Temperature Engineering	57
	3.2	Struct	ural Characterization	61
	3.3	Magne	etic Characterization	63
	3.4	Summ	ary	65

<b>4</b>	Ana	alysis of Compositional Variation In FeCoNiCuMn System	67	
	4.1	Psuedo-Binary Compositional Variations in System		
	4.2	Magnetic Analysis through Mössbauer Spectroscopy	72	
		4.2.1 Qualitative Observation of Exchange Interactions	72	
		4.2.2 Quantitative Analysis of Hyperfine Field Distributions	73	
	4.3	Summary	79	
<b>5</b>	The	e Effects of Pressure on the FeCoNiCuMn System	80	
	5.1	High Pressure X-Ray Diffraction	80	
	5.2	High Pressure Magnetization Measurements	83	
	5.3	Analysis of Pressure Effects on D-Orbital Spatial Extent	86	
	5.4	Summary	91	
6	Ana	alysis of Low Temperature Magnetic Transition in FeCoNiCuMn Sys-		
	$\operatorname{tem}$		94	
	6.1	Magnetic Domain Formation	95	
	6.2	Antiferromagnetic Exchange	97	
	6.3	Summary	99	
7	Cor	clusions and Future Work 10	00	
Bi	Bibliography 104			
$\mathbf{A}$	ppen	dix A Sample Synthesis 11	18	
	A.1	ICP-AES Data	18	
	A.2	Thin Film Development	19	
		A.2.1 Compositional Analysis	19	
		A.2.2 Crystallographic Characterization	21	
		A.2.3 Magnetic Characterization	22	
		A.2.4 Conclusion	23	

Appendix B R	elevant Phase Diagrams	126
Appendix C M	Iossbauer Raw Data	130
C.1 Raw Dat	a Files	130

## Abstract

Magnetocaloric refrigeration offers more energy efficiency than conventional gas compression refrigeration by up to 20%, and has the additional advantage of being environmentally friendly as it does not require the use of ozone depleting gases. The primary challenge in developing magnetocaloric refrigerators for commercial use is in developing suitable materials with large room temperature magnetocaloric effects. Critical rare earths metals (REs) and compounds have been studied because of their large magnetocaloric response and working temperatures close to room temperature. However, the scarcity, high price and corrosion of REs limit their commercial use, leading to the investigation of more sustainable transition metal-based alloys. I explore the possibility of developing high performance high entropy alloys for magnetocaloric applications, and my approach to this problem follows on the materials paradigm: 1) synthesis, 2) structure, 3) properties, and 4) performance. Synthesis requires determining the best conditions for producing the alloys in the proper form, and this is important both for the initial production of my bulk alloys through rapid solidification. The structure of high entropy alloys is necessarily a random distribution of atoms, and an investigation of the homogeneity of this condition through electron dispersive spectroscopy is vital for determining the stability of the alloy. I investigate the magnetic and thermal properties of these alloys both to assess their fitness for specific magnetocaloric applications, and also to better understand the relationship between their structure and properties. Mössbauer spectroscopy experiments for magnetic data was performed in collaboration with Monica Sorescu at Duquesne University. I extend this exploration through high pressure and low temperature studies to to develop a fundamental understanding of the magnetic interactions in these alloys, including a novel approach to visualizing exchange using the Bethe-Slater curve that explore the importance of considerations of the d-orbital extent on changes in exchange. High pressure magnetic measurements were performed in collaboration with Scott McCall at Lawrence Livermore National Lab. I began this study with a focus on performance in commercial refrigeration applications, but the complex magnetic and structural attributes of these materials require rigorous study for a better understanding of magnetic high entropy alloys, and this work contributes to this relatively unexplored but growing field.

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# List of Figures

1.1	(a) A rendering of a body-centered cubic (BCC) crystal structure. (b) A	
	rendering of a face-centered cubic (FCC) crystal structure	4
1.2	Left: Gibbs energy of different phases in a hypothetical system at pressure P.	
	Bold portions of lines indicate that it is the energetically favorable phase. Right:	
	Pressure vs Temperature phase diagram for hypothetical phase; orange dotted line	
	corresponds to pressure P of Gibbs energy plot.	5
1.3	Top: Gibbs energy of different phases in a hypothetical binary composition system	
	at temperature T. Dotted lines indicate lowest energy for two phases, a and b. Bot-	
	tom:Composition vs temperature phase diagram showing two phase region; orange	
	dotted line corresponds to temperature T of Gibbs energy plot. $\ldots$	6
1.4	Left: Gibbs free energy vs. order parameter of a first order transition. Right: Gibbs	
	free energy vs. order parameter of a higher order transition	8
1.5	The five types of d-orbital shapes. The direction of the lobes will depend on the	
	crystal structure and electronic environment of the atoms	9
1.6	Orientation of magnetic moments: (A) paramagnetic, (B) ferromagnetic, and (C)	
	antiferromagnetic.	11
1.7	Magnetization vs. temperature behavior for higher order vs. first order magneto-	
	structural phase transitions.	12

1	.8	The Slater-Pauling curve, showing the relationship between number of electrons	
		and magnetization. Reprinted with permission from J.C. Slater, Physical Review	
		49, pg. 931, 1936. Copyright 2019 by the American Physical Society. $[1]$ $\ldots$ $\ldots$	13
1	.9	A B-H loop for a ferromagnetic material. [2]	14
1	.10	The Bethe-Slater curve, demonstrating the relationship between atomic spacing	
		normalized by d-orbital extent and exchange energy	17
1	.11	The magnetocaloric effect in a full refrigeration cycle, compared with a conventional	
		vapor refrigeration cycle.	18
1	.12	Isothermal magnetization versus field measurements spanning the working temper-	
		ature range (230K to 370K in this plot) of a magnetocaloric material, which can be	
		used to calculate the total change in magnetic entropy.	20
1	.13	Calculated change in magnetic entropy vs. temperature from the measured isotherms,	
		which is equal to the change in thermal entropy	20
1	.14	Various methods of calculating refrigeration capacity for a given $\Delta S$ curve. [3]	22
1	.15	Different thermodynamic cycles possible for magnetocaloric refrigeration systems. [4]	25
1	.16	A Halbach cylinder with labelled design parameters.	27
1	.17	Self pumping magnetic cooling system [5]	29
1	.18	2012 compilation of classes of MCE materials considering $\mathbf{T}_c$ and $\Delta \mathbf{T}$ achieved for	
		each. [6]	32
1	.19	Rendering of a 5-component high entropy alloy. [7]	35
2	1	Diagram of the arc melting chamber. Allows are heated and mixed with the plasma	
	• 1	arc several times to ensure homogeneity. Adapted from [8]	41
2	2	Diagram of the melt spinning process. Allows are rapidly guenched in the ejection	TI
2	. 2	stago [0]	49
9	2	Diagram of the experimental setup of a new diffusction demonstrating the frame of	42
2	.ე	reference for the angle of diffraction [10]	15
0	4		40
2	.4	Arrott Noakes plot for an $Fe_{75}Pt_{25}$ alloy with $T_c$ between 403K and 413K. [11] .	49

2.5	A magnetization versus temperature curve with the derivative curve, $\mathrm{d}\mathrm{M}/\mathrm{d}\mathrm{T}$ over-	
	laid to show the calculated inflection point. The slope at the inflection point is	
	extended down until it intersects with the <b>x</b> axis in order to calculate $\mathbf{T}_c.$	50
2.6	Experimental setup of Möossbauer spectroscopy measurements. If the emitter and	
	absorber nuclei are identifical, the transmission spectrum will be a simple peak, as	
	it appears above. [12]	51
2.7	Electronic energy levels experiencing Zeeman splitting due to magnetic dipoles	
	(right). [13]	53
2.8	Example Mössbauer spectra for gamma rays after passing through $Fe_{80}B_{20}$ . All six	
	peaks due to Zeeman splitting are clearly defined. [14]	54
2.9	Diamond anvil cell designed to apply uniform pressure to samples	55
2.10	Beamline setup in which white radiation passes through the pressure cell into a	
	solid state detector.	55
2.11	Mcell 10 pressure cell, which houses the sample in the TPFE plug and is mounted	
	in a SQUID system. $[15]$	56
3.1	X-ray diffraction scans for each five-component HEA. FCC peaks are labelled at	
	the top in black, and some extraneous BCC peaks labelled in red can be seen in	
	the red spectrum of FeCoNiCuAg	62
3.2	EDS composition maps of (a) FeCoNiCuMn, (b) FeCoNiCuAg, (c) FeCoNiCuPt,	
	and (d) FeCoNiCuMo. Clustering is evident in (b) and (d). $\ldots \ldots \ldots \ldots$	63
3.3	Magnetization versus temperature for (a) FeCoNiCuMn, (b) FeCoNiCuAg, (c) Fe-	
	CoNiCuPt, and (d) FeCoNiCuMo. Dotted red lines indicate the inflection point	
	slope used to calculate $T_c$ . Green arrows indicate cooling curve and red arrows	
	indicate heating curves.	64
4.1	$\mathbf{T}_c$ values for alloys in the FeCoNiCuMn psuedo-binary systems, plotted with respect	
	to their atomic Mn content. The two most promising alloys are circled in red. $\ .$ .	69

4.2	RC values for alloys in the FeCoNiCuMn psuedo-binary systems, plotted with re-	
	spect to their atomic Mn content. The two most promising alloys are circled in	
	red	70
4.3	Top: Mössbauer spectra for two HEA alloys. Bottom: Corresponding HFDs, with	
	discrete peaks and shoulders denoted with red arrows	74
4.4	$\mathbf{T}_c$ of pseudo-binary alloys plotted against average hyperfine field, demonstrating	
	that the relationship is composition-independent for small variational changes. $\ .$ .	75
5.1	Diffraction peaks for FeCoNiCuMn with applied pressure. Shoulders present on all	
	patterns (including atmospheric pressure) are due to copper clustering	81
5.2	Plot of magnetization vs. temperature at several applied pressures. Each measure-	
	ment follows the pattern: (1)ZFC to 5K, (2) 20Oe field heating to 350K, (3) 20Oe	
	field cooling back to 5K	84
5.3	The relationship between applied pressure and measured $\mathbf{T}_c$ values, which is fairly	
	linear	85
5.4	(a) Be the Slater Curve, showing the empirical relationship between $\mathbf{J}_{ex}$ and	
	$D/d_{d3}$ . (b) $T_c$ of the disordered FCC Fe-Ni phase. [16]	86
5.5	(a) P vs a (lattice spacing) for FeCoNuCuMn derived from high pressure XRD	
	data. From this data I calculated the change in atomic spacing, $D = \frac{a}{\sqrt{2}}$ .	88
5.6	(a) d orbital diameter versus applied pressure for the $\rm FeCoNiCu_{19}Mn_{21}$ al-	
	loy. (b) $\frac{D}{d}$ ratio with applied pressure, which increases despite both D and d	
	decreasing with pressure	92
5.7	Magnitude of first term and second term from parentheses in equation 5.9. $% \left( {{{\rm{A}}_{{\rm{B}}}}} \right)$ .	93
6.1	Magnetization heating curves at different applied fields, taken after zero field cooling.	95
6.2	Magnetization vs. temperature measurements (with ZFC) demonstrating that the	
	low temperature transition is not changed with small compositional variation. $\therefore$	96

6.3	Magnetization vs. temperature measurements for alloys with greater compositional	
	variation showing the emergence of a low temperature dip in the magnetization. $% \left( {{{\bf{x}}_{{\rm{m}}}}} \right)$ .	98
A.1	X-ray diffraction of a 20nm thin film sputtered from an equiatomic FeCoNiCuMn	
	target	122
A.2	X-ray diffraction of a 100nm thin film sputtered from an equiatomic FeCoNiCuMn	
	target	123
A.3	Magnetization vs. temperature of the sputtered thin films, normalized for shape	
	comparison.	124
A.4	Magnetization vs. field for sputtered thin films, demonstrating the in plane	
	coercivity to be larger than out of plane for both thicknesses of film. $\ . \ . \ .$	125
B.1	Phase diagram for Cu-Mo binary system showing immiscibility up through	
	liquid phases. $[17]$	127
B.2	Phase diagram for Cu-Mn binary system [18]	127
B.3	Phase diagram for Co-Mn binary system [18]	128
B.4	Phase diagram for Ni-Mn binary system [19]	128
B.5	Phase diagram for Fe-Mn binary system [20]	129

# List of Tables

3.1	$T_c$ of several 5+ component HEAs	58
3.2	T <sub>c</sub> . M <sub>s</sub> , RC, and assessment of homogeneity for HEAs	65
4.1	Top:Configurational entropy calculated for equiatomic alloys with different	
	number of components. Right columns show the difference between the calcu-	
	lation and a binary alloys (S <sub>2-equi</sub> ), and the % S increases. Bottom: Configura-	
	tional entropy calculated for small psuedo-binary departures from equiatomic	
	in 5-component alloys. Right columns show the net difference from equiatomic,	
	and the $\%$ S decreases.	68
4.2	Summary of properties of several promising magnetocaloric materials; my	
	current alloys of focus are listed first.	71
4.3	Mössbauer spectroscopy data for FeCoNiCuMn pseudo-binaries	73
4.4	Contributions to average hyperfine field from each element	78
5.1	Bulk modulus of FeCoNiCuMn compared with elemental components, and	
	other common alloys	82
A.1	Atomic percentages of each atom present in bulk FeCoNiCuMn for each psuedo-	
	binary departure from equiatomic	119
A.2	Atomic percentages of each species present in sputtered thin films (measured	
	using XPS analysis) compared with experimental bulk composition	119
A.3	Coercivity and saturation fields for thin films and bulk samples of FeCoNiCuMn	124

## Chapter 1

## Introduction

High entropy alloys (HEAs) are multicomponent systems in which configurational entropy is larger than the fusion entropy of most common metals. In many HEAs, BCC or FCC phases are entropically stabilized, avoiding intermetallic formation to enhance solid solution strengthening. Recently, HEAs are studied for magnetocaloric effect (MCE) applications. This thesis presents magnetic investigations of HEAs aimed at optimizing MCE near room temperature and describing the discrete exchange interactions in the system which act to distribute contributions to the magnetic entropy.

This introductory chapter discusses relevant background to make the goals of this project more clear, starting with an overview of atomic structure, phases, and electronic structure; the physics of soft magnetic materials and magnetocaloric refrigeration by the magnetocaloric effect; and high entropy alloys and previous study of both high entropy and magnetocaloric materials. This information provides the necessary background information to understand the underlying logic of my thesis hypotheses. My thesis hypotheses are that a magnetic high entropy alloy can be developed which will have advantageous magnetocaloric properties, and these properties, which include a broader magnetic entropy curve, will be caused by the distribution of exchange caused by the mixing of several magnetic atoms in a single phase. This distribution of exchange is caused by the sum of several discrete exchange interactions which suggest localized electronic behavior in these alloys.

Chapter 2 will discuss the experimental methods required for sample synthesis and preparation, magnetic characterization to assess the samples' fitness in magnetocaloric refrigerators, structural characterization to assess the stability of the high entropy alloys' single phase, and high pressure experiments to further probe the distribution of exchange interactions.

Chapter 3 will give an overview of the range of high entropy alloys I developed at the outset of this project, four of which I chose to characterize further and compare against one another. From this study, FeCoNiCuMn was chosen as the most promising HEA for magnetocaloric applications.

Chapter 4 will discuss Mössbauer spectroscopy performed on these alloys and the calculations resulting from these measurements which allowed us to quantify the individual contributions to  $T_c$  of the alloys in the FeCoNiCuMn HEA system.

Chapter 5 discusses several applied pressure experiments and calculations I have performed on the FeCoNiCuMn HEA system to further probe the exchange interactions in the system. I combine experimental work with observations on the nature of the Bethe-Slater curve to calculate the change in the expectation value of the d-orbital radius in these alloys.

Chapter 6 focuses on the low temperature behavior observed in zero field cooling measurements on the FeCoNiCuMn HEA system and the possible explanations for this behavior.

Chapter 7 summarizes the overarching conclusions I can draw from the last four years of research on this project, and describes future work which will be done on this project to bolster the conclusions of chapters 4 through 6.

### **1.1** Structures of Metals

#### 1.1.1 Crystal Structures

Pure metals are almost always crystalline in structure, meaning that their atoms align in a regular lattice formation periodically in 3D. There are 14 unique regular lattices, known as Bravais lattices, but the majority of metals fall into cubic lattice structures [21]. All alloys characterized in this thesis fall into two lattice types: body centered cubic (BCC), in which atoms sit on the corners of a cubic cell in addition to an atom sitting in the center of the cube (Fig. 1.1a); and face centered cubic (FCC), in which atoms sit on the corner of a cubic cell, and also on each face of the cube (Fig. 1.1b). Face centered cubic structures are close packed, which means that the atoms are arranged in such a way to minimize the amount of unused space between atoms. The configuration of atoms in a given metal will depend on what is most energetically favorable for that element or alloy, and this will rely on a multitude of factors including magnetic behavior and electron density. An element or alloy can change crystal structure at specific temperatures if these factors change with temperature-for example, magnetic transitions often occur with structural transitions. In crystalline structures, directions within the material can be significant. X-ray diffraction (sec 2.2.1) can be used to calculate the type and spacing of the lattice through diffracted peaks that are indicative of the different planes of atoms in a sample. The close packing of FCC prohibits more diffraction peaks than BCC due to the additional atoms on the faces, so the first plane that can diffract x-rays in an FCC lattice is the (111) plane, which extends across the body diagonal of the crystal. The different planes and directions in the crystal can also be significant in magnetic materials to determine the direction of magnetization, but this is not significant in my magnetic alloys.

There are also metallic alloys which can be amorphous, meaning that there is no regular crystal structure, and the structure is a jumble of atoms resembling a liquid [16]. This requires a specific alloying procedure (usually rapid solidification from the liquid phase) and



Figure 1.1: (a) A rendering of a body-centered cubic (BCC) crystal structure. (b) A rendering of a face-centered cubic (FCC) crystal structure.

typically requires an additional element present which prevents the formation of a crystal structure, such as much larger atoms whose size prohibit a lattice from forming without excessive strain. Certain forms of materials, such as a metal sputtered into a thin film, are more likely to be amorphous [22]. Because of their electronic structure, metal atoms are energetically likely to crystallize, so amorphous phases of metals are always considered "metastable".

#### 1.1.2 Phases and Phase Diagrams

A material at any given temperature and pressure is defined in part by the phase it's in, which refers to the crystallographic structure as well as the magnetic, electric, or elastic properties of the material. The structure and properties will all have a critical temperature at which they arise or disappear depending on the which of the different potential phases of a system have the lowest Gibbs free energy (Fig. 1.2)

Phases of a material can be considered stable, metastable, or unstable depending on the Gibbs free energy of the phase, and whether they formed in a slow equilibrium environment, or from a rapid non-equilibrium process. As mentioned in section 1.1.1, amorphous metallic



Figure 1.2: Left: Gibbs energy of different phases in a hypothetical system at pressure P. Bold portions of lines indicate that it is the energetically favorable phase. Right: Pressure vs Temperature phase diagram for hypothetical phase; orange dotted line corresponds to pressure P of Gibbs energy plot.

phases are often formed in a rapid solidification process, which allows the formation of a phase that is likely not the stable equilibrium phase of the metallic alloys in question. However, because the alloys are being rapidly cooled from a liquid, a metastable amorphous phase can form because the liquid phase (with necessarily amorphous structure) was stable at the high temperature. A metastable phase can be relatively stable (meaning the phase will remain for a long period of time, i.e. hundreds of years) depending on the elements present and how they interact, as well as how the material is used (if a metastable phase is heated repeatedly, for example, it likely will not remain in that phase as heat allows equilibrium phases to begin to form).

To determine which equilibrium phases will form, we can consult phase diagrams for a given alloy. Phase diagrams are a useful resource for determining which phases should form in an alloy at a certain temperature, pressure, and composition. Composition-varied phase diagrams will show us whether specific mixtures of alloys will remain single phase or decompose, which results in the formation of two phases of an alloy with different compositions. Decomposition occurs at the point when atoms are no longer soluble in another atomic environment, and this insolubility will increase with decreasing temperature, forming a miscibility gap which appears as a parabola on phase diagrams in which two phases are always present (Fig. 1.3). Certain ratios of atomic species will also result in specific phase formation, which can lead to intermetallic alloy formation in complex systems. Intermetallics are the phases which disrupt a desired single phase formation, and typically have a specific stoichiometric atomic structure which is energetically favorable [23], and intermetallic formation can be desirable or undesirable depending on the type of alloy being developed. This leads to another distinction: phases can be disordered or ordered. In an ordered phase, atoms will occupy a specific site on a lattice. For example, in ordered NiAl, the Al atoms sit on the corners of the cube and the Ni atom sits in the body centered atom (Fig. 1.1)a). However, high entropy alloys experience a disordered phase, in which the atoms in the alloy could occupy any site in the lattice.



