# Sources, Modifiable Factors, and Spatiotemporal Variations of PM<sub>2.5</sub>

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Rebecca R Tanzer Gruener

B.S., Mechanical Engineering, Binghamton University M.S., Mechanical Engineering, Carnegie Mellon University

> Carnegie Mellon University Pittsburgh, PA

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Thank you, enjoy the read!

### ABSTRACT

PM<sub>2.5</sub> as well as various gaseous pollutants are harmful to both human health and the environment. In order to best mitigate the hazardous effects of these pollutants it is important to first understand them. This thesis explores (1) spatial and temporal evolution of emissions as well as (2) emission sources of PM<sub>2.5</sub>. The emission sources evaluated include both field measurements of primary sources (e.g. traffic and industrial) as well as laboratory investigations of less traditional sources of PM<sub>2.5</sub> (e.g. emissions from volatile chemical products, VCPs). Through the use of state of the art laboratory instrumentation (e.g. PTR-MS and GC-MS) as well as lower-cost sensor networks in the field, a greater understanding of PM<sub>2.5</sub> emissions, concentrations, and dispersion is obtained.

Air quality monitoring has traditionally been conducted using sparsely distributed, expensive reference monitors. In order to understand variations in PM<sub>2.5</sub> on a finely resolved spatiotemporal scale a dense network of over 40 low-cost monitors was deployed throughout and around Pittsburgh, Pennsylvania, USA. Monitor locations covered a wide range of site types with varying traffic and restaurant density, varying influences from local sources, and varying socioeconomic (environmental justice, EJ) characteristics. Variability between and within site groupings was observed. Concentrations were higher near the source-influenced sites than the Urban or Suburban Residential sites. Gaseous pollutants (NO<sub>2</sub> and SO<sub>2</sub>) were used to differentiate between traffic (higher NO<sub>2</sub> concentrations) and industrial (higher SO<sub>2</sub> concentrations) sources of PM<sub>2.5</sub>. Statistical analysis proved these differences to be significant (COD>0.2). The highest mean PM<sub>2.5</sub> concentrations were measured downwind (east) of the two industrial facilities while background level PM<sub>2.5</sub> concentrations were measured at similar distances upwind (west) of the point sources. We were not able to detect correlation between socioeconomic factors, including the fraction of non-white population and fraction of population living under the poverty line, to increases in PM<sub>2.5</sub> or NO<sub>2</sub> concentration with our sensor network. This however does not mean that such correlations do not exist either in this city or elsewhere, but rather they may need to be further explored with more sensitive sensor networks. The analysis conducted here highlights differences in PM<sub>2.5</sub> concentration within site groupings that have similar land use thus demonstrating the utility of a dense sensor network. Our network captures temporospatial pollutant patterns that sparse regulatory networks cannot.

The low-cost sensor network was subsequently used to identify the impact of a decrease in emissions from modifiable factors on pollutant concentrations as a result of the COVID-19 pandemic. COVID-19 related closures offered a novel opportunity to observe and quantify the impact of activity levels of modifiable factors on ambient air pollution in real-time. We use data from a network of low-cost Real-time Affordable Multi-Pollutant (RAMP) sensor packages deployed throughout Pittsburgh, Pennsylvania along with data from EPA regulatory monitors. The RAMP locations were divided into four site groups based on land use. Concentrations of PM<sub>2.5</sub>, CO, and NO<sub>2</sub> following the COVID-related closures at each site group were compared to measurements from "business-as-usual" periods. Overall, PM2.5 concentrations decreased across the domain by  $\sim 3 \mu g/m^3$ . The morning rush-hour induced CO and NO<sub>2</sub> concentrations at the High Traffic sites were both reduced by ~50%, which is consistent with observed reductions in commuter traffic (~50%). The morning rush-hour PM<sub>2.5</sub> enhancement from traffic emissions was reduced nearly 100%, from 1.4  $\mu$ g/m<sup>3</sup> to ~0  $\mu$ g/m<sup>3</sup> across all site groups. There was no significant change in the industrial related intra-day variability of CO and PM<sub>2.5</sub> at the Industrial sites following the COVID-related closures. If PM<sub>2.5</sub> National Ambient Air Quality Standards

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(NAAQS) are tightened this natural experiment sheds light on to what extent reductions in traffic related emissions are able to aid in meeting more stringent regulations.

This thesis work then turns to laboratory experimentation to quantify emissions from an important source of PM<sub>2.5</sub>. Volatile chemical products (VCPs) have become an increasingly important source of Volatile Organic Compounds (VOCs) and Intermediate-Volatile Organic Compounds (IVOCs) emitted into urban environments. These VOCs play a potentially important role in national Secondary Organic Aerosol (SOA) formation. In this study we conduct headspace and extended emissions tests of paints to quantify the emission factors of I/VOCs over paint's emission timescale. Then SOA yield predictions were calculated. We found that paints are not expected to be a long term emission source of I/VOCs as the majority of all I/VOCs measured reached background levels within two days post paint application. On a national scale paints emit 0.51 kg/person per year of I/VOCs. This means that 291g of I/VOCs are emitted per kg of paint used in the U.S. each year. The SOA mass yield from these emissions were calculated to be 4.7% [+/-2%]. Even though the majority of the I/VOC emissions from the paints were VOCs (59%), the majority of the SOA formed from paint emissions (68%) were from the IVOC portion of the paint emissions. Interestingly the I/VOC paint emissions come predominately from Oil-based paints (making up 87% of the SOA formed from paints) and Semi-Gloss Exterior paints (making up the remaining 13% of SOA formed from paints). Both of these paints are primarily used outdoors where theoretically all of their I/VOC emissions have the opportunity to interact with the ambient environment and form their full potential SOA.

 $PM_{2.5}$  is an important pollutant with unwanted negative effects on both human health and the environment. In order to best understand the impacts of  $PM_{2.5}$  and to limit those impacts we

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need to first understand where it comes from and how concentrations change over time and space. The work in this thesis addresses those two questions.

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### Chapter 1

### Background

### **1.1 Introduction**

PM<sub>2.5</sub>, particulate matter with a diameter of less than 2.5 micrometers, has deleterious effects on human health and the environment (Di et al. 2017; Dockery et al. 1993; Laden et al. 1998). Poor air quality is one of the leading preventable causes of death worldwide (Gakidou et al. 2017). Exposure to elevated concentrations of PM<sub>2.5</sub> is linked to increased risk of respiratory and cardiovascular disease. Additionally, exposure to other pollutants such as nitrogen dioxide (NO<sub>2</sub>) and sulfur dioxide (SO<sub>2</sub>) are linked to increased risk of cardiopulmonary mortality, cardiovascular disease, and respiratory disease (Bernstein et al. 2004). In order to quantify the impacts of pollutant exposures on human health as well as their environmental impacts it is important to understand concentrations of various pollutants (e.g. PM<sub>2.5</sub>, and gaseous species), where and what the emission sources are, and how the concentrations change over time and space. In addition to there being merit in understanding concentrations of gaseous species in their own right, SO<sub>2</sub> and NO<sub>2</sub> can also be used to help attribute local enhancements in PM<sub>2.5</sub> to emissions from coal-burning industries and traffic, respectively (Anttila et al. 2011; Khare and Baruah 2011). To quantify risks associated with exposure to these pollutants, it is necessary to measure and monitor their concentrations in the ambient environment.

Air quality monitoring has traditionally been conducted using sparsely distributed, expensive reference monitors. Traditional networks, like the reference monitoring system set up by the U.S. EPA, are good for capturing long-term temporal trends and inter-city differences

(Chow and Chow 2012), but they are generally too sparse to capture fine-scale, within-city spatial variations (Eeftens et al. 2012). For example in Allegheny County (where the city of Pittsburgh is located) there are currently only four regulatory reference monitors for  $PM_{2.5}$  in the entire county (Allegheny County Health Department 2020). The use of only four locations does not accurately represent the air quality throughout the entire county and may in some cases over or underestimate the concentrations of pollutants residents are exposed to.