Figure 1.3: Top: Gibbs energy of different phases in a hypothetical binary composition system at temperature T. Dotted lines indicate lowest energy for two phases, a and b. Bottom:Composition vs temperature phase diagram showing two phase region; orange dotted line corresponds to temperature T of Gibbs energy plot.

Phases are also defined by the type of transitions that occur as they arise or disappear. Phase transformations are defined as being first order if they arise from a disruptive change in the material, such as a crystal structure change, and there are often discontinuities in other properties of the material during these phase (such as heat capacity). Higher order phase transitions do not cause a discontinuity in other properties, and these transitions will correspond to an order parameter which decreases continuously from 0 Kelvin to the transition temperature. The order parameter is a measure of the ordering of the new symmetry which arises in a phase transition and the exact order parameter is dependent on the type of transition. The order parameter of a magnetic transition is defined as the magnetization normalized by the saturation magnetization at 0K, which is the maximum possible magnetization of the material in that phase. Magnetic transitions can be first order if they are accompanied by a structural transition, but a magnetic transition on its own is a higher order transition. Fig. 1.7 shows an example of the magnetization versus temperature curves for each type. The type of phase can be understood quantitatively by the Landau theory of phase transitions. Landau expands the Gibbs free energy, G(T), as a power series with an order parameter, m:

$$G(T) = G_o(T) + \alpha (T - T_c)m^2 + \frac{1}{2}\beta m^4 + \frac{1}{3}\gamma m^6$$
(1.1)

For higher order transitions, the first three terms of this equation dominate, and  $\alpha$ and  $\beta$  are both positive. Higher order transitions begin at m=0 at T=T<sub>c</sub> and m increases continuously as T is decreased. A plot of the Gibbs free energy, G(m) would have a single minimum at m=0 above T<sub>c</sub>, and would form two minima at non-zero, symmetric values of m as T decreases below T<sub>c</sub> (Fig. 1.4b). Because first order transitions experience hysteresis due to the destructive phase transformation, the Landau expansion includes the  $\gamma$  term to fully capture the behavior.  $\alpha$  and  $\gamma$  will be positive, while  $\beta$  will be negative. For first order transitions, there will be three minima in the Gibbs energy at the transition temperature; one at m=0, and two at non-zero, symmetric values, pointing to the co-existence of two phases during the transition (Fig. 1.4a).



Figure 1.4: Left: Gibbs free energy vs. order parameter of a first order transition. Right: Gibbs free energy vs. order parameter of a higher order transition.

#### **1.1.3** Electronic Configurations of Transition Metals

Transition metals contain several shells of electrons; the first few electron shells (1s2, 2p6, 2s2) are filled, and depending on the row in the period table, there can be several more filled shells, followed by a partially filled d electron band. In transition metals these unfilled electrons are generally non-localized, which means in transition metals, the d electrons form an electron cloud around the atoms which behaves as an electron band. Unpaired d electrons contribute spin to the system which act as magnetic dipoles in the system. This will be discussed further in section 1.2. Most transition metals are paramagnetic at room temperature, so these spins are randomly oriented, but in elements such as iron, the unpaired electrons are oriented ferromagnetically at room temperature.

The shape of the d-orbitals will depend on the most energetically favorable configuration of electrons. There are five possible shapes (Fig. 1.5), all of which are energetically equal for a single atom. The shape of d-orbitals in the solid state depends on the electronic environment surrounding the atom, as well as the crystal structure of the material. The expectation value of the d-orbital radii will become important as I begin exploring the magnetic behavior of alloys under pressure, as the d-orbital extent will change with pressure along with atomic spacing.



Figure 1.5: The five types of d-orbital shapes. The direction of the lobes will depend on the crystal structure and electronic environment of the atoms.

### **1.2** Soft Magnetic Materials

#### 1.2.1 Ferromagnetism

Magnetism is a phenomenon in which an atoms electrons orient such that the atom will experience a torque causing it to align with a magnetic field. Typically, electrons will pair up in opposite orientations due to the Pauli exclusion principle, and unpaired electrons will orient in random directions such that there is no alignment. However, in specific atoms (Fe, Ni, Co, and Gd at room temperature), these unpaired electrons will orient in the same direction to create a net spin on the atom. Because transition metals form a shared electron cloud in solids, this net spin is not necessarily an integer. This spin is also known as a magnetic dipole, also known as a magnetic moment.

There are several types of magnetic response to a magnetic field; paramagnetism, ferromagnetism, antiferromagnetism, and diamagnetism are the most common and the most relevant to this work. Diamagnetic materials are oriented anti-parallel to magnetic fields, and thus experience a small moment alignment against the direction of a field. Paramagnetic materials have magnetic dipoles which are oriented in random directions (Fig. 1.6a), and the sum of these moments is zero. Under the application of a magnetic field, these moments will align along the field direction, but when the field is removed they revert back to disordered orientations. Paramagnetism is typically the disordered phase above the critical temperature at which ordered magnetic phases spontaneously arise. Ferromagnetism is a state in which a material's magnetic dipoles are aligned along, or precessing about, one axis (Fig. 1.6b). The exact degree of directional alignment is temperature dependent, and can be described by an order parameter,  $\frac{M}{Ms}$  where M is the magnetization at temperature T, and M<sub>s</sub> is the saturation magnetization, which is M at temperature T=0K. This order parameter goes to 1 at T=0K, and 0 at T= $T_c$  (the Curie temperature, the critical point at which the net moment is 0). Antiferromagnetism is a state in which the magnetic dipoles are aligned in opposing directions (Fig. 1.6c), so the net magnetic moment is 0, typically arising when moments are too close for ferromagnetism to be energetically favorable. The critical temperature of an antiferromagnetic material is known as the Néel temperature  $(T_n)$ . The moments in an antiferromagnetic phase behave similarly to those in a ferromagnetic phase in that their orientation with respect to the axis of alignment is dependent on the temperature, but it is defined by two order parameters which correspond to the spins which are pointed "up", and the spins which are pointed "down", essentially forming magnetic sublattices. Each order parameter is still defined as 1 at T=0K and 0 at  $T=T_n$ ; the only difference is that the sum of the moments remains 0 regardless of the order parameters.



Figure 1.6: Orientation of magnetic moments: (A) paramagnetic, (B) ferromagnetic, and (C) antiferromagnetic.

The critical temperatures are where a phase transition between magnetic phases takes place, and is considered a non-disruptive, higher-order transition due to the fact that it is a continuous transition. However, there are some magnetic transitions that occur discontinuously due to a structural phase transition at the same temperature, known as a first order magneto-structural phase transition (FOMP). This leads to a discontinuous change in the magnetization at the transition temperature. The normalized magnetization, which is the magnetization divided by the saturation magnetization at 0K, is the "order parameter" for a magnetic phase, indicating how well-aligned the magnetic moments are. Fig. 1.7 shows how this order parameter changes for a higher order transition (left) versus a first order transition (right).

The saturation magnetization correlates to the number of electrons in an atom, and it is the largest magnetization possible for a material given a sufficiently large field applied at 0K (where all moments should be completely parallel). The Slater-Pauling curve (Fig. 1.8) is an empirical curve showing the maximum magnetization achievable for a given number of electrons (on average). It is important to note that the magnetization of each magnetic element is not proportional to  $T_c$ ; iron (Fe) has a larger magnetization but lower  $T_c$  than cobalt



Figure 1.7: Magnetization vs. temperature behavior for higher order vs. first order magnetostructural phase transitions.

(Co). However, most additions which lower  $T_c$  will cause a lower  $M_s$ . The ferromagnetic elements iron, cobalt, and nickel lie on the curve, while binary alloy systems containing at least one of each have a branch lying on the curve, and a branch that extends underneath it. This demonstrates that the Fe-Co binary represents the maximum magnetization per atom achievable in an alloy system as any other additions lower the average moment. The binary alloys with branches extending underneath the curve have electronic interactions which lower the magnetization due to d-orbital filling, and some may also have lower magnetization due to the presence of antiferromagnetic exchange, such as in the Co-Mn binary alloy.

Beyond the type of transition, ferromagnetic materials can be categorized by their magnetic hardness. Hardness is a measure of the strength of the magnetic field which is required to reverse the magnetization direction of the material. When a ferromagnetic material is exposed to an external magnetic field, the material will require a specific field to reach saturation (Fig. 1.9), at which point the magnetization of the material will no longer increase. [2] When this field is removed, however, the magnetization of the material may not return to 0. Magnetic hardness affect the remnant magnetization, which is the magnetization of the material at zero field after being saturated in field. The strength of the field being applied in the opposite direction needed to return the magnetization back



Figure 1.8: The Slater-Pauling curve, showing the relationship between number of electrons and magnetization. Reprinted with permission from J.C. Slater, Physical Review 49, pg. 931, 1936. Copyright 2019 by the American Physical Society. [1]

to 0 is known as the coercivity, and the larger the coercivity, the more magnetically "hard" a material is. Larger coercivity materials are useful for applications requiring a magnetic material to hold information, such as magnetic media, whereas small coercivity materials are useful for applications in which the magnetization will be reversed rapidly with small loss of energy, such as in transformer cores.

### 1.2.2 Exchange Interactions

The solution of Schrödinger's equation for multi-electron systems, as first addressed for molecular orbitals, starts with a many electron wavefunction:

$$\Psi = \Psi_{\alpha,\alpha}(1)\Psi_{\alpha,\beta}(2)\cdots\Psi_{z,\alpha}(N-1)\Psi_{z,\beta}(N)$$
(1.2)

where  $\Psi_{\alpha,\alpha}(1)$  is the wavefunction of electron 1 occupying molecular orbital 1 with spin



Figure 1.9: A B-H loop for a ferromagnetic material. [2]

 $\alpha$ , electron 2's wavefunction 2 occupying molecular orbital 1 with spin  $\beta$ , ... However, this many electron wavefunction lacks antisymmetry required by the exclusion principle and is replaced by a Slater determinantal wavefunction [24] :

$$\Psi = \sqrt{1/N!} det |\Psi_{\alpha,\alpha}(1)\Psi_{\alpha,\beta}(2)\cdots\Psi_{z,\alpha}(N-1)\Psi_{z,\beta}(N)|$$
(1.3)

which satisfy the Hartree Fock equations [24] for the ground state:

$$f_1 \Psi_{\alpha,\sigma}(1) = \varepsilon \Psi_{\alpha,\sigma}(1) = [h_1 + \Sigma_j \{ 2J_1(1) - K_1(1) \}] \Psi_{\alpha,\sigma}(1)$$
(1.4)

with  $\sigma$  either the  $\alpha$  or  $\beta$  spin and the term in square brackets is the Fock operator,  $f_1$ .  $h_1$  is the atomic Hamiltonian and  $J_1(1)$  is the electron-electron Coulomb operator for the jth electron. For many electron systems, interchange of the electrons is accounted for in the electron-electron exchange operator for the jth electon:

$$K_j(1)\Psi_{\alpha}(1) = \left\{ \int \Psi_j^*(2)\Psi_{\alpha}(2)(\frac{1}{r_{12}})d\tau_2 \right\} \Psi_j(1)$$
(1.5)

In the context of mean field theory [25], Heisenberg exchange interactions [26] are linearly related to  $T_c$ . While the Hartree-Fock equations are on firm theoretical footing, the solution of Schrodinger's equation with Slater determinantal wavefunctions become computationally intractable with increasing number of electrons. Hartree-Fock theory has been supplanted by considerations of a self-consistent field approximation [27] and the construction of an exchange correlation potential in local spin density functional theory (DFT) [28, 29]. There are now many DFT calculations of exchange interactions in transition metal alloys [30].

Calculation of exchange interactions in the solid state are treated most correctly in solutions to relativistic Dirac equations [31]. Exchange integrals in the context of the Heitler-London theory [32] of the chemical bond were used to construct the Bethe-Slater curve [33–35], predicting the dependence of  $J_{ex}$  on D/d, where D is the transition metal interatomic spacing and d is the spatial extent of magnetic d-orbitals (Fig. 1.10). In practice, exchange is more often discussed semi-empirically using Bethe-Slater curve predictions of 3d transition metal magnetic states. Since the Bethe-Slater curve is rooted in atomic bonding theory it may also be of future interest to view it in the context of recent universal binding energy ideas [36]. The magnetic energy term between magnetic dipoles is known as exchange energy, and each pair of neighboring atoms have an exchange interaction. The magnetic energy term is defined by the Heisenburg Hamiltonian:

$$\mathcal{H} = -\sum_{i,j} J \langle \overrightarrow{S_i} \cdot \overrightarrow{S_j} \rangle \tag{1.6}$$

where J is the exchange constant, and  $\overrightarrow{S}_i$  is the spin angular momentum of a given atom in the system. Exact calculation of the exchange energies of ferromagnets from this equation yields values much lower than the experimentally calculated values, and thus supplemental models have been employed to better explain ferromagnetism [37]. In general, the exchange energy for ferromagnetic metals can be estimated from their  $T_c$  values with the equation:

$$T_c \approx \frac{2\langle J \rangle}{3k_b} \tag{1.7}$$

The Bethe-Slater curve allows us to visualize the relative exchange strength of each atomic moment [33,34]. This curve has broadly been used to explain differences in exchange interactions with changes in atomic spacing, particularly when antiferromagnetic exchange becomes ferromagnetic at larger distances in compounds containing Mn, for example. [38–40] This curve could be used to predict the effect of applied pressure (which decreases the atomic spacing) on the strength of individual exchange interactions. It can also be used to predict the strength of alloys which are next to each other on the curve. However, it's important to remember that the curve is not an accurate prediction tool for non-adjacent exchange interactions, so its utility as a predictor of magnetic behavior in more complex alloys is limited, and I go into a more deep exploration of this in chapter 5.

### 1.3 Magnetic Refrigeration

#### **1.3.1** The Magnetocaloric Effect

The magnetocaloric effect is the change in temperature experienced by all ferromagnetic materials upon the application or removal of a magnetic field in an adiabatic environment. This occurs because the magnetic entropy  $\Delta S_{magnetic}$  of the system decreases upon application of a magnetic field; in an adiabatic reaction, the total entropy change must remain zero, and thus the thermal entropy  $\Delta S_{thermal}$  of the system increases, causing an increase in the temperature of the system:

$$\Delta S(T)_{\Delta H} = \left(\Delta S_{magnetic}(T)_{H_f} - \Delta S_{thermal}(T)_{H_i}\right)_T \ge 0 \tag{1.8}$$

The opposite occurs when the field is removed, and the decrease in temperature upon



Figure 1.10: The Bethe-Slater curve, demonstrating the relationship between atomic spacing normalized by d-orbital extent and exchange energy.

removal is the most important step in the magnetocaloric cycle for magnetic refrigeration. This cooling and heating cycle is analogous to the conventional vapor refrigeration cycle, which relies on the drop in temperature a vapor experiences as it is decompressed (Fig. 1.11). In both cases, a heat exchanger will remove heat from the system after the first adiabatic cycle and will be cooled during the second.

As a sample will equilibrate with the surrounding system given time, it is difficult to measure the thermal entropy change of a material directly. However, we can calculate the magnetic entropy change with an equation derived from the magnetic Gibbs free energy:

$$G = U - TS + PV - HM \tag{1.9}$$

where the magnetic energy term is HM, the magnetic field multiplied by the magnetization. The differential Gibbs free energy can then be written in two ways, depending on which value



Magnetic refrigeration

Vapor cycle refrigeration

Figure 1.11: The magnetocaloric effect in a full refrigeration cycle, compared with a conventional vapor refrigeration cycle.

in the magnetic energy is considered to be the independent variable. For this calculation, the advantageous differential is:

$$dG = VdP - SdT - MdH \tag{1.10}$$

From this form of the differential Gibbs energy, we can obtain the Maxwell relation:

$$\left(\frac{\partial S_M(T,H)}{\partial H}\right)_T = \left(\frac{\partial M(T,H)}{\partial T}\right)_H \tag{1.11}$$

which can then be rearranged to express the change in magnetic entropy:

$$\Delta S_M(T)_H = \int_0^{H_{max}} \left(\frac{\partial M}{\partial T}\right)_H dH \tag{1.12}$$

To calculate  $\Delta S_M$  in equation 1.12, the standard method is to measure isothermal magnetization versus field data for a material over a range of temperatures in regular intervals (typically 5K-10K) spanning from above T<sub>c</sub> to below it (Fig. 1.12).  $\frac{dM}{dT}$  is the difference in M between two temperatures, and  $\Delta S_M$  is this difference integrated through the entire applied field; the corresponding value of T for this data point is the average of the two temperatures (Fig. 1.13).  $\Delta S_M$  is negative, but plots often show the absolute value of S to reflect the thermal entropy change. The area under the positive curve is equal to the cooling capacity of the system. The  $\Delta S$  curve is most useful when we are able to determine the full width at half maximum (FWHM) values, so a larger range of isotherms is preferable for these measurements [41]. The broadness of the  $\Delta S$  curve will depend on the type of magnetic transition being assessed, as it is essentially the slope of the magnetization versus temperature curve First order magneto-structural transitions will exhibit large magnetocaloric responses over a small temperature range, whereas higher order transitions tend to have small responses with a broader FWHM, so for materials with a higher order transition in particular, it may require a span of hundreds of Kelvin to encapsulate the entire magnetocaloric effect in the  $\Delta S$  curve.

Because of the vast differences between the shape and size of  $\Delta S$  curves, magnetocaloric materials are commonly compared using a figure of merit known as the refrigeration capacity (RC) which takes into account both the temperature range at FWHM and the magnitude of the entropy change for a given material at a given field (as  $\Delta S$  and RC will scale with applied field [42]). The refrigeration capacity can be measured many ways, which are visualized in Fig. 1.14. The most commonly reported RC value is defined as the peak entropy  $\Delta S_{max}$ multiplied by the temperature span at the FWHM of the entropy curve:



Figure 1.12: Isothermal magnetization versus field measurements spanning the working temperature range (230K to 370K in this plot) of a magnetocaloric material, which can be used to calculate the total change in magnetic entropy.



Figure 1.13: Calculated change in magnetic entropy vs. temperature from the measured isotherms, which is equal to the change in thermal entropy.

$$RC_{FWHM} = |\Delta S_{peak} \Delta T_{FWHM}| \tag{1.13}$$
This definition allows for easy calculation of RC as it does not require integration over the  $\Delta$ S curve or require individual curve fitting, but it overestimates the total capacity for cooling for a given material. A more accurate value of RC is obtained by integrating under (or over, if the absolute value of  $\Delta S_M$  is not taken) the  $\Delta$ S curve over the range of temperatures at FWHM (RC<sub>Area</sub> in Fig. 1.14). This calculation accounts for the shape of the curve, and assumes that a magnetocaloric material will only be efficient enough for commercial use within this maximum entropy change range. The third method of calculation (RC<sub>WP</sub>) was first proposed by Wood and Potter in 1985, and it is the area of the largest rectangle possible to draw under the  $\Delta$ S curve. Practically, this results in a rectangle multiplying the cycle's  $\Delta$ S at the coldest working temperature by the entire temperature span, which results in an underestimate of RC. This is less straightforward to calculate, but it means that asymmetry of the curve is penalized [43] Each method has advantages and disadvantages, but for ease of calculation and to maximize reported figures of merit, the standard parameter for comparison is RC<sub>FWHM</sub>.

There are a few considerations to make when comparing magnetocaloric materials using their reported RC values. RC values will scale linearly with applied field, so values reported for  $H_{max}=1.5T$  can be multiplied by 3.33 to get an estimate of the RC at 5T. However, both the FWHM temperature range and  $T_{peak}$  will shift to higher temperatures as field is increased, so materials with  $T_{peak}$  reported at room temperature for a specific applied field will experience a  $\Delta S$  peak at a higher temperature for a larger field [42]. Additionally, paying attention to the FWHM range is important. A material with an extremely large  $\Delta S$  and small FWHM, or small  $\Delta S$  but large FWHM, will exhibit a large RC value that does not reflect its utility in magnetocaloric applications, in which a working temperature range of 30-50K is desirable.