Fine-tuned monitoring is important to capture intra-city variations in pollutants which can exist down to the sub-kilometer scale (Li et al. 2019). One way to improve spatial coverage of air pollutant monitoring is to deploy large networks of lower-cost sensors (Snyder et al. 2013). Within this work we explore the calibration, deployment and use of a dense network of lowercost air quality monitors throughout the urban and surrounding areas of Pittsburgh, PA, USA.

Throughout this work we refer to a low-cost sensor network made up of Real-time Affordable Multi-Pollutant (RAMP) sensor packages (Malings et al. 2019; Subramanian et al. 2018; Zimmerman et al. 2018) which include electrochemical gas sensors and PM<sub>2.5</sub> nephelometers. Each sensor package has up to four different electrochemical sensors for monitoring gasses. These typically include the following sensors: a CO sensor, a NO<sub>2</sub> sensor, a SO<sub>2</sub> or NO sensor, and an O<sub>3</sub> or VOC sensor. Each of the electrochemical sensors have four electrodes. The sensors for each pollutant were calibrated using field collocation with reference monitors where they were exposed to changing pollutant concentrations as well as changing ambient factors (e.g. temperature, relative humidity, etc.). Each sensor was then calibrated based off of the field collocation with either multi-linear regression or machine learning techniques (Malings et al. 2019; Tanzer et al. 2019; Zimmerman et al. 2018). Once calibrated the sensors were deployed in and around the city of Pittsburgh for continuous monitoring. The sensors were used to monitor changes in pollutant concentrations for years. With this dense network of sensors we were able to see changes in pollutant concentrations over time and space. In chapter two we describe the beginnings of the dense sensor network deployment. We discuss aspects of calibration and initial deployment as well as measurements taken within the first year of sensor network deployment. In chapter two we also utilize the dense sensor network to probe relationships between pollutant concentrations and socio-economic factors (i.e. income and percent minority population). We demonstrate how dense sensor networks can be used to explore aspects of environmental justice that cannot be teased out with sparse regulatory monitors.

The dense sensor network that we have deployed allows us to probe spatially and temporally resolved changes in air quality. We have utilized the low-cost network system to both examine environmental injustice implications as well as understand the impacts of the COVID-19 pandemic on local air quality. In addition to its utility in monitoring status quo pollutant emissions, the low-cost sensor network is also useful in capturing changes in pollutant concentrations as emission sources are modified.

In the beginning of March 2020 the world as we know it changed. The COVID-19 pandemic became present throughout the United States and swiftly state after state began to enforce closures of schools and businesses. People were forced to work from home and minimize travel. These precautions that were put in place to limit transmission of COVID-19 (Sergent et al. 2020) had far reaching effects in many other areas of life. One noticeable result throughout the world was a decrease in commuter traffic, restaurant cooking, and industrial emission sources, all of which contribute greatly to pollutant concentrations in urban environments. This decrease in emission sources led us to wonder if our existing low-cost sensor network would be

able to capture significant decreases in pollutant concentrations as a result of the change in emissions from these modifiable factors.

In chapter three we discuss the impact of the COVID-19 related closures on air quality throughout the city of Pittsburgh and surrounding areas. We analyze data from the twenty-seven RAMP sensors that were still deployed at the start of the pandemic. Through comparisons with historical data from both our sensor network as well as regulatory monitors we assess the impact of reductions in traffic and industry and draw conclusions as to what this means in relation to adoptions of new, lower or no emitting vehicles in the future.

Throughout chapters two and three we discuss ways we sought to understand current PM<sub>2.5</sub> concentrations. In chapter four we take a turn to further understand sources of PM<sub>2.5</sub> through laboratory experimentation with less traditional sources of PM<sub>2.5</sub>. There has been a growing consensus that some traditional sources of PM<sub>2.5</sub> are decreasing in importance compared to other, less traditional sources of PM<sub>2.5</sub> (Khare and Gentner 2018; McDonald et al. 2018). A large potential source of PM<sub>2.5</sub> are volatile chemical products (VCPs). Unlike traffic, industry, or restaurant related emissions VCPs contribute to PM<sub>2.5</sub> concentrations not through primary emissions, but through secondary emissions. Secondary organic aerosol (SOA) can be formed from emissions of volatile organic compounds (VOCs) from VCPs. VOCs are emitted as gases into the atmosphere and react with oxidants (Jimenez et al. 2009; Robinson et al. 2007; Volkamer et al. 2006; Williams et al. 2010; Xu et al. 2015) which result in new particle formation, thus contributing to some portion of the ambient PM<sub>2.5</sub> concentrations.

In chapter four we discuss laboratory experiments conducted in order to better understand sources of PM<sub>2.5</sub>. These experiments quantified VOC emissions from paints which are an important subclass of VCPS. Both the VOC and less volatile, Intermediate Volatility Organic

Compounds (IVOCs), were measured as paints dried to quantify the I/VOC emissions from paints. The I/VOC emissions from paints were then used along with estimated SOA yields (Cappa and Wilson 2012) to predict SOA mass yield from paints on a national scale.

This work takes a holistic approach to understanding PM<sub>2.5</sub> concentrations. First we demonstrate the importance of understanding existing PM<sub>2.5</sub> concentrations on a finely resolved temporal and spatial scale in order to pinpoint point sources and modifiable factors. In chapter two we discuss the deployment of a low-cost sensor network as well as its utility in better understanding potential environmental justice issues. Then in chapter three we continue to utilize the low-cost sensor network to understand changes in pollutant concentrations after a shock to the status-quo emissions system. Finally, we accentuate the importance of laboratory experimentation. In chapter four we quantify the impact of a nontraditional source on secondary PM<sub>2.5</sub> through emissions measurements from paints. Plainly the objectives of this dissertation are 1) to describe the utilization of a low-cost sensor network to quantify impacts of local (primary) emissions of PM<sub>2.5</sub> (paints). Combined this work sheds increased light on what drives PM<sub>2.5</sub> concentrations in urban areas.

### Chapter 2

### **Development and Deployment of a Low-Cost Sensor Network**

*Contents of this chapter have been published as*: *Tanzer R, Malings C, Hauryliuk A, Subramanian R, Presto AA. Demonstration of a Low-Cost Multi-Pollutant Network to Quantify Intra-Urban Spatial Variations in Air Pollutant Source Impacts and to Evaluate Environmental Justice. Int J Environ Res Public Health. 2019 Jul 15;16(14):2523. doi: 10.3390/ijerph16142523. PMID: 31311099; PMCID: PMC6678618.* 

### **2.1 Introduction**

Poor air quality has deleterious health effects. Particulate matter with a diameter of less than 2.5  $\mu$ m (PM<sub>2.5</sub>) dominates the human health burden from environmental exposures. PM<sub>2.5</sub> is linked to cardiovascular disease and decreased life expectancy (Di et al. 2017; Dockery et al. 1993; Dominici et al. 2006). Other pollutants, including nitrogen dioxide (NO<sub>2</sub>), and sulfur dioxide (SO<sub>2</sub>) have health effects distinct from PM<sub>2.5</sub>. Exposure to NO<sub>2</sub> and SO<sub>2</sub> contributes to increases in cardiopulmonary mortality, cardiovascular disease, and respiratory disease (Bernstein et al. 2004). SO<sub>2</sub> and NO<sub>2</sub> can be used to help attribute local enhancements in PM<sub>2.5</sub> to emissions from coal-burning industries and traffic, respectively (Anttila et al. 2011; Khare and Baruah 2011). To quantify risks associated with exposure to these pollutants, it is necessary to measure and monitor their concentrations in the ambient environment.

Air quality monitoring has traditionally been conducted using sparsely distributed, expensive reference monitors. Traditional networks are good for capturing long-term temporal trends and inter-city differences (Chow and Chow 2012), but they are generally too sparse to capture fine-scale within-city spatial variations (Eeftens et al. 2012). Though there can be pollutant spatial variations at the sub-km scale (Li et al. 2019), within this study, we define "finescale" as variations between different neighborhoods (~1 km<sup>2</sup>) throughout a large urban area. One way to improve spatial coverage of air pollutant monitoring is to deploy large networks of lower-cost sensors (Snyder et al. 2013).