The other figure of merit necessary when characterizing a magnetocaloric material is the adiabatic temperature change over one cooling cycle (demagnetization) at  $\Delta$ S. This is possible to measure directly, but it can also be calculated from the existing magnetization



Figure 1.14: Various methods of calculating refrigeration capacity for a given  $\Delta S$  curve. [3]

data if the material's heat capacity is known. The expression can be derived from the second differential form of the Gibbs free energy, in which the magnetic differential term is now HdM:

$$dG = VdP - SdT + HdM \tag{1.14}$$

from which we can obtain the Maxwell relation:

$$-\left(\frac{\partial H}{\partial T}\right)_{M} = \left(\frac{\partial S}{\partial M}\right)_{T} \tag{1.15}$$

This can be inverted, and the term on the right can be broken into multiplied partial derivatives:

$$\left(\frac{\partial T}{\partial H}\right)_{M} = -\left(\frac{\partial M}{\partial S}\right)_{T} = \left(\frac{\partial M}{\partial T}\right)\left(\frac{\partial T}{\partial S}\right)$$
(1.16)

From the definition of heat capacity, we can make the substitution:

$$\left(\frac{\partial T}{\partial S}\right) = \frac{T}{C_p} \tag{1.17}$$

to obtain a similar form to equation 1.12:

$$\Delta T_{ad}(T, H_{max}) = \int_{H_{max}}^{0} \frac{T}{C_p(T, H)} \left(\frac{\partial M}{\partial T}\right) dH$$
(1.18)

where  $\Delta T_{ad}$  is the temperature change over a single adiabatic demagnetization cycle starting at a given value of T.  $H_{max}$  is the applied field that is removed, and  $C_p$  is the heat capacity of the material at the beginning temperature T. This equation can be simplified by dropping the field dependence of the heat capacity, which has been shown to not be significantly altered by magnetic fields [44]. In doing this, the term  $\frac{T}{C_p(T)}$  is constant and can be pulled out of the integral. The remaining integral is then equivalent to equation 1.12, so the integral can be replaced by the  $\Delta S$  value at the desired temperature. With this simplification, the temperature change over one cycle at the peak entropy change can be written as:

$$\Delta T_{ad} = -\left(\frac{T}{C_p}\right) \left|\Delta S_{max}\right| \tag{1.19}$$

This value gives us an upper limit on the temperature change possible to achieve in one magnetocaloric cycle, which also gives us a rough idea of how many cycles it would take to reach the desired temperature. Because this is more directly related to their use in magnetic refrigeration applications, it is also an important figure of merit when considering the viability of a magnetocaloric material for commercial use. A value of  $\Delta T_{ad}$  between 5K-10K would be considered commercially viable.

## **1.3.2** Applications of Magnetocaloric Cooling

The magnetocaloric effect has been used for cooling to very low temperatures since 1933, when Giauque et al. developed the first magnetocaloric system to obtain temperatures below 1 Kelvin. [45]. This system required that their material first be cooled to temperatures of a few Kelvin with liquid helium because the magnetocaloric effect was only large in that temperature range. In general, the magnetocaloric effect is largest just below the Curie temperature  $(T_c)$  of a material [46]. Thus, a material with a room temperature  $T_c$  (300K) would be ideal for commercial refrigeration applications. Commercialized magnetocaloric refrigeration is of interest because it offers more energy efficiency than conventional gas compression refrigeration by up to 20%, and has the additional advantage of being environmentally friendly as it does not require the use of ozone depleting gases. [47, 48] The majority of MCE research focuses on developing materials with  $T_c$  around room temperature because of the difficulty of lowering  $T_c$  without suppressing  $M_s$  to a prohibitively low value. The specific materials that have been studied for these applications are discussed in more detail in section 1.3.3. However, the magnetocaloric material is only one component of a magnetic refrigerator, and there are several design considerations that must be made in order to maximize efficiency [4].

One factor is the thermodynamic cycle of heating and cooling used in the system. There are four primary types of cycle: Carnot, Ericsson, Brayton, and Active Magnetic Regenerator (AMR). The Carnot cycle (Fig. 1.15a), which is the most efficient, is the process depicted in Fig. 1.11 and is comprised of two adiabatic processes (in which the total entropy change is 0) and two isothermal processes (in which the material exchanges heat with a hot or cold

temperature sink). The Ericsson cycle (Fig. 1.15b), which is comprised of two isothermal processes and two isofield processes (where the field is held constant as the temperature changes), relies on a regenerator to transfer heat between parts of the cycle, alternating between absorbing and releasing heat when needed. The Brayton cycle (Fig. 1.15c) is made up of two isofield processes and two adiabatic processes, and does not necessarily require a regenerator during cooling. The AMR cycle is similar to the Ericsson cycle, but it uses the magnetocaloric material as both a refrigerant and a regenerator, and it is almost as efficient as the Carnot cycle for room temperature magnetic refrigeration. For this reason, most MCE refrigerator designs rely on the Carnot or AMR cycles. [4]



Figure 1.15: Different thermodynamic cycles possible for magnetocaloric refrigeration systems. [4]

There have been many designs of magnetic refrigerators proposed, and aside from the thermodynamic cycle on which they rely, they can also be conceptually differentiated by the type of magnet used for the magnetization of the magnetocaloric component [49]. The least common magnets used are superconducting magnets, which were used in the first magnetic refrigeration device, but have not been included in many others due to the difficulty of keeping the superconductor below its critical temperature, which requires liquid nitrogen and extra energy expenditure to maintain it. [50] Non-superconducting electromagnets are a more popular design feature in magnetic refrigerators, but because electricity is needed to send current through the coils of the magnet, these designs are generally less common as well [51,52]. The most promising designs feature permanent magnets because they don't require electric generation [53]. There have been several attempts to quantify the effectiveness of permanent magnet refrigeration designs, and the figure of merit in these studies have usually focused on the mass of the magnets and magnetocaloric materials, as well as the volume of the region of high magnetic flux density. [54, 55]. Bjørk et al. defined parameter, P<sub>field</sub>, which is the amount of time the magnetic flux is being "wasted", i.e. how much time spent without a magnetocaloric sample in the field. This parameter is included in the figure of merit they defined,  $\Lambda_{cool}$ :

$$\Lambda_{cool} = \left( \langle B^{2/3} \rangle - \langle B^{2/3}_{out} \rangle \right) \frac{V_{field}}{V_{mag}} P_{field}$$
(1.20)

where  $\langle B^{2/3} \rangle$  is the volume average of flux density in the high flux area between the permanent magnets,  $\langle B_{out}^{2/3} \rangle$  is the volume average of the flux density in the area where the magnetocaloric material is taken to be demagnetized,  $V_{field}$  is the volume of the high flux area, and  $V_{mag}$  is the volume of the permanent magnets. This figure of merit allows for robust assessment of a diverse set of designs, particularly because it normalizes by the volume of magnetic material and volume of the high flux region, reducing each design primarily to geometric efficiency. These parameters are labeled on an example design for a system



featuring a Halbach cylindrical permanent magnet (Fig. 1.16).

Figure 1.16: A Halbach cylinder with labelled design parameters.

The Halbach cylinder, which has a ring of permanent magnets with rotated orientations to maximize flux, is a common design feature in this type of system, which has a central cavity into which the magnetocaloric material is inserted. This design requires that the magnetocaloric component be moved while the permanent magnet remains stationary in order to vary the magnetic field felt by the magnetocaloric component. The downside of this design, however, is that it necessitates that the permanent magnet's flux be unused for half the cycle (the time during which the plate is removed) [56]. A solution to this problem is the inclusion of rotating components, such as a rotating disk made up of magnetocaloric plates that passes over a permanent magnet. This ensures that there is always a plate in the magnetic flux lines, and the cooling occurs when the plates are opposite the permanent magnet. [57]

Some refrigerator designs currently in development commercially are based around the

use of several magnetocaloric materials with different  $T_c$  values, which would be stacked in sequence. These designs rely on magnetocaloric materials with large changes in entropy over a small temperature range. On their own, a material like this would not be viable, but stacking several materials with slightly different peak transition temperatures allows each material to pass through its transition and lower the temperature of the system enough that the next material in the sequence then experiences a larger temperature change. These designs seek to bypass the issue of developing materials with broad  $\Delta S$  curves, but are inefficient due to the large amount of material that is not in use during each cycle (in the stack of MCE materials). These designs can also fail quickly if a demagnetization cycle does not result in the correct temperature change, or if the synthesis of the materials in each plate does not result in the exact correct composition to achieve the desired  $T_c$ . Thus, the focus of most designs is maximizing efficiency of broader transitions that do not require stacking of different materials. However, most designs do general involve stacks of thin plates of magnetocaloric material for the purpose of efficient heat removal. Removing excess heat with cooling fluid works more efficiently with greater surface area contact with the magnetocaloric material, so designs of MCE refrigerators typically include the material pressed into stacks of thin plates, or other porous structures which allow for fluid flow. [58,59]

Thus, in addition to the refrigeration capacity and Curie temperature of a magnetic material, there are other factors which much be accounted for when determining a material's fitness for magnetic refrigeration applications, such as its heat capacity and thermal conductivity, both of which affect the ability of the system to change temperature and expel excess heat. These properties can be measured experimentally for a clearer picture of the potential for magnetic refrigeration with a specific material. Other properties, such as corrosion resistance, become important when we consider the design of the magnetic refrigerators because the magnetocaloric component will be continuously interacting with cooling fluid. When modeling magnetocaloric systems, then, it is necessary to account for the cooling fluid viscosity, heat capacity, and flow rate, as well as the time required for one cycle and total system size. [56]

Magnetocaloric materials can also be utilized in more specialized applications, such as ferrofluidic cooling systems. Ferrofluids are stable suspensions of magnetic nanoparticles in a liquid which can be manipulated by magnetic fields. The benefit of using ferrofluids as a coolant are that the system does not require a fluid pump, as the coolant can be drawn through the system using thermal and magnetic field gradients [60]. A self pumping system (Fig. 1.17) uses the presence of a permanent magnet to draw the ferrofluid towards the heat load of the system. The heated fluid experiences a decrease in magnetization, but this magnetization increases as the ferrofluid cools and moves towards the heat sink. The thermal gradient in the system thus also produces a magnetic gradient which keeps the fluid in motion. [5,61]



Figure 1.17: Self pumping magnetic cooling system [5]

These systems cool more efficiently when the nanoparticles have a large magnetocaloric response around the operating temperature of the system. The nanoparticles will experience a temperature decrease after passing through the heat load when they are no longer in the flux path of the permanent magnet because they will undergo demagnetization. This application requires the additional assessment of a material's properties and structural integrity in nanoparticle form, which can be vastly different from the bulk properties.

Another specialized use for magnetocaloric cooling is in systems in which a thin film of magnetocaloric material is applied to the outside or inside of a part which is both prone to overheating, and which produces some amount of magnetic flux due to currents passing through the system. Though less well studied, I imagine some potential applications to be coatings on automotive parts and pieces in experimental measurement systems prone to overheating. Given the past studies of high entropy alloys for structural applications and oxidation resistance, magnetocaloric high entropy alloys could be uniquely suited for this specialized applications, particularly in extreme environments that will require significant structural integrity.

## **1.3.3** Current Magnetocaloric Materials of Interest

Magnetocaloric materials fall into two classes: (1) first order magneto-structural phase transitions (FOMP) and (2) higher order magnetic transitions. The first type, giant magnetocaloric effect (GMCE) materials, exhibit a large and narrow peak magnetic entropy change accompanying a magneto-structural phase transition. These materials exhibit a large peak entropy change, but have FWHM ranges of only a few Kelvin, and this transition is often accompanied by undesirable thermal hysteresis that prevents the magnetocaloric cooling cycle to be repeated [62–64]. Use of these materials in magnetic refrigerator requires stacking of materials with different  $T_c$ 's to span a relevant thermodynamic cycle; the pitfalls of this design were discussed in section 1.3.2. Materials with a higher order magnetic phase transition usually show a lower peak entropy change, but a broader peak results in an enhanced refrigerant capacity [47, 65, 66]. These materials have reduced hysteresis loss and tunable Curie temperature,  $T_c$  [67–69]. Optimum materials possess features of each class: (a) large peak entropy change, (b) large refrigerant capacity, (c) limited thermal hysteresis and (d) resistance to thermomechanical fatigue. This will most likely be achieved by tuning the composition of a system in such a way that forces the magnetic transition close to the point at which a first order transition becomes higher order, and some work has been done specifically to explore systems in which this is possible. [4, 70–72]

Gadolinium is the only element with  $T_c$  already at room temperature, but it is rare, expensive, and possesses a uniaxial crystal structure making it magnetically hard and hysteretic, and thus is not a viable option for large-scale use. Dozens of materials have been studied for their magnetocaloric properties over the past few decades, but many still rely on rare earth metals to lower  $T_c$  or have working temperature ranges outside of the desired range (i.e. much higher or lower than room temperature) [73]. Fig. 1.18 is a compilation of the major groups of magnetocaloric materials studied showing both the transition temperatures achieved and the adiabatic demagnetization temperature change with one cycle. Though large temperature changes are achieved by several material groups, the four largest  $(La(Fe,Si)_{13}H_{0.5-1.5}, Gd_5Si_{1.98-2.09}Ge_{1.91-2.02}, Fe_2P, and Ni-Mn-In-(Co))$  all experience first order transitions, and thus have small working temperature ranges that hinder commercialization, as well as thermal hysteresis that results in lost energy and lower efficiency. [48]. Notable materials with higher order magnetocaloric transitions include several Gdbased alloys like  $Gd_5Si_{2.5-4}Ge_{0-1.5}$ ,  $Gd_7Pd_3$  and  $Gd_5Bi_{0-2.5}Sn_{0.75-3}$ . [74–76]  $Er(Co_{0.8}Fe_{0.2})_2$ , MnFeP<sub>0.5</sub>As<sub>0.44</sub>Ge<sub>0.06</sub>, and Mn<sub>3</sub>Sn<sub>2</sub> are other non-Gd containing MCE alloys with higher order transitions. [77–79] However, none of these materials to date are commercially viable for refrigeration due to their low value of  $\Delta S_M$  or T<sub>c</sub> not close enough to room temperature.

Previous magnetocaloric materials synthesized by the McHenry group were studied by Huseyin Ucar, and the two most promising resultant alloys are included at the bottom of Table 4.2. This research began with studies of nanocomposite alloys such as  $(Fe_{70}Ni_{30})_{89}Zr_7B_4$ [80], but produced more promising magnetocaloric materials through mechanical alloying of Fe-Ni nanoparticles. Ucar et al. demonstrated that the magnetocaloric properties of these nanoparticles could be optimized by controlling the oxidation kinetics of the ball milling process in which they were produced (Fe<sub>70</sub>Ni<sub>30</sub> in Tab. 4.2) [81]. They then explored the effect



Figure 1.18: 2012 compilation of classes of MCE materials considering  $T_c$  and  $\Delta T$  achieved for each. [6]

of small additions of molybdenum to these nanoparticles  $((Fe_{70}Ni_{30})_{96}Mo_4$  in Tab. 4.2) [82]. Additionally, they demonstrated the limitations of Arrott-Noakes fitting of magnetization curves, and found that a combined fit with a modified Handrich-Kobe equation better models low temperature magnetocaloric behavior. [83]

I now turn my focus to determine the viability of high entropy alloys as magnetocaloric materials. These multicomponent alloys undergo higher order magnetic transitions, which are broadened through compositional disorder-derived distributed exchange interactions [81– 85]. They are of interest because the multicomponent nature allows  $T_c$  tuning and control of the refrigeration capacity through the breadth of the higher order transition. Broadening due to random distribution of magnetic atoms on an fcc lattice [86] is less than the resulting broadening from positional disorder derived distributions previously observed in Ni-Fe-based amorphous alloys [80].

Very few magnetocaloric high entropy alloys have been studied for their magnetocaloric properties. Several groups have characterized the four component high entropy alloy Fe-CoNiCr, which has  $T_c=130K$  for equiatomic compositions [87–90]. I propose working specifically with alloys containing five or more components in equiatomic amounts given that the most viable four component magnetic alloys (those containing Fe,Co,Ni and an additional metal) have already been studied and not shown to be viable alloys for these applications. Few groups have gone beyond four component magnetic HEAs. Lucas et al. [91] characterized the magnetic behavior of FeCoNiCrPd<sub>x</sub> for  $0 \le x \le 2$ , and this alloy's magnetocaloric behavior is included for comparison in Table 3.2 for comparison with my own alloys of focus. Na et al. identified FeCoNiCrAl as a viable high entropy alloy for magnetocalorics, as it has a  $T_c=277$ K and a magnetic saturation of 25 emu/g. They do not give information on the magnetic entropy change or refrigeration capacity, so direct comparison with my alloys is not possible. [92] Koželj et al. have characterized the magnetically soft HEA FeCoNiPdCu, but not specifically for magnetocaloric applications. [93] The only rare-earth containing HEA is the alloy GdDyErHoTb, which has a larger refrigeration capacity, but at the cost of incredibly expensive materials, and an HCP crystal structure with some amount of phase segregation suggesting that it is not a true solid solution. [94]

# 1.4 High Entropy Alloys

#### **1.4.1** Structure and Physics

There are several competing definitions of what classifies as a high entropy alloy, but they are most broadly defined as alloys containing three or more elements in close to equiatomic proportion, which are randomly distributed in on a crystal lattice (Fig. 1.19) [95]. Some sources raise this number to four or five elements based on calculations of the configurational entropy [96]. This randomized distribution on a crystal lattice is known as a solid solution, and there are several factors that determine whether a set of atoms will form a solid solution under the proper synthesis conditions (rapid solidification). The Hume-Rothery rules, developed by Oxford metallurgist William Hume-Rothery, are a set of general parameters by which a solute in a metal must abide in order to dissolve into that metal [97–100]:

- The atomic radii of the solvent and solutes differ by less than 15%
- The crystal structure of the solvent and solutes must be similar
- The solvent/solutes have similar electron valency
- The solvent/solutes have similar electronegativity

There are exceptions to these rules, particularly the condition that the crystal structure of the elemental components be similar, but the general accuracy of these rules have been shown to be empirically true in countless alloy systems. Though Hume-Rothery's rules were written based mostly off of his research on two-component alloys, these rules are still valid for more complex systems, including high entropy alloys. When a single solute does not dissolve in a solvent, the result is decomposition which forms clusters of pure solute, or formation of intermetallics. This decomposition is possible in alloys intended to be high entropy if they still form as crystalline structures. However, when considering a system with several atomic species like a high entropy alloy, if the Hume-Rothery rules are not followed, it may also take the form of a metallic glass. Metallic glasses are amorphous disordered metal alloys formed through rapid solidification, and generally they do not require the atomic species to be in equiatomic amounts.

The complex interactions between multiple atomic components makes predicting what will successfully form a single phase alloy more difficult, so the Hume-Rothery rules alone are not enough to assess the viability of a set of atoms to form a high entropy alloy. The total



Figure 1.19: Rendering of a 5-component high entropy alloy. [7]

energy of the system is also important, particularly the entropy terms of the system. The most significant is the configurational entropy, which is determined by the mole fractions of each component of the alloy:

$$\Delta S_{config} = -R \sum_{i} X_{i} ln(X_{i}) \tag{1.21}$$

The broadest definition includes alloys containing only three components, and there have been several three and four component high entropy alloys identified such as MnNiFe, CoCrMnNi, CoFeMnNi, TaNbVTi, and WNbMoTa. [101–103] However, Yeh's definition of a high entropy alloys is an alloy with a composition of at least five elements because that number has been calculated to be the point at which the mixing entropy typically balances the mixing enthalpy and allows for the formation of a solid solution [96]. The mixing enthalpy will be dependent on the electronegativity and valency of each atom, considerations already made by the Hume-Rothery rules. Troparevsky et al. assessed the efficacy of predicting the total mixing enthalpy of a system using an enthalpy matrix made up of binary alloy terms and demonstrated that this predicts to a large degree whether a system will be stable, but they found that this is still not entirely straightforward or accurate for some systems, for example CrMnFeTiNi, which precipitates a second phase despite having the same calculated mixing enthalpy as CrMnFeCoNi, which does not. [104] Several groups have assessed other parameters for potential correlations to high entropy alloy formation, but are unsuccessful in finding an absolute rule. For example, Zhang et al. proposed valence electron concentration as a potential parameter for formation of solid solutions where pure face centered cubic (FCC) phases would only form when the concentration was greater than  $8 e^{-1}$  atom, but this only correlated for FeCoNiCr based alloys—the alloys containing manganese (Mn) did not follow the same rule. [105] It is also thought that lattice distortion caused by the different atomic sizes of the constituents contributes to the phase stability of the single phase, as lattice distortion hinders atomic movement and slows down diffusion of atoms. [106]. As previously discussed in terms of the Hume-Rothery rules, however, we see that there is a limit to this effect; at a certain size difference, it will no longer be energetically favorable for the atoms to fit on a crystal lattice with each other, and the structure becomes amorphous. A more in depth discussion of all of these structural considerations can be found in Miracle and Senkov's 2017 review paper on high entropy alloys [107].