High spatial density networks of lower-cost monitors can be used to inform small-scale spatial variations in air pollution by providing real-time, on-the-ground measurements of air pollutants. However, previous studies using lower-cost sensors have usually focused on calibration or on calibration plus the deployment of a few nodes (Cross et al. 2017; Esposito et al. 2016; Hagan et al. 2018; Moltchanov et al. 2015). Many fewer papers demonstrate results from a large network of low-cost sensors (Caubel et al. 2018; Mead et al. 2013). In this study, we present results from a one-year deployment of a network of lower-cost monitors in Pittsburgh, PA, USA, focusing on 42 sensors in the network.

Widespread deployment of low-cost sensor networks also enables the investigation of environmental justice within a city. Environmental justice (EJ) is the fair treatment and meaningful involvement of all people regardless of race, color, national origin, or income with respect to the development, implementation and enforcement of environmental laws, regulations and policies (EPA 2014). The state of Pennsylvania defines a census tract with greater than or equal to 20% of the population living below the poverty line and/or greater than or equal to 30% of the population belonging to a minority group as an "EJ area" (Environmental Justice Work Group 2001). According to this definition, EJ areas are not necessarily areas that are currently experiencing environmental injustice. Rather, they are areas that have a high risk of experiencing environmental injustice as indicated by their socio-economic status.

In this study, we utilize our dense network of air quality monitors to investigate whether the EJ areas in Pittsburgh do in fact have lower air quality in comparison to non-EJ areas. This

definition of environmental injustice fits most closely with disparate exposure inequality. Disparate exposure inequality occurs when people belonging to a specific social group are more exposed to one or more environmental pollutants than they would be if the group was randomly distributed among the rest of the population (Downey 2011).

Clark et al. used land-use regression (LUR) models to show that in the U.S. non-white (minority) populations often live in areas with higher air pollution (Clark, Millet, and Marshall 2014, 2017). They used a national LUR for NO<sub>2</sub> to show that non-white populations are exposed to about 31% (3 ppb) higher mean concentrations of NO<sub>2</sub>, than white populations, primarily due to traffic emissions (Clark et al. 2017). However, the exposure inequality trends identified by Clark et al. may not be identical in every city, as emission sources, land use, and population distributions might be idiosyncratic.

In this study, we use the RAMP (Real-time Affordable Multi-Pollutant sensor package) (Malings, Tanzer, Hauryliuk, Kumar, et al. 2019; Subramanian et al. 2018; Zimmerman et al. 2018), a lower-cost monitor consisting of electrochemical gas sensors and PM<sub>2.5</sub> nephelometers, to investigate spatial patterns in air pollution and exposure inequality in Pittsburgh. Sensor sites were distributed in such a way as to assess the variability in pollutant concentrations near known point sources and across urban and suburban/background locations. Using the low-cost sensors, we show that it is possible to detect enhancements of criteria pollutants that can be attributed to local sources like industry and traffic.

We also use the RAMP data to investigate exposure inequality in EJ and non-EJ areas as defined by the state of Pennsylvania. Previous work assessing air quality in EJ areas has typically used either national models that may not account for specific intra-urban pollutant variations (Clark et al. 2014, 2017) or used short-term (e.g., 1–3 week) intra-city measurements (Krudysz et

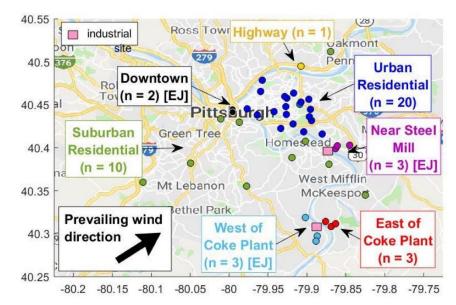
al. 2009). The expansiveness of our dense, low-cost sensor network, which was deployed for over a calendar year, captures pollutant measurements over various socio-economic areas within a city, allowing us to compare measurements taken in different EJ and non-EJ communities over a significant amount of time. The measurements lead us to conclude that socio-economic (EJ) factors do not necessarily determine  $PM_{2.5}$  exposures in different parts of Pittsburgh.