# **1.4.2** Previous Study and Applications

High entropy alloys arose as a response to a demand for novel alloys for specialized applications, intended to improve upon the existing traditional alloy systems which contain 1 or 2 primary elements. There are around 30 traditional alloy systems that have been studied extensively, such as steels, aluminum alloys, etc. Though many new processing techniques have been developed to create alloys with novel and desirable properties, high entropy alloys introducing the idea of alloys which do not have a primary constituent. [96,107] High entropy alloys have been studied for a broad range of applications in search of attractive mechanical, electrical, thermal, and magnetic properties. The biggest draw of developing these alloys is that the properties will be a result of a "cocktail" effect in which the properties are a composite of the properties of the constituents. For example, the oxidation resistance of an alloy is increased when some of the contributing components have greater oxidation resistance; the density of an alloy is lower when some lightweight elements are added. Increased hardness has also been reported and is thought to be caused by strain due to the presence of different atomic sizes which hinder movement of dislocations. [108]. The majority of research on high entropy alloys has been focused on structural applications, but attractive corrosion resistance or electrical properties as well as magnetic properties have been studied through the lens of HEAs as well. Magnetic high entropy alloys almost always contain iron, cobalt, and nickel to maximize the magnetic moment, but there have been attempts to create high entropy alloys with rare earth components, the most significant being the HEA GdDyEr-HoTb, though this alloy shows some phase segregation and thus is not a truly single phase solid solution HEA. [94] Many of the major alloy groups studied for structural applications are BCC HEAs which have a common base of Cr, Fe, and Ni. These include CrFeCoTiNi, AlCoCrCuFeNiB, AlCoCrCuFeNi, AlCoCrFeMoNi, AlCrFeMnNi, and CoCrFeNiFeNi, all of which were studied for high temperature, high strength applications such as use in turbine blades [109]. The vast majority of HEAs studied thus far contain Cr, Fe, and/or Ni, but there are a small number of HEAs based on Ti, including MnFeCoTi<sub>0.5-2.5</sub>VZr, TiZrNbHfTa, TiV<sub>0-1</sub>ZrNbMo, TiCrZrNbMo<sub>0.5</sub>Ta<sub>0.5</sub>, and NbCrMoTiAl<sub>0.5</sub>, all of which were studied for mechanical properties as well. [110–112]

# 1.5 Hypothesis

I set out to explore several hypotheses that focus on providing evidence that magnetic high entropy alloys have properties that could make them uniquely suitable for magnetocaloric applications:

(1) The random distribution of several magnetic atoms in high entropy alloys will result

in a broadened magnetocaloric effect which will increase the refrigeration capacity through widening the full width half max of the magnetic entropy curves.

- (2) This broadening is the summation of several discrete exchange interactions between nearest and next nearest neighbors of different atomic species in these alloys.
- (3) The compositions of these magnetic high entropy alloys can be varied to alter the magnetic properties of the alloy, such as the saturation magnetization and the Curie temperature (T<sub>c</sub>), which must be tuned to room temperature for my materials to be viable magnetic refrigeration candidates.

After this initial exploration, I then began a deeper probing of the FeCoNiCuMn HEA for more fundamental information on the magnetic exchange interactions in the system. Mössbauer spectroscopy experiments led us to develop three more hypotheses focused on exchange interactions in the system, which I set out to provide evidence for using applied pressure experiments:

- (4) The  $T_c$  of these alloys can be shifted using applied pressure because the strength of each discrete interaction will change
- (5) The change in magnetic behavior with applied pressure will be due to a change in both atomic spacing and d-orbital spatial extent of the atomic species, and these changes will affect the individual exchange interaction strengths between atoms.
- (6) The low temperature magnetic behavior observed on zero field cooling is due to a weak antiferromagnetic phase which arises due to the large number of antiferromagnetic exchange interactions present in these alloys

I present evidence that magnetic high entropy alloys with near room temperature  $T_c$  and a broad magnetocaloric response can be synthesized in Chapter 3, and that this magnetic behavior is due to a distribution of discrete exchange interactions, which we can observe using Mössbauer spectroscopy in Chapter 4. I also show that the composition slightly of these alloys in order to achieve room temperature  $T_c$  values, which is also discussed in Chapter 4. I present data confirming that the application of pressure will change  $T_c$ , and I present data and analysis based on the idea that the magnetic behavior depends on atomic spacing as well as d-orbital contraction in Chapter 5. Hypothesis (6) will require further work to validate or refute, but possible explanations and future experiments to explore them are discussed in Chapter 6. Further planned experiments will also expand on the exploration of the exchange interactions under the influence of pressure and temperature changes as a means to provide further evidence for hypothesis (4).

# Chapter 2

# Experimental Techniques and Sample Preparation

# 2.1 Sample Production

# 2.1.1 Arc Melting and Melt Spinning

Our alloys are produced in a two step process. Arc melting uses a charged plasma beam to melt together pure elemental components into a homogeneous ingot of material, and the ingot is remelted multiple times in order to encourage homogeneity of the elemental distribution. [113] The chamber and sample itself are water-cooled through copper plates. To reduce oxidation of the sample components, the chamber is pumped down to -1 atm and refilled to a slight negative pressure (-0.3 atm) with argon gas. A piece of zirconium is melted first, which removes residual oxygen from the chamber. (Fig. 2.1)

This ingot is then melt-spun, a process also known as planar flow casting (Fig. 2.2) [9]. This process requires first melting the ingot in a boron nitride crucible to 150K above its melting point using an RF induction copper coil. The opening in the crucible is situated close to a chilled, spinning wheel, and then negative pressure is used to force the melted ingot through the hole and onto the wheel. This rapidly solidifies the alloy at rates up to



Figure 2.1: Diagram of the arc melting chamber. Alloys are heated and mixed with the plasma arc several times to ensure homogeneity. Adapted from [8]

 $10^{6}$ K/s, which ensures that my samples are quenched rapidly enough to form a metastable single phase solid solution. If the melt were cooled slowly, intermetallics would be likely to form. [114]

# 2.1.2 Thin Film Sputtering

A thin film version of the bulk high entropy alloys are also of interest because it would allow me to probe my alloy system with experiments not possible to perform on bulk samples, as long as the properties of the thin film are relatively similar to those of the bulk. One example is coherent x-ray scattering, which would allow us to observe the amount of magnetic (and atomic) disorder in my system at the nanometer scale. There are several experiments that rely on reflectance measurements which would require a highly polished, atomically flat sample as well.

I sputtered thin films in an AJA Orion Series sputtering system with the help of Vara



Figure 2.2: Diagram of the melt spinning process. Alloys are rapidly quenched in the ejection stage. [9]

, using a target with nominal composition of equiatomic FeCoNiCuMn. Sputtering is a physical vapor deposition process in which ionized noble gases, typically argon, are used to bombard a solid target, causing the ejection of atoms from the target. These atoms are sublimated and move towards a silicon substrate where they are deposited. Sputter deposition is a useful process for creating thin films with a thickness estimated by the sputtering rate of the target atoms. Every atom has a different sputtering rate due to the different energy required to sublimate each atomic species. These sputtering rates differ for atoms from elemental targets versus targets of multiple atoms due to the interactions between the atoms in the alloy targets, so it can be difficult to predict the final composition of the sputtered thin film based on elemental sputtering rates. The pressure inside the chamber during deposition also affects the sputtering rate and is a variable that must be considered.

A full assessment of the compositional, structural and magnetic differences between these thin films and their bulk counterparts can be found in Appendix A.2.

#### 2.1.3 Compositional Analysis

Inductively coupled plasma (ICP) atomic emission spectroscopy (AES) was used to determine the composition of bulk alloys in powder form. ICP analysis requires ionizing the sample with plasma; these ions are then passed into a mass spectrometer which separates ions by their charge to mass ratio to determine elemental species. The sensitivity of ICP-AES is 0.1%, and the full set of ICP data taken for alloys in FeCoNiCuMn can be found in Appendix A.1.

The thin film samples of my alloys were analyzed for accurate compositional data using x-ray photoelectron spectroscopy (XPS), which measures the number and energy of electrons escaping the sample as it is excited with a beam of x-rays. The full compositional results can be found in Appendix A.2. With the help of collaborators at NASA Glenn, we analyzed three 20nm thin films using XPS; one film was sputtered in a 10 mTorr Ar environment. Two films were sputtered in a 5 mTorr Ar environment, and both were analyzed to see the variation between sputtering sessions under identical settings. The calculated compositions from the XPS analysis are listed in Table A.2.

# 2.2 Structural Characterization

#### 2.2.1 X-Ray Diffraction

XRD measurements are typically taken by focusing a beam of mono-dispersive x-rays on a sample and measuring the diffracted x-rays at varied angles (Fig. 2.3). Bragg's law relates the wavelength of incoming photons ( $\lambda$ ) to the planar spacing of a sample (d) and the angle at which these photons will be diffracted from these planes ( $\theta$ ) such that they constructively interfere with one another:

$$2dsin(\theta) = \lambda \tag{2.1}$$

The diffracted peaks present will indicate the sample's crystal structure, and the indexed peaks can be used to calculate the lattice spacing. [115] Every plane of atoms in the sample can diffract x-rays, but the presence of certain atoms can prevent x-rays from being diffracted. For FCC crystals, we can calculate the scattering conditions which will allow for diffraction from the geometry of the crystal containing N atoms at positions notated as  $R_j$  for N total positions, and the geometry of the incident and scattered x-rays in reciprocal space (inverse to real space). The incident beam vector and scattered beam vector,  $k_o$  and  $k_s$  respectively, both have the same amplitude,  $\frac{2\pi}{\lambda}$ . Q, the scattering vector in reciprocal space, is the difference between these two vectors:

$$Q = |k_s| - |k_o| = 4\pi \frac{\sin(\theta)}{\lambda}$$
(2.2)

The wavefunction of the scattered wave will be the sum of the waves from all N atoms in the crystal:

$$F_{hkl} = \sum_{j=1...N} f_j e^{-iQ * R_j} = \sum_{j=1...N} f_j e^{-2\pi i (hx_j + ky_j + lz_j)}$$
(2.3)

where  $f_j$  is the atomic form factor for atom j, Q is represented as the direction of the vector normal to a plane (hkl), and the atomic positions R are represented by their lattice positions in 3D space (for example, an atom in the middle of a BCC lattice would have R=1/2,1/2,1/2). To calculate the structure factor for a specific lattice, we must identify the sites and coordinates of each atom. FCC lattices have N=4 atoms in one cell; at the origin, (0,0,0), and on each of the three faces closet to the origin: (1/2,1/2,0), (1/2,0,1/2), and (0,1/2,1/2). Substituting these positions into Equation 2.3 results in:

$$F_{hkl} = f\left(1 + e^{-i\pi(h+k)} + e^{-i\pi(k+l)} + e^{-i\pi(h+l)}\right)$$
(2.4)

Thus the intensity of peaks in FCC diffraction patterns with be 4f for planes for which h,k, and l are all even or all odd, but will be 0 when this is not true. As a result, the lowest

peak in an FCC pattern is of the (111) plane.



Figure 2.3: Diagram of the experimental setup of x-ray diffraction, demonstrating the frame of reference for the angle of diffraction. [10].

X-ray diffraction can also be performed by using a broad spectrum of radiation (called "white radiation") and a fixed angle to fulfill the requirements of Bragg's law-then, each diffraction peak is defined by its diffraction energy instead of the angle of the incoming beam. This type of spectrum is known as energy dispersive XRD, and these types of measurements are often performed using synchrotron radiation. I performed my data collection at Argonne National Lab's Advanced Photon Source, which allowed us to probe a sample with an energy range of 10 keV-60keV. The advantage of these measurements is that these spectra can be acquired in seconds, and the intensity of the peaks is often larger, which allows weaker peaks to become more well-resolved. As the data taken through energy dispersive XRD will be in terms of energy instead of diffraction angle, the data is plotted as intensity versus the scattering vector, Q (equation 2.2).

Because Q is defined by both  $\theta$  and  $\lambda$  ( $\lambda = \frac{hc}{E}$ ), it is the same value regardless of the collection energy and angles, and is useful for direct comparison of spectra. Conversion to Q

is also the easiest way to convert data from one type of spectrum to the other. Regardless of whether data is collected from angle dispersive or energy dispersive, we expect to see some amount of broadening of the peaks caused by both instrumental and sample factors. For an infinitely long, single crystal (one grain that is all oriented in the same direction) measured with a source which produces only one monochromatic x-ray, the diffracted peaks would be infinitely thin. Broadening is an indication that multiple energies close to the "ideal" energy are still being constructively diffracted, which points to imperfections in the system or the sample. Instrumental factors that cause broadening include finite size of the incident beam of x-rays, finite size of parameters such as the diffraction slits modifying the beam, the small spread of energies produced by the x-ray source, and misalignment of the sample with respect to the x-ray source. Sample factors which cause broadening of the peak include small grain sizes, lattice distortion caused by strain in the sample, and inhomogeneous compositions throughout the sample. [116]

# 2.2.2 Scanning Electron Microscopy and Electron Dispersive Spectroscopy

Scanning electron microscopy uses a focused beam of electrons to take images of samples at much higher resolution than is possible with optical spectroscopy. This is due to the fact that the wavelength of an electron can be roughly a thousand times smaller than a photon, depending on the energy of the photon and the accelerating voltage of the electron. The electron beam is rastered over a rectangular area on the sample, and the number of electrons measured by a detector gives an intensity for each point which translates into a greyscale image. There are two types of electrons which can be detected; secondary electrons are the electrons emitted after an atom is excited by an electron from the beam, whereas backscattered electrons are electrons from the beam which bounce off the sample without being absorbed. The type of electron measured depends on what information we want from the sample. Energy dispersive spectroscopy (EDS) is a technique often implemented within an SEM in which a sample is stimulated with a beam of electrons, and electrons in the atoms are excited to higher energy levels. As they relax back to their lower level, they emit an x-ray of characteristic energy. These x-rays can be measured for specific points across a sample to obtain a compositional mapping for an individual point, a line across the sample, or an area of the sample. EDS is an incredibly useful tool for diagnosing phase segregation and contamination in samples, but typically is only accurate compositionally within a few percent. More precise compositional information requires the techniques discussed in section 2.1.3.

#### 2.2.3 Differential Scanning Calorimetry

Differential scanning calorimetry is a characterization technique which measures the heat flow required to heat a sample as well as the heat required to heat a well characterized reference sample. The difference between these measurements gives us a heat flow curve which can be used to calculate heat capacity of the sample. There should be a small peak in the heat flow during magnetic transitions because these transitions are non-disruptive and typically cause a small change in properties of the material. Heat flow curves will show a large peak for disruptive phase transformations like crystallization or a crystal-structure change. Positively changing peaks indicate an endothermic change (as more heat is being put into the material at that temperature) and negatively changing peaks indicate an exothermic change.

# 2.3 Magnetic Characterization

#### 2.3.1 Vibrating Sample Magnetometry

A vibrating sample magnetometer (VSM) measures the change in magnetic flux through a magnetic sample as it is vibrated perpendicular to an applied magnetic field. The VSM used for these measurements was a Quantum Design Model P525. The temperature range of the PPMS is 2K to 1000K.

There are several ways to calculate or approximate the Curie temperature of a material from the magnetization versus temperature curves obtained with a VSM. The first is by identifying the inflection point of the magnetization curve by plotting the derivative of the M(T) data, and then extending the curve along this slope until it reaches 0. The actual magnetization data will never reach zero due to the fact that a magnetic field must be applied to measure the magnetization, so raw magnetization data will have a "tail" that trails off to 0 at higher temperatures. Another way to find  $T_c$  is to fit the data to the Arrott-Noakes equation of state:

$$M^{1/\beta} = A(T - T_c) + B\left(\frac{H}{M}\right)^{1/\gamma}$$
(2.5)

This equation combines several asymptotic components of magnetic behavior in a linear way. The parameters A, B,  $1/\gamma$  and  $1/\beta$  are optimized for best fit to the experimental data, and values of Tc are varied. For most magnetic materials, the value of  $1/\gamma$  is around 0.75, and the value of  $1/\beta$  is 2.5. Plots of M<sup>2.5</sup> vs  $\left(\frac{H}{M}\right)^{0.75}$  for a range of temperatures, T, then produces a series of parallel straight lines. The line which goes to 0 at M=0 will correspond to T<sub>c</sub> since that is when the y-intercept term, A(T-T<sub>c</sub>), will be 0. (Fig. 2.4) [11,117] The Arrott-Noakes equation of state is often used to scale magnetocaloric data for the magnetic entropy change in the ferromagnetic to paramagnetic phase transition for a variety of materials onto a single master curve [118]. The master curve functional form is developed from the Arrott-Noakes equation of state and plots the magnetic entropy change,  $\Delta S$  by its maximum value and plot with respect to a reduced temperature,  $\theta$  measured with respect to reference temperatures,



Figure 2.4: Arrott Noakes plot for an  $Fe_{75}Pt_{25}$  alloy with  $T_c$  between 403K and 413K. [11]

 $T_{r1}$  and  $T_{r2}$  above and below the Curie temperature  $T_c$  such that:

$$\theta = \begin{cases} \frac{T - T_c}{T_{r_1} - T_c}, & T \le T_c \\ \frac{T - T_c}{T_{r_2} - T_c}, & T > T_c \end{cases}$$
(2.6)

More complicated fitting is possible using Landau formalism, particularly for analysis of magnetic asymmetry in systems with distributed exchange. [84, 119] However, for basic determination of  $T_c$ , my data is almost always fit by extending the slope from the inflection point in the data, which is determined by calculating the derivative of the curve (Fig. 2.5). For magnetocaloric materials, the inflection point in the data corresponds to the peak of the magnetic entropy curve because the curve is proportional to the slope of M(T)-therefore, I



often report  $T_{peak}$  instead of  $T_c$  for simplicity.

Figure 2.5: A magnetization versus temperature curve with the derivative curve, dM/dT overlaid to show the calculated inflection point. The slope at the inflection point is extended down until it intersects with the x axis in order to calculate  $T_c$ .

# 2.3.2 Mössbauer Spectroscopy

Atomic nuclei experience energy level transitions upon the absorption or emission of a gamma ray, and these transition energies are influenced by the atom's compositional, electronic, and magnetic environment. These shifts in the energy levels are known as hyperfine interactions and are incredibly small compared to the recoil energy an atom experiences when probed with spectra of gamma rays, making it impossible to observe these energy transitions with resonance fluorescence. However, recoilless emission and absorption of gamma rays, known now as the Mössbauer effect, was discovered in 1957 and is possible when the absorbing nucleus is contained within a solid, unreactive matrix [120]. This containment increases the effective mass of the nucleus, decreasing recoil greatly, and recoil is eliminated entirely if the energy of the gamma ray is too low to cause phonon transmission through the sample. In a recoilless event, a nucleus that absorbs a gamma ray of a certain energy will



then emit a gamma ray of the same energy (Fig. 2.6).

Figure 2.6: Experimental setup of Möossbauer spectroscopy measurements. If the emitter and absorber nuclei are identifical, the transmission spectrum will be a simple peak, as it appears above. [12]

Therefore, in order to study a sample, the emitting nuclei of the source must be the same as the absorbing nuclei–for identical nuclei (in identical environments) this transmission will always occur. For an absorber nucleus in a different environment, however, the emitted gamma ray must be altered as well. This is done using the Doppler effect; the emitting source is moved forward or backwards at specific speeds reported in units of mm/s, and because the change in energy is so small, the transmission spectra are typically reported in terms of intensity of transmission versus source velocity for simplicity. [12,121] It is important to note that this technique investigates the environment surrounding one specific atomic species.

There are three primary environmental factors that will influence the energy levels of the absorber nuclei: isomer shift, quadrupole splitting, and Zeeman splitting. The isomer shift (chemical shift) corresponds to the electric monopole interaction between the nuclear charge distribution and the potential generated by the electronic charge distribution penetrating the nucleus. As a result of this interaction, the nuclear energy level will be shifted by a very small amount relative to the emission source (Fig. 2.7), which is different for each nuclear state. The isomer shift can be readily computed from a Mössbauer spectrum as the distance of the resonance line from zero Doppler velocity. Quadrupole splitting occurs due

to the presence of an electric field, and it results in the splitting of the central peak (known as a singlet) into two peaks, a doublet; it is also seen as a measure of the asymmetry of the magnetic contributions due to Zeeman splitting. Zeeman splitting is the splitting in energy levels due to the presence of magnetic dipoles (Fig. 2.7) and the magnetic hyperfine interaction between the magnetic dipole moment of the absorber nucleus and the magnetic hyperfine field surrounding it results in six peaks, a sextet (Fig. 2.8 shows an ideal example of this). The transmitted Mössbauer spectrum is then a superposition of singlets, doublets and sextets which need to be deconvoluted to obtain the Mössbauer parameters corresponding to the hyperfine interactions present in the sample [122]. The fitting of Mössbauer spectra is incredibly complex and requires the use of computational software which can optimize several variables for the best fit of the superposition of the isomer shift, quadrupole splitting, and Zeeman splitting. The theoretical peak shape is a Lorentzian fit, and any deviation from a perfect for for each peak is an indication of imperfections in the sample, or improper fitting through the software due to constraints in the system (such as defining the isomer shift to be a physically impossible value). Multicomponent systems are especially challenging to fit properly as there are multiple magnetic interactions in the system, so the magnetic behavior will not lead to a single sextet in the spectrum, but several overlapping sextets which must be fit properly to calculate the strength of the field between the Fe<sup>57</sup> atoms and each of its neighbors.

The hyperfine field surrounding the absorber nucleus can be thought of as the effective magnetic field of the sample acting at the location of the nucleus. When the hyperfine parameters fluctuate from one site to another, they give rise to hyperfine magnetic field distributions, which are obtained through the optimal fitting of the spacing and intensity of every individual set of peaks caused by Zeeman splitting in the Mössbauer spectrum [123]. The average hyperfine field gives us a measure of the strength of the average magnetic strength of atoms surrounding the absorber atoms in an alloy, which in a disordered solid solution should give a good estimate of the overall magnetic response of the alloy given that each species of atom will sit in a similar environment. The presence and absence of these hyperfine fields distinguishes ferromagnetic and paramagnetic phases.



Figure 2.7: Electronic energy levels experiencing Zeeman splitting due to magnetic dipoles (right). [13]

The Mössbauer spectra obtained for my alloys were taken using a <sup>57</sup>Co gamma ray source embedded in a Rh matrix. This emitter nucleus is used to probe <sup>57</sup>Fe atoms because of its gamma ray energies, so all spectra and hyperfine field distributions are explorations of the local environment surrounding <sup>57</sup>Fe atoms in my alloys. The concentration of the <sup>57</sup>Fe isotope in naturally occurring iron is only around 2%, so in a sample containing around 20% Fe, the gamma rays are only probing around 0.4% of the atoms in the alloy.

# 2.3.3 Magneto-Optical Kerr Effect

MOKE imaging uses polarized light to probe a magnetic sample; a ray of polarized light is directed at the sample at an angle, and the polarization is rotated upon reflection after it interacts with the magnetic domains in the sample. The reflected polarized light is measured with a photovoltaic diode. Because the light is reflected, the domains can only be imaged if the sample is close to atomically smooth. The thin film version of my high entropy alloys were used for these measurements, after structural and magnetic measurements confirmed



Figure 2.8: Example Mössbauer spectra for gamma rays after passing through  $Fe_{80}B_{20}$ . All six peaks due to Zeeman splitting are clearly defined. [14]

they were similar enough to the bulk for fair comparison of results.

The system used for any MOKE measurements allowed for low temperature measurements down to 10K as the sample is kept in a cryostat environment, and also allowed for measurements with applied fields up to 0.8T.

# 2.4 Applied Pressure Experiments

## 2.4.1 High Pressure XRD

High pressure x-ray diffraction was performed at Argonne National Lab's HPCAT 16-BM-B high pressure station. To apply hydrostatic pressure (evenly from all sides), I use specially designed boron nitride (BNi) Paris Edinburgh ceramic cells(Fig. 2.9) which house small amounts of sample (<1mg). [124–126] The HPCAT 16-BM-B station uses a diamond anvil to compress the cell; the internal pressure of the sample is calculated using a wellcharacterized gold reference piece, which is also placed in the BNi cell. The x-rays can be focused on different parts of the cell so that it passes through the reference and sample separately. The diamond anvil cell can induce internal pressures up to 7 GPa.

Instead of the angle of acquisition being varied and the energy of the x-rays remaining



Figure 2.9: Diamond anvil cell designed to apply uniform pressure to samples

constant, the photon source provides a large range of x-ray energies known as "white radiation", and the relative angle between the sample and x-ray source remains constant, which means that the detector is measuring the full spectrum of diffraction at any given time (Fig. 2.10). [127] Photons outside of the desired energy range are filtered out.



Figure 2.10: Beamline setup in which white radiation passes through the pressure cell into a solid state detector.

# 2.4.2 High Pressure Magnetometry

High pressure magnetometry measurements were taken at Lawrence Livermore National Lab in a superconducting quantum interference device (SQUID) magnetometer. The samples were placed inside a small Mcell 10 pressure cell (Fig. 2.11) which allows for uniform applied pressures up to 1 GPa. Pressure is applied with a Mpress Mk2 press and the desired pressure is maintained by tightening the locknuts before loading the sample into the SQUID. A SQUID magnetometer measures magnetic moment using Josephson junctions, which is a superconducting tunnel junction which has a small current traveling through it. The magnetic flux from an external magnetic field from a magnetic sample will produce an additional current traveling through the loop to cancel the flux. This allows for the measurement of incredibly small magnetic fields, and is more sensitive than vibrating sample magnetometers.



Figure 2.11: Mcell 10 pressure cell, which houses the sample in the TPFE plug and is mounted in a SQUID system. [15]
# Chapter 3

# Developing Magnetocaloric HEAs in the FeCoNiCuX System

# 3.1 Basis of Alloy Development and Curie Temperature Engineering

To ensure my initial attempt at developing a magnetocaloric high entropy alloy was reasonably broad and meticulous in its theoretical grounding, I began this study by synthesizing a large range of HEAs with one variable element. Based on the increase of entropy calculated from equation 1.21 and the literature on previous HEAs of interest, I decided to develop HEAs containing a minimum of five elements. I first developed a four element starting composition which maximized the configurational entropy while also keeping the saturation magnetization of the alloy high. The periodic table restricts us magnetically to three ferromagnetic elements with high  $T_c$ , so the first three components are iron, cobalt, and nickel. Pure iron has the highest magnetization, but combining the three elements in equal atomic amounts increases configurational entropy of the system considerably while maintaining a fairly large magnetization, and results in a distribution of ferromagnetic exchanges interactions in the system: Fe-Fe, Co-Co, Ni-Ni, Fe-Co, Fe-Ni, and Co-Ni. However, the  $T_c$  of the three component alloy FeCoNi is still much higher than the desired room temperature range. Several groups have looked at four component high entropy alloys containing iron, nickel and cobalt [92], but the most well documented and best suited for magnetocaloric applications, FeCoNiCr, has been shown to have some phase instability, meaning that evidence of phase segregation has been found. This is significant as phase segregation would degrade the magnetic properties of the original alloy over time. [88]

This led us to chose a different fourth element, copper, to the FeCoNi basis, which increases the configurational entropy of the system by 26% (S=ln(3) to S=ln(4)). Copper was chosen as it is weakly diamagnetic, which means it is repelled by magnetic fields and does not contribute a magnetic moment or exchange energy to the system. However, it does exhibit a small amount of RKKY coupling, which is the interaction between nuclear spins of neighboring atoms and conduction electrons in atoms. Conduction electrons are the non-localized electrons which make up the electron cloud in transition metals-this creates a correlation energy between the two nuclei. Compared with the ferromagnetic components, however, copper is not greatly influenced by surrounding magnetic moments [128]. The atomic size of copper is also close to the atomic radii of iron, cobalt, and nickel, which means it is more likely to form a solid solution with them than a much larger element. Copper does not af-

Composition	$T_c$ (Exp)
FeCoNiCu	> 1000K
FeCoNiCuMo	580K
FeCoNiCuPt	930K
FeCoNiCuV	160K
FeCoNiCuGa	800K
FeCoNiCuAg	1000K
FeCoNiCuMn	405K
FeCoNiCuGaV	120K
FeCoNiCuVPt	667K
FeCoNiCuGaPt	$625 \mathrm{K}$
FeCoNiCuGaVPt	109K

Table 3.1:  $T_c$  of several 5+ component HEAs.

fect the strength of the pairwise ferromagnetic interactions already present but does dilute them by filling sites that would have held a magnetic element, decreasing the number of magnetic nearest neighbors and leading to a greater percentage of weaker second and third neighbor interactions, which means the value of  $T_c$  as well as the saturation magnetization are lowered. However,  $T_c > 1000$ K for equiatomic FeCoNiCu, so a single diluent is not enough to achieve a room temperature  $T_c$ . At this point it should be noted that all of these elements have an FCC primary phase or allotrope at room temperature (a phase which is not necessarily the dominant phase at that temperature, but can still be formed under the right conditions), so it is not unreasonable or surprising that these magnetic alloys form an FCC phase given that they are all similar in size and are able to close pack. From here, the higher configurational entropy that comes with a fifth element has to work against the effects of different atomic sizes, electronegativity, and other issues which cause segregation in alloys according to the Hume Rothery rules.

Several transition metals were added as fifth, sixth, and sometimes seventh elements to this base system in equiatomic amounts to observe the effect of each on the magnetic and structural properties of the system. The preliminary analysis of this broad selection of alloys was solely focused on  $T_c$  for each alloy to observe the difference from FeCoNiCu, and Table 3.1 lists these results. All six and seven element alloys were created for the purpose of observing how two elemental additions that produced five element alloys with  $T_c$  very far above or below room temperature would behave when combined. None of these alloys yielding promising results as the addition of extra elements diluted the magnetization and did not result in any alloys with  $T_c$  close enough to room temperature for further consideration, but some are included to demonstrate the result of different elemental combinations. For example, Pt and V were combined due to the fact that Pt as a fifth element did not lower  $T_c$ significantly, but V lowered  $\mathbf{T}_c$  to well below room temperature. However, the combination of the two led to an alloy with  $T_c$  still significantly above room temperature despite a large decrease in magnetization due to V. It should also be noted that at this point, the crystal structure of each allow in Table 3.1 was not measured, so it is possible that some of these alloys did not form a single phase HEA.

From the group of five component alloys, four were selected for further study of the structural and magnetic properties to determine fitness for magnetocaloric applications: 1) FeCoNiCuAg, 2) FeCoNiCuMn 3), FeCoNiCuPt, and 4) FeCoNiCuMo. These four were chosen because each addition had different characteristics that greatly affect the magnetic properties of an alloy.

#### 1) FeCoNiCuAg:

Silver is a diamagnetic metal, and thus its main contribution to the FeCoNiCu system is dilution, similar to the role of Cu. Through RKKY interactions, it does exhibit slight contact polarization in alloys which could contribute to the magnetization [129].

#### 2) FeCoNiCuMn:

Manganese is antiferromagnetic at nearest neighbor distances, so close Mn-Mn bonds will contribute antiferromagnetic interactions and thus negative exchange to the system, lowering  $T_c$  in the material. The pairwise interactions Mn-Ni, Mn-Co, and Mn-Fe are antiferromagnetic at these distances as well, further contributing to the lowering of  $T_c$ . [130] The increased number of exchange interactions broadens the exchange distribution, which should increase refrigeration capacity by increasing the FWHM of the entropy curve, but the negative exchange will lower the overall magnetization, decreasing  $\Delta S_{peak}$ .

#### 3) FeCoNiCuPt:

Platinum is a Stoner enhanced metal, which means that on its own it is paramagnetic, but its weak paramagnetic magnetic moment is bolstered by electronic proximity to ferromagnetic elements like Fe, Co, and Ni. This gives rise to additional Fe-Pt, Co-Pt, and Ni-Pt exchange interactions which should broaden the  $\Delta S$  curve and increase refrigeration capacity as well. [131–134]. As these are positive interactions, T<sub>c</sub> will be lowered only by dilution.

#### 4) FeCoNiCuMo:

Molybdenum contributes virtual bound states to the alloy due to its valence difference with the magnetic constituents of the alloys. This causes free electrons to become bound to specific energy bands, thus removing them from contributing to the magnetic spin of the system. This can lower  $T_c$ , but will simultaneously lower the magnetization [135–137].

### **3.2** Structural Characterization

High entropy alloys are a solid solution of randomly distributed atoms on a lattice. As discussed in section 1.4.1, the unique properties of HEAs rely on this random distribution, as atomic ordering and clustering tend to negatively affect the properties and weaken the alloy. To ensure my alloys were structurally single phase, I first performed x-ray diffraction (XRD) on each sample, which gave us the crystal structure and lattice parameters of each. The resulting XRD peaks for all four alloys are shown in figure 3.1. Each of the four alloys in question exhibits a face centered cubic (FCC) crystal structure, and all alloys have similar lattice parameters. Each peak in Fig. 3.1 was indexed for the corresponding set of FCC planes. This served to identify the obvious presence of a second phase in the alloys, which would produce extraneous peaks if they had a different crystal structure or lattice parameter. There is evidence of a small amount of a second crystal structure in FeCoNiCuAg evidenced by the few small peaks indexed as BCC peaks in Fig. 3.1, indicating a BCC structure. However, a lack of these extra peaks does not confirm that the distribution of the atoms within these alloys is entirely random and single phase. Clustering of atomic species with similar lattice parameters and the same crystal structure of the bulk would not be evident through diffraction.

I then performed electron dispersive spectroscopy (EDS) in a scanning electron microscope (SEM) to map the compositions of each alloy over a selected area. Fig. 3.2 shows the combined compositional maps for each alloy on the micron scale. FeCoNiCuMn (3.2a) and FeCoNiCuPt (3.2c) both appear homogeneous at this scale. However, there is clear inhomogeneity in the FeCoNiCuAg (3.2b) and FeCoNiCuMo (3.2d) samples. The individual elemental maps reveal that in FeCoNiCuAg, there is formation of Ag clusters and Cu deficits. Though the Fe elemental map is too weak to confirm directly, pure Fe has a BCC structure



Figure 3.1: X-ray diffraction scans for each five-component HEA. FCC peaks are labelled at the top in black, and some extraneous BCC peaks labelled in red can be seen in the red spectrum of FeCoNiCuAg.

with peaks consistent with the extra peaks present in red in Fig. 3.1, which confirms some Fe segregation as well. Elemental composition maps for FeCoNiCuMo reveal that the evident clusters are Cu rich, which is unsurprising as Cu is immiscible in Mo [17] (see Appendix B, Fig. B.1 for binary phase diagram). These clusters do not result in extra peaks in the XRD scans because the crystal structure and lattice parameter of pure Cu is very close to FeCoNiCuMo (3.615 A°). These results show that neither Ag nor Mo additions result in a single phase solid solution, which is significant because we are relying on the high entropy aspect of the alloys to broaden the magnetic response.



Figure 3.2: EDS composition maps of (a) FeCoNiCuMn, (b) FeCoNiCuAg, (c) FeCoNiCuPt, and (d) FeCoNiCuMo. Clustering is evident in (b) and (d).

### 3.3 Magnetic Characterization

I measured the Curie temperature, saturation magnetization, and refrigeration capacity using a Physical Properties Measurement System (PPMS) with a Vibrating Sample Magnetometer (VSM) head attached (Fig. 3.3). Saturation magnetization is determined by applying a large field (typically above 2T) to a sample and measuring the magnetization as it approaches 0K.  $T_c$  can be approximated as the inflection point in a magnetization versus temperature curve taken at low field, or calculated more exactly using the Arrott Noakes equation of state to fit the curve. The values of  $T_c$  I report in Tab. 3.1 and 4.2 are the approximations based on the inflection point method because the inflection point coincides with the peak of the  $\Delta$ S curves for each alloy. The refrigeration capacity requires measuring several magnetization versus field curves at temperatures ranging above and below  $T_c$ . These



values for the four alloys of interest are compiled in Tab. 3.2.

Figure 3.3: Magnetization versus temperature for (a) FeCoNiCuMn, (b) FeCoNiCuAg, (c) FeCoNiCuPt, and (d) FeCoNiCuMo. Dotted red lines indicate the inflection point slope used to calculate  $T_c$ . Green arrows indicate cooling curve and red arrows indicate heating curves.

Because platinum is a Stoner enhanced metal, additional exchange interactions were contributed to FeCoNiCuPt, resulting in the largest saturation magnetization and an increased refrigeration capacity. However,  $T_c$  is still 630K above room temperature, making this alloy unfit for commercial refrigeration applications. The additional virtual bound states caused by the presence of molybdenum lowered  $T_c$  of FeCoNiCuMo by several hundred Kelvin, but also dramatically reduced the saturation magnetization and refrigeration capacity. Diamagnetic silver did not lower  $T_c$  enough for accurate magnetic characterization, but did lower the magnetization through dilution, thus also lowering the refrigeration capacity. The addition of manganese lowered  $T_c$  to 400K, only 100K above room temperature, while still maintaining a substantial magnetic moment and refrigeration capacity. The refrigeration capacity of FeCoNiCuMn is similar to that of the FeCoNiCuPt despite FeCoNiCuPt having a magnetic saturation (and thus  $\Delta$ S value) almost twice as large as that of the FeCoNiCuMn because the presence of Mn increases the number of exchange interactions in the alloy which widens FWHM of the  $\Delta$ S curve. I also note that the magnetization versus temperature curves show abnormal hystersis upon cooling versus heating for the Ag and Mo alloys which is related to the phase segregation seen in EDS analysis; different magnetic phases which are affected by temperature form upon heating, changing the T<sub>c</sub> and shape of the curve upon cooling. This further emphasizes the importance of a stable single phase high entropy alloy for magnetocaloric applications, as the magnetocaloric properties must remain constant for several heating and cooling cycles.

Composition	$T_c$ (K)	$M_s (emu/g)$	RC (J/kg)	Homogeneous?
			at $H_{max} = 0.55T$	
FeCoNiCuMo	660	18	4	No
FeCoNiCuPt	930	70	18	Yes
FeCoNiCuAg	> 1000	36	_	No
FeCoNiCuMn	405	40	16	Yes

Table 3.2:  $T_c$ .  $M_s$ , RC, and assessment of homogeneity for HEAs.

### 3.4 Summary

I assessed the properties of several alloys with the structure FeCoNiCuX where X was one of several transition metals. I studied in detail the different effects of these various elements on both the structural and magnetic properties of the alloys. FeCoNiCuAg did not have a reduced  $T_c$  because Ag is diamagnetic, and the larger atomic radius of Ag caused chemical separation in the alloy. FeCoNiCuMo also suffered chemical separation, and the attractive reduction in  $T_c$  was outweighed by the much greater reduction in the magnetization and refrigeration capacity. Neither alloys are single phase solid solutions due to the existence of a second phase, which decreases the efficacy of the desired effect from high entropy mixing. FeCoNiCuPt was compositionally homogeneous and had a large magnetization and refrigeration capacity, but  $T_c$  remained too high for these alloys to be commercially viable. Finally, FeCoNiCuMn benefited from the antiferromagnetic interactions from manganese, which lowered  $T_c$  close to room temperature while maintaining homogeneous structure and a competitive refrigeration capacity. FeCoNiCuMn and FeCoNiCuPt both exhibit promising magnetic properties as well as structural homogeneity, but the former was chosen for further study because of its more attractive  $T_c$  and due to the price of Pt, which is more expensive, and therefore less viable, for commercial production of bulk alloys. [138, 139]. I can also posit that the Mn contribution was the most successful in creating a suitable HEA partially because it follows the Hume-Rothery rules for solid solution formation given that it is very similar in atomic size, electron valency, and electronegativity to Fe, Ni, Co, and Cu.

## Chapter 4

# Analysis of Compositional Variation In FeCoNiCuMn System

# 4.1 Psuedo-Binary Compositional Variations in System

In chapter 3 I found that the addition of antiferromagnetic manganese, which contributes negative exchange interactions to the system, dramatically lowers  $T_c$  of the alloy from over 1000K to 400K. To further tune  $T_c$  of alloys in the FeCoNiCuMn alloy system to achieve values even closer to room temperature, I studied several alloys where the Mn content was increased in equal amounts to another element being decreased. This variation is called a psuedo-binary as it mimics the changing compositions in a binary alloy system by changing only two elements at a time, but contains other elements whose compositions are remaining constant. For a five component alloy in which Mn is always varied, there are then four possible pseudo-binary alloy systems: FeCoNiCu<sub>1-x</sub>Mn<sub>1+x</sub>, FeCoNi<sub>1-x</sub>CuMn<sub>1+x</sub>, FeCo<sub>1-x</sub>NiCuMn<sub>1+x</sub> and Fe<sub>1-x</sub>CoNiCuMn<sub>1+x</sub>. The two element variation ensured that the changes observed could be attributed to the compositional decrease of one specific atom, as all other elemental compositions are kept constant at 20%. Table 4.1 shows calculations for

# of Equiatomic Components	$\begin{array}{c}  S_{config}  \\ \mathrm{J/mol}\ \mathrm{K} \end{array}$	$\frac{ S_{2-equi} - S_{config} }{\text{J/mol K}}$	% Increase from $S_{2-equi}$
2	0.693	0	0%
3	1.099	0.405	58%
4	1.386	0.693	100%
5	1.6094	0.916	132%
Psuedo-Binary Composition	$S_{config}$	$ S_{5-equi} - S_{config} $	% Decrease from $S_{5-equi}$
19.5/20.5	1.6093	1.25E-4	0.007%
19/21	1.6089	5E-4	0.03%
18.5/21.5	1.6083	1.13E-3	0.07%
18/22	1.6074	2E-3	0.124%
17.5/22.5	1.6063	3.1E-3	0.195%
17/23	1.6049	4.5E-3	0.28%

Table 4.1: Top:Configurational entropy calculated for equiatomic alloys with different number of components. Right columns show the difference between the calculation and a binary alloys ( $S_{2-equi}$ ), and the % S increases. Bottom: Configurational entropy calculated for small psuedo-binary departures from equiatomic in 5-component alloys. Right columns show the net difference from equiatomic, and the % S decreases.

the configurational entropy (equation 1.21) of 2, 3, 4, and 5 component equiatomic alloys, as well as the entropy for 5 component alloys in which two atoms are varied in a psuedo-binary, to show how much entropy is lost as I explore these small compositional departures to tune  $T_c$ . The configurational entropy increases by 132% as I move from a binary equiatomic alloy to a 5-component equiatomic alloy, but the configurational entropy decreases less than half a percent as I depart from equiatomic by a few atomic percent in a psuedo-binary. Thus, I concluded it was unlikely that the small compositional changes required to tune  $T_c$  would result in instability of the single phase solid solution.

This proved to be accurate for my alloy system; each variation achieved a  $T_c$  at room temperature with very small compositional changes (x < 0.1), and all alloys were confirmed to be single-phase, FCC solid solutions using x-ray diffraction. Fig. 4.1 shows the relationship between  $T_c$  and atomic percentage of Mn for each pseudo-binary alloy system, and it's important to note that these relationships are not entirely linear, making it difficult to predict what  $T_c$  a more complex elemental variation will yield. The refrigeration capacity of each system was measured as well, and demonstrate a similar trend (Fig. 4.2). Of these, the Cu-Mn and Fe-Mn pseudo-binaries produced alloys with the largest RC values at a room temperature  $T_c$ . For the Cu-varied system, FeCoNiCu<sub>0.95</sub>Mn<sub>1.05</sub> was the composition found to have a room temperature magnetic transition, with a refrigeration capacity of 13.4 J/kg at  $H_{max}$ =0.55 T (this scales up to 36 J/kg at  $H_{max}$ =1.5 T). The Fe-varied alloy with a room temperature  $T_c$  was Fe<sub>0.975</sub>CoNiCuMn<sub>1.025</sub> and a refrigeration capacity of 14.01 J/kg at 0.55 T (which scales to 37.4 J/kg at 1.5 T).



Figure 4.1:  $T_c$  values for alloys in the FeCoNiCuMn psuedo-binary systems, plotted with respect to their atomic Mn content. The two most promising alloys are circled in red.

Though the Fe-Mn varied alloy system yields a slightly larger refrigeration capacity at room temperature, it is important to remember that the magnetic transition temperature shifts upward in larger applied fields. Thus, for applications that allow for larger applied fields, I consider alloys in my system with  $T_c$  slightly below room temperature. The Cu-Mn pseudo-binary appears to be more attractive when considering these lower  $T_c$  alloys; the decrease in Cu required to balance the increase in Mn maintains the ferromagnetic pairwise contributions in the alloy as constant; therefore the overall magnetization and refrigeration capacity decrease more slowly with higher Mn content than in the Fe-Mn pseudo-binary; we see in the move from Fe<sub>0.975</sub>CoNiCuMn<sub>1.025</sub> to Fe<sub>0.95</sub>CoNiCuMn<sub>1.05</sub> that there is a large reduction in RC even with a relatively small reduction in  $T_c$ .



Figure 4.2: RC values for alloys in the FeCoNiCuMn psuedo-binary systems, plotted with respect to their atomic Mn content. The two most promising alloys are circled in red.

I performed thermal analysis of these alloys using differential scanning calorimetry (DSC). At the transition temperatures, these DSC measurements yield heat capacities around 250 J/kgK. For FeCoNiCu<sub>0.95</sub>Mn<sub>1.05</sub>, eq. 1.19 then yields  $\Delta T_{ad}=0.8$  K for a single demagnetization cycle from a max field of 1.5 T. At H<sub>max</sub>=1 T, this drops to  $\Delta T_{ad}=0.5$  K. We can compare these values with experimental values obtained for two well-studied magnetocaloric materials, La(Fe,Co,Si)<sub>13</sub> ( $\Delta T_{ad}=2.4$  K)and La<sub>0.67</sub>Ca<sub>0.26</sub>Sr<sub>0.07</sub>Mn<sub>1.04</sub>O<sub>3</sub> ( $\Delta T_{ad}=1.0$  K), in an active magnetic regenerator refrigerator, to gauge our alloys effectiveness as an MCE material [52].

For comparison of their utility in commercial magnetocaloric applications, a summary of some of the most promising magnetocaloric materials can be found in Table 4.2. The alloys in Table 4.2 are restricted to those with a higher order transition, which means they have smaller  $\Delta S_{peak}$  values and broader FWHMs, as they offer the most meaningful comparison. It is clear from the  $\Delta T_{ad}$  calculations as well as from the comparisons in Table 4.2 that while my alloys are on par with the other high entropy alloy (FeCoNiCrPd<sub>0.059</sub>), its magnetocaloric response is not large enough to make a compelling argument for commercialization, but there are several more specialized applications which merit exploring the properties of the FeCoNiCuMn system in more depth.

Composition	$H_{max}(\mathbf{T})$	$T_{peak}$ (K)	$ \Delta S_{max} (\mathrm{J/kgK})$	$RC_{FWHM}$	Reference
				at $H_{max}$ (J/kg)	
FeCoNiCu <sub>0.95</sub> Mn <sub>1.05</sub>	5	320	2.2	140	My work $[140]$
$Fe_{0.975}CoNiCuMn_{1.025}$	5	318	2.3	134	My work $[140]$
$FeCoNiCrPd_{0.059}$	5	150	0.8	170	[91]
$Pr_2Fe_{17}$	1.5	305	0.75	$\sim 35$	[141, 142]
$\mathrm{Gd}_{5}\mathrm{Ge}_{2}\mathrm{Si}_{2}$	2	240		45	[143]
$\mathrm{Fe}_{75}\mathrm{Nb}_{10}\mathrm{B}_{15}$	1.5	250	0.6	115	[144]
$Mn_{1.1}Fe_{0.9}Pe_{0.78}Ge_{0.22}$	5	280		400	[145]
$\mathrm{Fe}_{88}\mathrm{Zr}_{7}\mathrm{B}_{4}\mathrm{Cu}_{1}$	1.5	300	1.3	166	[146]
$\mathrm{Fe}_{70}\mathrm{Ni}_{30}$	1.5	363	0.6	158	[42]
$(Fe_{70}Ni_{30})_{96}Mo_4$	5	300	1.67	432	[82]

Table 4.2: Summary of properties of several promising magnetocaloric materials; my current alloys of focus are listed first.

Our magnetic and thermal analysis has given us general insight into the behavior of this alloy system and its utility for magnetocaloric applications. However, the number of magnetic interactions in these alloys, both ferromagnetic and antiferromagnetic, added to the already complex requirements for high entropy alloy formation, makes these alloys incredibly interesting from a more fundamental perspective. I then turned to Mössbauer spectroscopy to observe the relationship between the pairwise exchange interactions present in these alloys and the average magnetic behavior.

## 4.2 Magnetic Analysis through Mössbauer Spectroscopy

#### 4.2.1 Qualitative Observation of Exchange Interactions

Mössbauer spectroscopy (explained more fully in section 2.3.2) was performed on 11 samples in the FeCoNiCuMn alloy system in collaboration with Monica Sorescu at Duquesne University in order to explore the magnetic interactions in these samples more fully: equiatomic, two alloys in each psuedobinary extending to 21 at% Mn, and two additional alloys in the Cu-Mn psuedobinary extending to 22 at% Mn. The Mössbauer spectra, when fit for Zeeman splitting, reveal a complex hyperfine field distribution containing several discrete peaks. The peaks are not coherent enough to fit individually, which prohibits us from quantifying the amount in each distribution or assigning specific field values to each peak. However, their presence alone is enough for some qualitative discussion of these alloys. The four pseudobinaries probed, Cu-Mn, Ni-Mn, Co-Mn, and Fe-Mn, produce a range of alloys with  $T_c$ spanning from 400K to below room temperature, and the average hyperfine field of these alloys decreased with  $T_c$  (Tab. 4.2.2), but it is still non-zero for the compositions with  $T_c$ lower than room temperature. This is evidence that my materials undergo a broad, higher order magnetic transition, as a first order transition would result in a sharp drop in the average hyperfine field.

Each hyperfine field distribution exhibits a handful of peaks at several values of field strength, some which are obscured and appear as shoulders on other peaks. Several identifiable peaks are indicated by red arrows in Fig. 4.3. Though I cannot definitively quantify the number of peaks in each distribution, it is worth pointing out that the number of peaks and shoulders identified for each alloy is around six; there are six ferromagnetic pairwise interactions in each alloy (Fe-Fe, Co-Co, Ni-Ni, Fe-Co, Fe-Ni, and Co-Ni). These peaks show evidence of these individual pairwise interactions, demonstrating that the magnetic behavior is an average over these interactions and is responsible for the broad magnetocaloric response in these alloys. Past work by Kimball et al. has shown that Mössbauer spectroscopy can

Composition	% Mn	$T_{peak}$	Average Hyperfine	Isomer
		(K)	Field $(T)$	Shift $(mm/s)$
FeCoNiCuMn	20	395	12.68	-0.051
$FeCoNiCu_{0.975}Mn_{1.025}$	20.5	321	6.68	-0.056
$\rm FeCoNiCu_{0.95}Mn_{1.05}$	21	297	5.07	-0.086
$\mathrm{FeCoNiCu}_{0.925}\mathrm{Mn}_{1.075}$	21.5	279	3.73	-0.09
$\rm FeCoNiCu_{0.9}Mn_{1.1}$	22	264	3.41	-0.08
$FeCoNi_{0.975}CuMn_{1.025}$	20.5	319	7.08	-0.025
$\rm FeCoNi_{0.95}CuMn_{1.05}$	21	280	4.45	-0.094
$FeCo_{0.975}NiCuMn_{1.025}$	20.5	320	7.33	-0.037
$FeCo_{0.95}NiCuMn_{1.05}$	21	292	4.79	-0.09
Fe <sub>0.975</sub> CoNiCuMn <sub>1.025</sub>	20.5	299	6.24	-0.079
$Fe_{0.95}CoNiCuMn_{1.05}$	21	292	4.43	-0.09

Table 4.3: Mössbauer spectroscopy data for FeCoNiCuMn pseudo-binaries.

identify antiferromagnetic behavior in Fe-Mn alloys, even with spectra that do not have fully resolved six peaks due to Zeeman splitting. [123] The FeCoNiCuMn spectra collected are weak because they were obtained at room temperature, near  $T_c$  for these alloys, but spectra taken at a lower temperature would allow more resolved peaks, which could allow us to examine the antiferromagnetic interactions in these alloys as well.

#### 4.2.2 Quantitative Analysis of Hyperfine Field Distributions

Though the hyperfine field distributions for the Mössabauer spectra are too weak to fit, we can use the corresponding average hyperfine fields to reveal the contributions from each atom to the changing values. Table 4.2.2 lists the average hyperfine fields in each alloy, which indicates the average strength of the exchange interactions between the atoms, alongside the transition temperature,  $T_{peak}$  (or  $T_c$ ) for each alloy and the isomer shift (all raw spectra and hyperfine field distributions are included in Appendix C.1). Because the isomer shift is a measure of Coulomb interactions in the alloy, it should be larger as the density of the s-orbit electrons increase, or conversely as there is less d-electron screening of the s-orbitals. We see this reflected in the isomer shift values for these alloys, which increase with Mn content as Mn contains fewer d-orbital electrons than the other atoms present.



Figure 4.3: Top: Mössbauer spectra for two HEA alloys. Bottom: Corresponding HFDs, with discrete peaks and shoulders denoted with red arrows.

The average hyperfine fields decrease linearly with the  $T_{peak}$  values, a correlation strong enough that I can use it to gain further information about the system (Fig. 4.4). We can see at the low T region of the plot that the Cu-Mn pseudobinary begins to level off as it approaches lower  $T_c$  values, but the majority of the data lies on a line with a maximum  $\langle HFD \rangle$ deviation of  $\pm 0.35T$ . Thus, a calculated or experimental value of the average hyperfine field can be used to estimate  $T_c$  of an alloy, and vice versa, within this range. This is also significant as it allows to assess the contributions from the individual atomic species in the system.



Figure 4.4:  $T_c$  of pseudo-binary alloys plotted against average hyperfine field, demonstrating that the relationship is composition-independent for small variational changes.

To calculate the contribution from each element to the average hyperfine field, I follow the model laid out in Brent Fultz' 1993 paper [147], which investigates the change in hyperfine fields experienced by Co atoms in BCC solid solutions as a function of the composition of the nearest and next nearest neighbors, also known as the addition perturbation model. The model begins by defining the hyperfine field of the solid solution as the hyperfine field of pure Co,  $H_o$ , plus a perturbation,  $\Delta H$ :

$$H = H_o + \Delta H \tag{4.1}$$

This perturbation can be broken into three terms:

$$\Delta H \approx n_1 \Delta H_1^x + n_2 \Delta H_2^x + \kappa c \tag{4.2}$$

where  $n_1$  and  $n_2$  refer to the number of nearest and next nearest neighbors, respectively, and  $\Delta H_1^x$  and  $\Delta H_2^x$  refer to the contributions from each set of neighbors. All further neighbors, whose contributions to the hyperfine field are relatively weak, are wrapped into the  $\kappa c$ term, where  $\kappa$  is the average concentration dependence of the perturbations, and c is the concentration of solute atoms. Though this model is based around a single solute in a BCC lattice, it is easily extended to other systems, and has been used to explore many atomically ordered systems [30, 148–154]. However, there are several instances of this model being used to explore atomically disordered additions or entirely disordered systems as well, often in Fe-Ni binary alloys [155–161]. To model an FCC crystal, the neighbor terms are changed to to  $n_1=12$  and  $n_2=6$ . It's important to note that though there is a term for further neighbors, this consideration is less important for an FCC alloy, in which electrons are more localized to the atoms given the close packed structure, versus a BCC alloy. [162] In section 4.2.1, I provide qualitative evidence of local moment interactions in my alloys through the discrete peaks visible in the hyperfine field distribution. The  $H_o$  term is now defined as the average hyperfine field of the equiatomic FeCoNiCuMn alloy, and the  $\Delta H$  contribution is broken into several solute terms:

$$\Delta H = \Delta H_{Ni} + \Delta H_{Fe} + \Delta H_{Mn} + \Delta H_{Cu} + \Delta H_{Co} \tag{4.3}$$

and it follows that the average contribution from all is a sum of the average of each:

$$\langle \Delta H \rangle = \langle \Delta H_{Ni} \rangle + \langle \Delta H_{Fe} \rangle + \langle \Delta H_{Mn} \rangle + \langle \Delta H_{Cu} \rangle + \langle \Delta H_{Co} \rangle \tag{4.4}$$

Because we cannot get accurate variance information from the hyperfine field distributions, we do not have enough information to distinguish between nearest and next nearest neighbor. This would require data from twice as many alloys in the FeCoNiCuMn HEA family. However, as long as my alloys are truly randomly distributed solid solutions, this is not as important a distinction for us to make (versus an ordered alloy in which site-specific information would be desirable). The terms in the  $\Delta H$  expressions, then, can be lumped together into one new value,  $G_x$ , where G is the average of the near neighbor and next nearest neighbor influence:

$$\langle \Delta H \rangle = \sum_{x} c_x (12\Delta H_1^x + 6\Delta H_2^x) = \sum_{x} c_x G_x \qquad x = \{Ni, Fe, Mn, Cu, Co\}$$
(4.5)

Thus the final expression for the perturbations due to the change in composition for my alloys simplifies to:

$$\langle \Delta H \rangle = c_{Fe}G_{Fe} + c_{Cu}G_{Cu} + c_{Mn}G_{Mn} + c_{Ni}G_{Ni} + c_{Co}G_{Co} \tag{4.6}$$

With enough different alloys, and accurate compositional data for each alloy (the full compositional data of these alloys is listed in Appendix A.1), the values of  $G_x$  for each atom can be calculated using the average hyperfine field values listed in Table 4.2.2. The resulting values of  $G_x$  are listed in Table 4.4. These values validate the assumptions I have made about each element's contribution the system based on their elemental properties. The largest positive contributions come from Co and Ni, which are ferromagnetic with large magnetic moments, and the larger moment and  $T_c$  of Co over Ni correlates to a larger contribution. Fe has a noticeably smaller contribution, and I suggest that this is due to the decreased atomic distance between Fe atoms in these alloys. While BCC Fe is ferromagnetic, FCC Fe is antiferromagnetic, so it is possible that the closer packing in these alloys approaches the crossover point. Given that G is a reflection of the sum of both nearest and next nearest neighbor atoms, it is likely that the nearest neighbors of Fe are contributing antiferromagnetic exchange, but the next nearest neighbors are contributing ferromagnetic exchange, and the sum of the average of this results in a net positive exchange for Fe. This gives us further insight into the antiferromagnetic interactions in the alloy, which are lowering both the magnetization and  $T_c$ . Cu is not expected to change the hyperfine field drastically as it is diamagnetic, but the small amount of RKKY coupling Cu experiences does give it a slightly positive contribution. Finally, antiferromagnetic Mn causes a large, negative contribution to the average hyperfine field.

Element	$G_x \left(\frac{T}{atom\%}\right)$
Со	2.165
Fe	0.215
Ni	0.839
Cu	0.136
Mn	-3.058

Table 4.4: Contributions to average hyperfine field from each element.

I developed alloys in the FeCoNiCuMn system with more than two elements varied, allowing us to assess the validity of these results, as well as the limits of the linearity of the average hyperfine field versus  $T_c$ . The alloys Fe<sub>19</sub>Co<sub>20</sub>Ni<sub>19</sub>Cu<sub>19</sub>Mn<sub>23</sub> and Fe<sub>19</sub>Co<sub>20</sub>Ni<sub>19</sub>Cu<sub>20</sub>Mn<sub>22</sub> were estimated to have  $T_c=248$ K and  $T_c=294$ K from the calculated values, while the experimental values of  $T_c$  were found to be 225K and 319K. This demonstrates that the Fultz model can estimate  $T_c$  values within 25K for this system. At and above 24% Mn, there is a low temperature phase which arises which drastically affects the shape of the magnetization curves and suggests the presence of a second magnetic phase (discussed further in chapter 6), at which point we lose the linearity of the  $T_c$  vs HFD relationship.

This approach could also be used to characterize and make predictions in other similar systems (for example, a system with Cr replacing some Mn) provided that some data about

an alloy in the system, or the Mössbauer spectra, has been obtained, but I have not done further work to confirm this experimentally.

## 4.3 Summary

I present Mössbauer spectra obtained for four psuedo-binary alloy systems branching from equiatomic FeCoNiCuMn. The hyperfine field distributions calculated for these spectra have several distinct peaks which is evidence of the discrete exchange interactions between the magnetic components of the alloys, and I demonstrated a simple model for calculating the contributions of each atom to the average hyperfine field. I found that the values estimated  $T_c$  within 25K for two new alloys in the system.

# Chapter 5

# The Effects of Pressure on the FeCoNiCuMn System

### 5.1 High Pressure X-Ray Diffraction

Applied pressure has been shown to affect the stability of the crystal phase of a material, an effect observed in several materials, particularly multiple component alloys. [163–165] Because exchange interactions are highly sensitive to atomic distance, applying pressure large enough to compress the unit cell could also cause a shift in magnetic behavior and magnetic phase transition temperatures.

The first high pressure experiment run on an FeCoNiCuMn alloy was at Argonne National Lab on the Advanced Photon Source at the high pretty HP-CAT 16-BM-B station, and x-ray diffraction patterns were taken under various applied hydrostatic pressures. These patterns are obtained differently than the XRD patterns obtained in Carnegie Mellon's characterization facility; instead of the angle of acquisition being varied and the energy of the x-rays remaining constant, the photon source provides x-rays across the desired energy spectrum (photons outside of this range are filtered out) and the relative angle between the sample and x-ray source remains constant.



Figure 5.1: Diffraction peaks for FeCoNiCuMn with applied pressure. Shoulders present on all patterns (including atmospheric pressure) are due to copper clustering.

The diffraction peaks obtained at Argonne National Lab using the high pressure x-ray diffraction station (Fig. 5.1) did not reveal any destabilization of the lattice or formation of intermetallics. A shoulder present on all of the diffraction peaks, including on the patterns taken before any pressure was applied (ruling out pressure-induced lattice splitting), was determined to be due to be a small unincorporated clustering of copper, which was confirmed through analysis of the peaks using Rietveld refinement [166]. Given that copper is more likely to segregate than the other atoms in these alloys, this is not surprising. Small amounts of clustering like this would typically be lost in the noise of the spectrum among larger samples, but the geometry of the pressure cell allows for a very small amount of sample, meaning any compositional fluctuation present will be more noticeable. This is not a major cause for concern over the high entropy nature of my alloys as I have seen no other indications of phase separation through compositional mapping with EDS, nor are there any bumps in the magnetization curves or heat capacity curves suggesting segregation, but this does indicate that meticulous incorporation of elements during the arc melting process is crucial for optimal homogeneous mixing in these alloys.

The change in lattice parameter, and thus unit cell volume, can be calculated from the location of the diffraction peaks with respect to applied pressure. We can then calculate the bulk modulus, defined as:

$$bulk \ modulus \equiv \frac{\Delta P}{-\Delta V/V} = -V\frac{dP}{dV}$$
(5.1)

The bulk modulus of FeCoNiCuMn is listed in Table 5.1 along with the bulk moduli of each elemental component, the average of these components, and other alloys for comparison. We can see that the bulk modulus of FeCoNiCuMn is greater than the average of each component, evidence of the "cocktail" effect often discussed to explain the properties of high entropy alloys. As HEAs have previously been studied for structural applications, this is not surprising, but this is significant in that most of the structural HEAs have a BCC crystal structure. These alloys then belong to a small group of FCC HEAs with advantageous strength properties that have been identified thus far. [167, 168] This increased

Element/Alloy	Bulk Modulus (GPa)
FeCoNiCuMn	175
Fe	119
Со	180
Ni	180
Cu	123
Mn	120
Elemental Average	144
Cast Brass	116
Stainless Steel	160

Table 5.1: Bulk modulus of FeCoNiCuMn compared with elemental components, and other common alloys.

bulk modulus is likely due in part to the added strain on the lattice caused by slightly different atomic sizes of each atom, which discourages diffusion or other movement of atoms. For systems in which all of the contributing factors that lead to peak broadening can be clearly identified and quantified, the amount of strain from the atomic size differences could be calculated using a Williamson-Hall plot, in which peak broadening ( $\beta_{total}$ ) is separated into two components,  $\beta_L$  for grain size broadening, and  $\beta_e$  for strain broadening. [169–171] However, the grain structure of these alloys is small (10nm subgrains in as-cast samples) and irregular, which creates too much variability in peak broadness to be able to gain meaningful values of strain from this type of analysis.

### 5.2 High Pressure Magnetization Measurements

I have also obtained magnetization versus temperature data for FeCoNiCu<sub>0.95</sub>Mn<sub>1.05</sub>, which has  $T_c$ =305K for at atmospheric pressure in collaoboration with Scott McCall at Lawrence Livermore National Lab. The samples were prepared by first being cooled to 5K in zero applied magnetic field from room temperature, and then the magnetization was measured from 5K to 350K, and then down to 5K again under the influence of a 20 Oe applied field in a SQUID magnetometer.

Under the conditions of zero field cooling, we can observe two magnetic transitions upon heating: one, the ferromagnetic to paramagnetic transition,  $T_c$ , and the second, a transition that appears to show a net magnetization near zero that transitions rapidly to the ferromagnetic phase, and is labelled  $T_n$  in Fig. 5.2. This transition is still not well understood, but it is discussed in more detail in Chapter 6 and is the focus of future work.

We can analyze the change in  $T_c$  with respect to pressure using the Erhenfest equation, which is derived from the Gibbs free energy and is the higher order equivalent of the first order Clausius-Claperyon equation:

$$\frac{dP}{dT} = \frac{\Delta s}{\Delta v} \tag{5.2}$$

The Clausius-Claperyon equation correlates  $\frac{dP}{dT}$  with the change in entropy and change in volume of the unit cell over a phase transition; as the unit cell does not experience a volume



Figure 5.2: Plot of magnetization vs. temperature at several applied pressures. Each measurement follows the pattern: (1)ZFC to 5K, (2) 200e field heating to 350K, (3) 200e field cooling back to 5K.

change during higher order transitions,  $\Delta V = 0$ . We can derive the higher order Erhenfest equation for a continuous phase transition by first recognizing that  $\Delta S = 0$  for a higher order transition. [172, 173] This can be broken into partial derivatives:

$$\Delta S = \left(\frac{\partial \Delta S}{\partial T}\right) dT + \left(\frac{\partial \Delta S}{\partial P}\right) dP = 0 \tag{5.3}$$

However, the term  $\frac{\partial \Delta S}{\partial T}$  can be written in terms of the change in the heat capacity:

$$\frac{\partial \Delta S}{\partial T} = \frac{\Delta C_P}{T} \tag{5.4}$$

In the second term, we can rewrite the partial derivative using a Maxwell relation, and then substitute the value for the change in thermal expansion coefficient,  $\alpha$ , which is a measure of how much a material's volume changes in response to temperature:

$$\frac{\partial \Delta S}{\partial T} = -\frac{\partial \Delta V}{\partial T} = -\Delta \alpha V_m dP \tag{5.5}$$



Figure 5.3: The relationship between applied pressure and measured  $T_c$  values, which is fairly linear.

Making these substitutes into equation 5.3 results in the equation:

$$\Delta S = 0 = \frac{\Delta C_P}{T} dT - \Delta \alpha V_m dP \tag{5.6}$$

When we simplify this equation to get  $\frac{dT}{dP}$ , we arrive at the Erhenfest equation:

$$\frac{dT_c}{dP_o} = \frac{TV_m \Delta \alpha}{\Delta C_P} \tag{5.7}$$

where  $V_m$  is the volume of the magnetic phase,  $\Delta \alpha$  is the change in the thermal expansion coefficient, and  $\Delta c_p$  is the change in the heat capacity over the phase transition. The change in  $\frac{dT_c}{dP}$ =-3.33K/kbar. A negative change in  $\frac{dT_c}{dP}$ , and thus a negative change in  $\Delta \alpha$  is common in many magnetic systems, such as iron-nickel alloys and rare earth-iron alloys, and the values of each system's  $\frac{dT_c}{dP}$  is roughly the same as the value calculated for FeCoNiCuMn. [174–176] Though it is not the main focus of this work, many magnetocaloric materials are also studied for barocaloric properties–that is, a change in temperature with applied pressure. The value of  $\frac{dT_c}{dP}$  is not large enough to consider my alloys viable barocaloric materials.

Though the  $\Delta S_M$  vs T curves and RC values were not calculated for each alloy, we can see from Fig. 5.2 that the magnetic saturation decreases slightly as applied pressure increases. A decrease in magnetization will lower  $\Delta S$ , which lowers the refrigeration capacity, so it is possible to conclude that the application of pressure also decreases the magnitude of the magnetocaloric response of FeCoNiCu<sub>0.95</sub>Mn<sub>1.05</sub>, and The small barocaloric response of these alloys is not enough to compensate for this loss of magnetocaloric response. Despite this, the application of pressure is an important tool for understanding the underlying magnetic interactions in these alloys.

# 5.3 Analysis of Pressure Effects on D-Orbital Spatial Extent

In section 1.2.2, I gave an overview of the physics which led to the creation of the Bethe-Slater curve, which demonstrates the empirical relationship between magnetic exchange and atomic spacing normalized by d-orbital spatial extent for the transition metal elements which exhibit ferromagnetic or antiferromagnetic exchange.



Figure 5.4: (a) Bethe Slater Curve, showing the empirical relationship between  $J_{ex}$  and  $D/d_{d3}$ . (b)  $T_c$  of the disordered FCC Fe-Ni phase. [16]

The Bethe-Slater curve is often used to highlight changes in exchange with changing atomic spacing, D, for example in Mn-Bi and alloys where increased Mn-Mn distance results in a switch from antiferromagnetic to ferromagnetic exchange [38–40]. However, consider the change of  $T_c$  of the (FCC) disorder  $\gamma$ -Fe-Ni phase with composition (Fig. 5.4b) [16,177]. Since FCC Fe and Ni have very similar atomic size and lattice constants, the systematic changes of  $T_c$  is not explained by atomic spacing alone. Both FCC Ni and FCC Fe fall directly on the Bethe-Slater curve, the latter on the antiferromagnetic region of the curve. Compositions in the binary alloys mimic the shape of the curve through the entirety of the range. I therefore consider a compositional dependence of  $J_{ex}$  arising from d-orbital contraction. The change in  $T_c$  for the Fe-Ni alloys depends on band filling causing d-orbital spatial extent contraction with increasing Ni concentration. Thus I consider atomic spacing, D, and d-orbital spatial extent, d, as distinct variables to assess changes in  $J_{ex}$ . Expanding the Bethe Slater curve as a sum of partial derivatives, for a composition variable, x:

$$\frac{\partial J(\frac{D}{d})}{\partial x} = \frac{\partial J}{\partial \left(\frac{D}{d}\right)} \left(\frac{1}{d} \frac{\partial D}{\partial x} - \frac{D}{d^2} \frac{\partial d}{\partial x}\right) = \frac{\partial J}{\partial \left(\frac{D}{d}\right)} \left(\frac{1}{d} \frac{\partial D}{\partial x} - \frac{D}{d} \frac{\partial lnd}{\partial x}\right) \sim \frac{\partial J}{\partial \left(\frac{D}{d}\right)} \left(-\frac{D}{d} \frac{\partial lnd}{\partial x}\right)$$
(5.8)

The  $\frac{\partial J}{\partial \left(\frac{D}{d}\right)}$  term is from the slope of the Bethe-Slater curve itself, and the final derivative is dominated by the change in d-orbital spatial extent,  $\frac{\partial lnd}{\partial x}$ . Given this evidence for the importance of d-orbital extent on assessing change in magnetic exchange, I can similarly assess the magnetic behavior of a system under applied pressure:

$$\frac{\partial J(\frac{D}{d})}{\partial P} = \frac{\partial J(\frac{D}{d})}{\partial (\frac{D}{d})} \frac{\partial (\frac{D}{d})}{\partial P} = \frac{\partial J}{\partial (\frac{D}{d})} \left(\frac{1}{d} \frac{\partial D}{\partial P} - \frac{D}{d^2} \frac{\partial d}{\partial P}\right) = \frac{\partial J}{\partial (\frac{D}{d})} \left(\frac{1}{d} \frac{\partial D}{\partial P} - \frac{D}{d} \frac{\partial lnd}{\partial P}\right)$$
(5.9)

Note that the leading term again reflects the slope of the Bethe-Slater curve, which can be positive or negative depending on where on the curve we start. The term in parentheses can also be positive or negative depending on the relative importance of the pressure



dependence of the lattice constant (interatomic spacing) or the extent of the d-orbitals.

Figure 5.5: (a) P vs a (lattice spacing) for FeCoNuCuMn derived from high pressure XRD data. From this data I calculated the change in atomic spacing,  $D = \frac{a}{\sqrt{2}}$ .

The term  $\frac{\partial J}{\partial \left(\frac{D}{d}\right)}$  is taken from the slope of the Bethe Slater curve, which we can parameterize based on expectation values of the radius of the d-orbitals. D and  $\frac{\partial D}{\partial P}$  can be obtained from atmospheric and high pressure x-ray diffraction (XRD) data (Fig. 5.1) of FeCoNiCuMn. Peaks were indexed to an FCC structure and the lattice constants and d-spacings were determined using the Bragg equation. Fig. 5.5 illustrates the pressure dependence of the lattice spacing,  $a_{FCC}$ , derived from the parameters calculated from the XRD pattern. From this data I determined the change in atomic spacing  $\left(\frac{D_{FCC}}{\sqrt{2}}\right)$ . The  $\frac{\partial D}{\partial P}$  term in equation 5.9 is thus -0.583 pm/GPa.

Fig. 5.2 illustrates magnetization versus temperature curves for the FeCoNiCu<sub>0.95</sub>Mn<sub>1.05</sub> alloy under pressure. The data shows T<sub>c</sub> for this alloy decreases with increasing applied pressure. T<sub>c</sub> was estimated from inflection points on the magnetization curves, and calculated more preciously using an Arrott-Noakes equation of state [117] to fit to ensure an accurate value of the derivative,  $\frac{\partial T_c}{\partial P}$ , which is equal to -40.8 K/GPa. From the change in T<sub>c</sub> with pressure (Fig. 5.3) we can estimate the value of  $\frac{\partial J(\frac{D}{d})}{\partial P}$  using mean field theory [178]:

$$J_{ex} = \frac{3k_b T_c}{2S(S+1)}$$
(5.10)

The mean field value of S for these alloys was estimated to be S=1.5 based on elemental values and comparison with similar alloys and their location on the Slater-Pauling curve. However, it should be noted that the fits are not particularly sensitive to variation of S with different S replicating the compositional trends with values of d scaled upwards. The calculations assume localized d-electrons. This is consistent with evidence for discrete local pairwise exchange interactions observed in the hyperfine field distributions by Mössbauer spectroscopy that I have previously reported. [179] This experimental data, along with assumptions from prior work, allows us to solve equation 5.9 for the  $\frac{\partial lnd}{\partial P}$  term and calculate the expectation value of the d-orbital radius of the FeCoNiCuMn HEA as a function of P (Fig. 5.6a). The calculations assume the alloy to lie on the righthand side of the Bethe-Slater curve based on its electrons to atom ratio and approximate d-orbital extent at P=0, which are close to solid state values calculated by Slater and Mann [34, 180].

Our results clearly show the d-orbital contraction, accompanying d-band filling and nuclear screening, is important to understanding  $J_{ex}$  in alloys. While the Bethe Slater curve is often used to describe the variation of  $J_{ex}$  with large atomic spacing variations [38, 39], d-orbital contraction must be considered to precisely account for its variation in disordered alloys with similar interatomic spacings. This is an important consideration in my analysis of  $T_c(P)$ . Considering changes in atomic spacing (D) alone, we would expect  $T_c$  to increase with P in the FeCoNiCuMn HEA given that  $T_c(P=0)$  places it just below Ni on the Bethe Slater curve, and decreasing D independent of d would move the point to the left. However, I experimentally observe a decrease in  $T_c$  (movement to the right), which I calculate to be explained by a small decrease in the d-orbital spatial extent ( a 3.5% decrease at P=1GPa). Because the d-orbital radius decreases more rapidly than the atomic spacing, the  $\frac{D}{d}$  ratio increases (Fig. 5.6b).

The relative importance of d-orbital contraction on  $J_{ex}$  is evaluated considering the first

and second terms in equation 5.9 separately. The differential in the first term accounts for the change in atomic spacing (D), while the second term depends on the change in d-orbital spatial extent. A direct comparison of the size of each term (Fig. 5.7) shows that, particularly at low pressures, the change in d-orbital radius dominates the overall change in exchange  $J_{ex}$ . If we directly compare the change in D and d,  $\left(\left(\frac{dD}{dP}\right) \text{ vs } \left(\frac{dd}{dP}\right)\right)$ , the derivative terms intersect at 7GPa, after which the  $\frac{dD}{dP}$  derivative becomes the larger of the two. However, the non-derivative multipliers for each term scale the influence of each such that the  $\left(\frac{dd}{dP}\right)$ term always contributes more significantly to changes in  $J_{ex}$ . Therefore it would require an enormous applied pressures to cause a change in sign in  $J_{ex}$ . Thus, the inclusion of d-orbital contraction is essential to understand variations in  $J_{ex}$  in these HEAs. The initial contraction is postulated to be so large due to the compressibility of the free electrons allowed by their increased density in the FCC interstices of the alloy. This charge redistribution decreases the amount of d-orbital screening between atoms. However, Coulomb repulsion between s and d electrons eventually impedes this compression as orbitals necessarily push closer to the nucleus of neighboring atoms. The crossover at higher applied pressures may provide the driving force for their rotation in a structural or magnetic phase transformation.

There are limits to the conclusions I can draw from these results, primarily due to the distribution of magnetic exchange resulting from the sum of discrete interactions among various magnetic atoms. Mean field theory can only provide an estimate of the exchange energy for this system based on averages. The breadth of the magnetic transitions reflects the fluctuations in exchange interactions about this average and is a function of the d-orbital extent, also averaged over several atomic species. Nevertheless, this analysis clearly illustrated the relative significance of d-orbital contraction as compared with traditional analysis of interatomic spacings alone in determining  $J_{ex}$ .

### 5.4 Summary

Applied pressure is a powerful tool for probing alloy systems and gaining a better understanding of their structural and magnetic properties. High pressure x-ray diffraction revealed a large bulk moduli aided by negative strain in the system which bolsters the conventional idea of high entropy alloys experiencing an advantageous "cocktail effect" upon mixing. High pressure magnetometry showed that the Curie temperature of these alloys decreases with applied pressure, contrary to our original predictions based on a simplistic view of the Bethe-Slater curve. I then combined the M(T) pressure data, pressure-varied crystallographic data, and inferences about d-orbital behavior from the disordered  $\gamma$  phase Fe-Ni T<sub>c</sub> to demonstrate the importance of considering atomic spacing and d-orbital spatial extent as separate variables when discussing changes in magnetic exchange energy under pressure. I showed that the pressure dependent T<sub>c</sub> for FeCoNiCuMn alloys can be understood to be primarily due to the contraction of d-orbitals.

Further applied pressure work on the effects of pressure on these alloys will be to use Mössbauer spectroscopy under high pressure to observe the change in the strength of the discrete exchange interactions visible in the hyperfine magnetic fields. In chapter 6, I will go into more depth about the low temperature transition seen in figure 5.2 which did not shift with applied pressure as one may have expected.



Figure 5.6: (a) d orbital diameter versus applied pressure for the FeCoNiCu<sub>19</sub>Mn<sub>21</sub> alloy. (b)  $\frac{D}{d}$  ratio with applied pressure, which increases despite both D and d decreasing with pressure.


Figure 5.7: Magnitude of first term and second term from parentheses in equation 5.9.

### Chapter 6

# Analysis of Low Temperature Magnetic Transition in FeCoNiCuMn System

Zero field cooling is a process in which a magnetic sample is first heated above its Curie temperature (until it is paramagnetic), and then cooled to a lower temperature without the influence of an applied magnetic field. Zero field cooling measurements are performed to reveal magnetic behavior that may be masked by applying a field with cooling, and may also show evidence of thermal hysteresis in a magnetic material. Zero field cooling of alloys in the FeCoNiCuMn system (to 5K) reveals a low temperature magnetic transition in the field heated magnetization versus temperature curve which is not observed upon field cooling. In near equiatomic FeCoNiCuMn it is a weak effect—this transition is not present even under cooling fields as small as 20Oe, the smallest field that can be applied with accuracy in the PPMS. However, upon zero field cooling, some amount of decreased magnetization is present upon heating with up to 5000 Oe applied upon measurement (Fig. 6.1)

This effect has been found to occur at 50K among alloys in each of the pseudo-binary variations of FeCoNiCuMn, so it is not sensitive to small compositional changes (Fig. 6.2).



Figure 6.1: Magnetization heating curves at different applied fields, taken after zero field cooling.

We can see in the pressure dependence data for these alloys (Fig. 5.2) that the transition temperature also does not shift with applied pressure. Is it also necessary to point out that the non-zero offset of each curve before the transition is likely due to remnant magnetization in the superconducting solenoid used to produce magnetic fields in the PPMS system. It is incredibly difficult to completely remove the remnant field in the solenoid, and thus there will always be a small applied field that changes between measurements which are dependent on the last measurement made. I consider several different potential explanations for this behavior.

#### 6.1 Magnetic Domain Formation

The first cause to consider is that this behavior is due to demagnetization effects due to the formation of magnetic domains upon zero field cooling. If magnetic domains formed upon cooling, they would require an applied field of a certain strength to rotate the domains in the field direction. For a small field, this rotation would not take place until a high enough thermal energy was reached as well. Domain rotation could explain why the



Figure 6.2: Magnetization vs. temperature measurements (with ZFC) demonstrating that the low temperature transition is not changed with small compositional variation.

transition is not observed to change with applied pressure while the ferromagnetic to paramagnetic transition does, as it is a structural effect. To assess whether domains were forming, I zero field cooled the samples to 100K (above the transition temperature observed) and measured magnetization versus temperature upon heating for the samples measured in Fig. 6.2. Because domains would form continuously as the sample is cooled, we would expect to still see some evidence of the sample transitioning from a demagnetized state to magnetized if domains were the cause of this transition. However, no such transition was observed in these measurements. This does not rule out domains entirely, as very weak domains may not be observable under these measurements. To observe the domain behavior directly, we must perform low temperature magneto-optical Kerr effect (MOKE) measurements to obtain direct images of the magnetic domain formation. These MOKE measurements will be part of the future work done to complete this project.

### 6.2 Antiferromagnetic Exchange

Another possible cause of the low temperature transition is antiferromagnetic exchange in these alloys, which would be caused primarily by the presence of manganese and closepacked iron. The Néel temperature of this phase would be lower than pure Mn ( $T_n=100$ K) at 50K, but this would not be surprising due to the influence of ferromagnetic exchange from the presence of other atoms surrounding the atoms contributing antiferromagnetic exchange. [181] This type of antiferromagnetic to ferromagnetic transition has been observed in several alloy systems, such as Fe-Rh and SmCrO<sub>3</sub>. [182–189] The study of this effect in SmCrO<sub>3</sub> describes a system in which there is a frozen fraction of antiferromagnetic states corresponding to kinetic behavior in the material. This is hypothesized to be a nonequilibrium magnetic state with a configuration of ferro- or ferrimagnetic and antiferromagnetic clusters frozen in the sample, which is overcome more easily with larger fields. This paper performs several experiments worth replicating for my own alloys to better explore the low T behavior. However, the effect I see seems much weaker than the effect they observe as this effect is overcome with a 200e cooling field, though we do see this behavior remains weakly present after zero field cooling upon the application of fields up to 5000 Oe (0.5T).

The evidence that complicates the antiferromagnetic state hypothesis is the fact that this transition was not found to change with applied pressure (Fig. 5.2). Because the applied pressure is forcing the atoms in the alloy closer together, this should change the strength of the exchange interactions. We see that this is the case for the ferromagnetic to paramagnetic transition at 300K, but this does not occur at the 50K transition. Studies of the effects of pressure on antiferromagnetic states due to manganese bonds in other alloys show that the transition is field dependent as well as pressure dependent, as the applied pressure stabilizes the antiferromagnetic phase as manganese is pushed closer together ( $T_N$  increases) [190]. Though we do not observe this for our alloys, it may be because of the presence of other atoms whose exchange interactions upon applied pressure increase at a similar magnitude with opposite sign as the antiferromagnetic manganese and iron interactions. This would be possible specifically because of the presence of nickel and cobalt–as we can see from the Bethe-Slater curve (Fig. 1.10), both atoms should experience an increase in exchange bond strength as their atomic spacing decreases.



Figure 6.3: Magnetization vs. temperature measurements for alloys with greater compositional variation showing the emergence of a low temperature dip in the magnetization.

As we increase the manganese content in these alloys (at% Mn > 23%), we can see a dip in the magnetization at low temperatures that remains during field cooling (Fig. 6.3). This dip contributes validity to the idea of an antiferromagnetic phase that is getting stronger, and its occurrence is around the Néel transition of manganese (100 K). X-ray diffraction (XRD) of these alloys confirms that they are single phase at room temperature, and electron dispersive spectroscopy (EDS) confirms that there is no obvious clustering of manganese causing the dip, though nanoscale analysis such as atomic probe spectroscopy would be necessary to confirm this more definitively. The decrease in magnetization at 115K after the magnetization reaches a maximum in the magnetization versus temperature curve for high Mn samples may then be the result of competing magnetic exchange strengths instead of an outright transition.

Exploration of samples with greater compositional variation may yield more definitive

information on the antiferromagnetic behavior and give us insight into the weaker effect observed in the samples with near-equiatomic compositions. The most direct way to explore this behavior further in both cases would be to perform Mössbauer spectroscopy below the transition temperature on these alloys, as we would expect to see significant change in the hyperfine field distributions above and below the transition for an antiferromagnetic phase, as peaks in the distribution would arise, disappear, and change in intensity fairly rapidly.

#### 6.3 Summary

I have identified a low temperature transition in the FeCoNiCuMn that I am still working to explain, but have provided evidence for two potential explanations. I have increased the compositional variation in the system to a point at which this low temperature transition is strong enough to be seen upon field cooling, but further study will require direct imaging using low temperature MOKE and probing with antiferromagnetic sensitive techniques at low temperatures. I also hope to take these measurements at low temperatures under applied pressure, which will confirm whether the relative summed strength of the ferromagnetic interactions and antiferromagnetic interactions is unchanged upon applied pressure despite individual values changing, explaining why the transition is not observed to shift in Fig. 5.2.

### Chapter 7

### **Conclusions and Future Work**

The focus of this research project for the last four years has been to develop magnetic high entropy alloys for magnetocaloric applications. I began with three main hypotheses:

- (1) The random distribution of several magnetic atoms in high entropy alloys will result in a broadened magnetocaloric effect which will increase the refrigeration capacity through widening the full width half max of the magnetic entropy curves.
- (2) This broadening is the summation of several discrete exchange interactions between nearest and next nearest neighbors of different atomic species in these alloys.
- (3) The compositions of these magnetic high entropy alloys can be varied to alter the magnetic properties of the alloy, such as the saturation magnetization and the Curie temperature (T<sub>c</sub>), which must be tuned to room temperature for my materials to be viable magnetic refrigeration candidates.

Our work began by synthesizing several high entropy alloys of the form FeCoNiCuX and determining the most promising alloy to study further through magnetic and structural analysis. Because of the lower  $T_c$ , high magnetization, and structural homogeneity, FeCoNiCuMn was identified as the most viable alloy for magnetocaloric refrigeration, validating hypothesis 1. I began more in depth exploration of this high entropy alloy system by synthesizing pseudo-binary alloys in which one element was decreased in tandem with an increase in manganese in order to study the influence of each atomic species on the system and determine the composition of alloys in the system with room temperature  $T_c$  with the highest refrigeration capacity, providing clear evidence validating hypothesis 3. Two alloys,  $Fe_{0.975}CoNiCuMn_{1.025}$  and  $FeCoNiCu_{0.95}Mn_{1.05}$ , had the largest refrigeration capacities, which were roughly 140 J/kg at a 5T applied field. This RC value is not large enough to make these alloys commercially viable for large-scale magnetocaloric refrigeration, but the interesting magnetic properties of this specific alloy system warranted further exploration which could contribute to the development of other useful magnetic high entropy alloys in future work.

I turned to Mössbauer spectroscopy to better understand the individual magnetic exchange interactions in these alloys, and the hyperfine field distributions revealed discrete exchange interactions which are the primary cause of the broad magnetocaloric effect exhibited by this alloy system, verifying hypothesis 2. From these hyperfine field distributions, I calculated the contribution of each atom to the average strength of the alloys' internal hyperfine fields, and concluded that the low contribution from Fe is a result of the presence of both antiferromagnetic and ferromagnetic exchange between Fe atoms, which average out to a low, positive contribution. This interesting result led to more questions, particularly about how much the application of pressure could reveal about the complex magnetic behavior of the system. This led to the creation of two more hypotheses:

- (4) The  $T_c$  of these alloys can be shifted using applied pressure because the strength of each discrete interaction will change
- (5) The change in magnetic behavior with applied pressure will be due to a change in both atomic spacing and d-orbital spatial extent of the atomic species, and these changes will affect the individual exchange interaction strengths between atoms.

I first measured the magnetic behavior of these alloys under applied pressure and ob-

served the change in magnetization versus temperature of FeCoNiCu<sub>0.95</sub>Mn<sub>1.05</sub> with applied pressure and found that  $T_c$  for these alloys decreases with applied pressure, verifying hypothesis 5. However, it was immediately clear that a simple explanation of decreasing atomic spacing could explain the decrease in  $T_c$  given the location of the FeCoNiCuMn on the Bethe Slater curve. I then calculated the change in the expectation value of the d-orbital radius alongside the change in atomic spacing for these alloys. These calculations demonstrated that consideration of the change in d-orbital extent upon applied pressure for these magnetic alloys is not only important, but absolutely crucial to understanding the magnetic behavior of these alloys, verifying hypothesis 5.

Our original hypothesis 6 has yet to be verified experimentally, but I still provide some evidence which could be used to support two potential explanations for a low temperature magnetic transition observed for these alloys upon zero field cooling. Low temperature magnetic probing at various fields suggests a weak antiferromagnetic phase, but future work using low temperature MOKE imaging will help to directly image the magnetic behavior over this transition and will be required to fully explain the observed behavior. Additionally, future work on this project will be focused on obtaining Mössbauer spectra for alloys under applied pressure and at low temperatures using the Advanced Photon Source (APS) at Argonne National Lab, both to observe this transition further, and to understand the complex shifts in exchange energy that occur with applied pressure at temperatures which will produce wellresolved spectra. Future work on these alloys could also include other composition focused experiments to observe whether there is any nano-scale segregation occurring which is not captured in EDS experiments. These would be achieved through atom probe tomography or anomalous XRD experiments [115].

Future magnetocaloric alloy research in the group outside of the FeCoNiCuMn system will extend high entropy ideas to ordered alloy systems. Ordered alloy systems such as  $La(Fe,Si)_{13}$  will be altered such that high entropy configurations will be introduced to the  $(Fe,Si)_{13}$  site and potentially also to the La site, which would work to broaden the magnetic entropy curve of these typically first-order magnetic alloys in a similar fashion to the pure HEAs studied in this work.

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# Appendix A

### Sample Synthesis

### A.1 ICP-AES Data

In order to perform the calculations in section 4.2 accurately, I obtained more exact compositional data for each alloy using inductively coupled plasma atomic emission spectroscopy with the help of my collaborator, Vladimir Keylin at NASA Glenn Reseach Center. Table A.1 shows the full results. We can see that typically, my samples contain 0.5at% less Mn than the nominal composition calls for, but the difference in Mn content between samples is still roughly accurate. The data also shows a small amount of B contamination from the BNi crucible used to hold the molten ingot in the melt spinning process. Though these compositions are close to the nominal values, their inclusion in the quantitative calculations regarding pairwise interactions through Mössbauer made a significant difference in the final calculations given how small the compositional changes between alloy are.

Sample	%Fe	%Co	%Ni	% Cu	%Mn	%B
FeCoNiCuMn	20.1	20.3	20.1	20.0	19.5	0.05
$\mathrm{Fe}_{0.975}\mathrm{CoNiCuMn}_{1.025}$	19.7	20.2	20.1	20.0	20.0	0.05
$\rm Fe_{0.95}CoNiCuMn_{1.05}$	19.31	20.17	20.11	20.11	20.29	N/A
$\mathrm{FeCo}_{0.975}\mathrm{NiCuMn}_{1.025}$	20.42	19.59	20.58	20.14	19.27	N/A
$\rm FeCo_{0.95}NiCuMn_{1.05}$	20.26	19.26	20.29	20.12	20.07	0.05
$\mathrm{FeCoNi}_{0.975}\mathrm{CuMn}_{1.025}$	20.32	20.31	19.76	20.20	19.41	N/A
$\rm FeCoNi_{0.95}CuMn_{1.05}$	20.28	20.18	19.17	20.06	20.31	N/A
$\mathrm{FeCoNiCu}_{0.975}\mathrm{Mn}_{1.025}$	20.37	20.2	20.15	19.66	19.62	0.01
$\rm FeCoNiCu_{0.95}Mn_{1.05}$	19.9	20.1	20.1	18.9	19.9	1.33
$\mathrm{FeCoNiCu}_{0.925}\mathrm{Mn}_{1.075}$	20.24	20.12	20.16	18.63	20.86	N/A
${\rm FeCoNiCu}_{0.9}{\rm Mn}_{1.1}$	20.28	20.19	20.18	18.17	21.18	0.05

Table A.1: Atomic percentages of each atom present in bulk FeCoNiCuMn for each psuedobinary departure from equiatomic.

### A.2 Thin Film Development

#### A.2.1 Compositional Analysis

Table A.2 shows the compositions of three different thin films measured using XPS analysis, compared with the compositional analysis of the bulk FeCoNiCuMn alloy.

Sample	%Fe	%Co	%Ni	% Cu	%Mn	% O	%C	%B
20nm, 10mTorr	16.07	18.83	22.98	15.84	18.05	6.71	1.52	_
20nm, 5mTorr(1)	13.4	20.1	23.58	15.77	21.78	4.59	0.8	—
20nm, $5$ mTorr (2)	12.47	19.31	25.96	15.75	21.06	4.46	1	—
Bulk	20.1	20.3	20.1	20.0	19.5	_	_	0.05

Table A.2: Atomic percentages of each species present in sputtered thin films (measured using XPS analysis) compared with experimental bulk composition.

It is immediately obvious that the compositions of the sputtered samples deviate from the target composition by a significant amount. However, the deviation is not in direct proportion to the sputtering rates of the individual elements. Cobalt and nickel have similar elemental sputtering rates (190 A°/sec), but the Co values are all within 1% of the desired 20%, while the amount of nickel is 3-6% greater. Elemental copper has the fastest sputtering rate (320 A°/sec), but this is not reflected in the measured compositions, as the samples all contain around 4% less copper than desired. Manganese and iron have the lowest sputtering rates (180 A°/sec), but only iron has a dramatically reduced composition compared to the desired 20%. [191] These differences may be less dramatic in a thicker sputtered sample; as an element is preferentially sputtered from the target, the target is then depleted of that element, and the remaining elements are more likely to be sputtered, balancing the composition of the film, though it will be compositionally varied with depth. I have deposited and characterized a 100nm film as well, but it has not been probed with ICP analysis, so the effect of thickness on compositional ratios is not yet known.

This table also includes extraneous elements that are present due to contamination. The main contaminant in the melt spinning process used for the bulk samples is boron, due to the boron nitride crucible in which the sample is melted before quenching, but we can see this is a very minor contamination issue, as it only accounts for 0.05% of the total composition. Carbon and oxygen are both contaminants in the sputtering process because the sample is deposited from vaporized atoms traveling through the chamber. Even at very low pressures, there will be some amount of non-argon atmosphere remaining in the chamber. We can see that this contamination is significant regardless of the chamber pressure (at least 5.39% of the atomic composition), but the higher deposition pressure sample does contain roughly 2.2% more oxygen and 0.5% more carbon in the total composition, but it's not clear whether this contamination is homogeneous in the sample or clustered on the surface.

Further work to correct the compositional deviations from equiatomic must be done for these films to be used in place of the bulk; button targets of individual elements can be placed on the main target to increase the amount of that element sputtered, but getting the balance right will likely require several iterations of deposition and measurement. We will also need to determine the spatial dependence of the oxygen and carbon contamination and take measures to reduce it.

#### A.2.2 Crystallographic Characterization

Despite the obvious compositional variation, structural and magnetic characterization revealed that many characteristics of these thin films are similar to the bulk FeCoNiCuMn alloys. I first performed XRD on each film. Sputtered thin films of metals are often amorphous because the deposition is random and rapid, but crystal ordering is possible for atoms that are typically ordered in the bulk. XRD spectra of completely amorphous films will consist of a broad hump over a large range of angles, whereas a completely crystalline structure exhibit sharp diffraction peaks at specific angles. Sputtered thin films of crystalline material will often experience preferred growth of a specific plane on the substrate which has the lowest energy. Typically, this is a close packed plane which for FCC crystals is the (111) plane, though it can often depend on the crystal structure and spacing of the substrate. [192]

I performed XRD on a 20nm film and a 100nm film (both sputtered in a 5mTorr Ar environment) to explore the extent to which each film was amorphous versus crystalline, and if crystalline, how much preferential orientation occurred. I found that the 20nm film was crystalline, and strongly oriented in the (111) direction. Fig. A.1 shows the XRD pattern of the 20nm film overlaid on the bulk sample for clarity. The thin film scan is cut off at 60 degrees because of the large background peaks from the Si substrate, but no peaks were seen at higher angles. In contrast, Fig. A.2 shows the XRD pattern of the 100nm film, which has a large (111) plane diffraction peak, as well as weak peaks in the (200) and (311) orientation. While the 100nm is still clearly crystalline, it is less preferentially oriented as the increased thickness allows for more misorientation to occur as the layers deposit. Thus, both of these thin films are crystalline with the same lattice parameters as the bulk, but the 100nm film is structurally a more accurate representation of the bulk sample in terms of crystal orientation.



Figure A.1: X-ray diffraction of a 20nm thin film sputtered from an equiatomic FeCoNiCuMn target.

#### A.2.3 Magnetic Characterization

I then used the VSM attachment on the PPMS to obtain magnetization data for both film thicknesses to compare with the bulk. I obtained magnetization versus field curves at room temperature to see the extent of hysteretic behavior in these films, as well as magnetization versus temperature curves to determine how close the Curie temperature of the sputtered samples were to the bulk equiatomic FeCoNiCuMn (where  $T_c=405$ K). Fig. A.3 shows that temperature dependence of the magnetization of the two films is very similar close to the Curie temperature. Fitting this data gives a  $T_c=419$ K for the 20nm film, and  $T_c=426$ K for the 100nm film, both of which are within 20K of the bulk. However, it is important to note that these transition temperatures arise out of a different balance of exchange interactions given the large composition deviation from equiatomic observed for these films. The low temperature magnetic transition observed at 50K in the bulk samples also differs in the sputtered samples; the 20nm film experiences this transition at 50K as well, but the 100nm has a lower transition, at 40K.

The magnetization versus field measurements (Fig. A.4) give us further insight into the magnetic behavior of these films in comparison to the bulk. Bulk samples in the FeCoNiCuMn alloy system exhibit very low coercivities, on the order of 20 Oe along the easy axis



Figure A.2: X-ray diffraction of a 100nm thin film sputtered from an equiatomic FeCoNiCuMn target.

of the flake. In plane and out of plane measurements of the magnetization versus field yield different coercivities, both of which are low each that the samples can be considered soft magnetic materials, but still significantly higher than that of the bulk sample. This is due to the shape of the thin films, which inhibits rotation of magnetic moments. The in plane measurements yield higher coercivities than the out of plane, but overall require a smaller saturation field. The saturation magnetization of the films is difficult to calculate exactly as I can only estimate the total volume of film on the measured sample, but rough calculations of the saturation magnetization of each film are similar to that of the bulk sample, which is 40 emu/g. Thus in terms of magnetic behavior, both thicknesses of film are similar to the bulk sample, but the 100nm film out of plane, though  $T_c$  is higher, is the most representative of the properties of the bulk.

#### A.2.4 Conclusion

Compositional analysis as well as structural and magnetic measurements of thin films sputtered from an equiatomic FeCoNiCuMn target demonstrate that it is possible to develop thin film approximations of bulk high entropy alloys. Though compositional exactness will



Figure A.3: Magnetization vs. temperature of the sputtered thin films, normalized for shape comparison.

Sample	In-Plane Coercivity	Out of Plane Coercivity	$H_s$ In Plane	$H_s$ Out of Plane
Bulk	20 Oe			
20nm film	1600 Oe	600 Oe	1500 Oe	5000 Oe
100nm film	1400 Oe	400 Oe	1500 Oe	5000 Oe

Table A.3: Coercivity and saturation fields for thin films and bulk samples of FeCoNiCuMn.

require more iterations of fine-tuning the sputteringp process, preliminary crystallographic and magnetic analysis shows that the sputtered films behave similarly to the bulk alloy being replicated. The thicker of the two characterized films is less preferentially oriented and slightly less magnetically hard, but the 20nm film is still a reasonable approximation of the bulk alloys. Therefore these films are a suitable substitute for the bulk alloys in experiments requiring a thin film or highly reflective sample.



Figure A.4: Magnetization vs. field for sputtered thin films, demonstrating the in plane coercivity to be larger than out of plane for both thicknesses of film.

# Appendix B

### **Relevant Phase Diagrams**

The issue of discerning which elements will mix well together in a high entropy alloy is complicated; Hume Rothery rules are helpful, but determining the enthalpy of mixing and immiscibility of elements with each other using phase diagrams can be a helpful predictor as well, and can also be a diagnostic tool for understanding why specific segregation or intermetallics are forming. Specifically for the FeConiCuMn HEA system, we can see in binary phase diagrams of Mn with the other components of the alloy that Mn tends to form a single FCC phase with the other element at high temperatures. Thus, it is unsurprising that its addition leads to a single phase FCC HEA compared with other additions such as Mo.



Figure B.1: Phase diagram for Cu-Mo binary system showing immiscibility up through liquid phases. [17]



Figure B.2: Phase diagram for Cu-Mn binary system [18]



Figure B.3: Phase diagram for Co-Mn binary system [18]



Figure B.4: Phase diagram for Ni-Mn binary system [19]


Figure B.5: Phase diagram for Fe-Mn binary system [20]

## Appendix C

## Mossbauer Raw Data

C.1 Raw Data Files