### 2.2 Materials and Methods

#### **2.2.1 Measurement Locations**

This paper focuses on data from forty-two RAMPs that were deployed throughout the greater Pittsburgh area in western Pennsylvania over April 2017–May 2018. Figure 2.1 shows the locations of RAMP sites throughout Pittsburgh and surrounding Allegheny County. RAMP sites cover a range of areas with varying land use and proximity to nearby emissions sources such as traffic, food cooking, and industry. The RAMP sites range from suburban residential sites with low traffic and low restaurant density, to downtown sites with high traffic and high restaurant density, to industrially influenced sites. RAMP sites also encompassed both EJ and non-EJ communities. While all of the RAMPs were nominally deployed for a year, the sites experienced various amounts of downtime due to sensor failures, power loses, and occasional returning of RAMPs to the Carnegie Mellon University campus for calibration (Malings, Tanzer, Hauryliuk, Kumar, et al. 2019). Figure 2.8 in the Supplementary Information shows data coverage by season for each site.

1) Figure 2.1 Map of sampling domain



Dots indicate locations of 42 Real-time Affordable Multi-Pollutant sensor package (RAMP) monitors that were deployed throughout Pittsburgh. The sites were categorized into 2 Downtown sites, 20 Urban Residential sites, 10 Suburban Residential sites, 1 Near Highway site, 3 Near Steel Mill sites, 3 sites West of a Coke Plant, and 3 sites East of a Coke Plant based on traffic density, restaurant density, and proximity to industrial point sources (shown as pink squares). The prevailing wind direction is also shown; a wind rose is displayed in the Supplementary Information.

The 42 sites were classified into seven categories: Downtown (N = 2 sites), Urban Residential (N = 20), Suburban Residential (N = 10), Highway (N = 1), Near Steel Mill (N = 3), West of Coke Plant (N = 3), and East of Coke Plant (N = 3). In Figure 2.1, similar RAMP locations, representative of particular micro-environments, are indicated with different colors. Sites were classified based on known land use. For Downtown, Urban and Suburban Residential, and Highway sites, the vehicle density within a 100-m radius and restaurant density within a 500-m radius of the site were used for classification. Values of vehicle and restaurant density were normalized by dividing the densities at each site by the maximum value across the entire sampling network for each variable. Downtown sites are located in the central business district and were in the top 30% of vehicle and restaurant densities. Urban Residential sites were located within the city limits and had moderate traffic density (below the 60th percentile) along with low restaurant density (within the first quartile). Suburban residential sites were those sites that were located outside of the city limits and experienced low vehicle and restaurant densities (within the first quartile). As the names suggest, the Urban and Suburban Residential sites were located in residential and mixed-use neighborhoods, typically at private residences or public schools. The Highway site was located 10 m from the edge of a limited-access highway.

Sites classified as Near Steel Mill, West of Coke Plant, and East of Coke Plant were defined by proximity to industrial point sources. The Near Steel Mill and East of Coke Plant sites were all within 1500 m of a steel mill and metallurgical Coke plant, respectively. These sites were east, and therefore generally downwind of, the respective point sources. West of Coke Plant sites were within 2000 m of the Coke plant in the generally upwind direction of the Coke plant.

The sites are listed in Table 2.8 in the Supplementary Information. Each site is assigned a numerical identifier that is used in subsequent figures. The site groupings are as follows: Downtown (Site 1–2), Urban Residential (3–22), Highway (23), Suburban Residential (24–33), Near Steel Mill (34–36), West of Coke Plant (37–39), and East of Coke Plant (40–42). The three sites Near the Steel Mill, three West of the Coke Plant, and the two Downtown sites are all classified as EJ communities by the state of Pennsylvania. To identify locations as EJ or not, census data was obtained. The latitude and longitude for each RAMP location were extracted and input into the EPA environmental justice screening tool, EJSCREEN. EJSCREEN was created by the U.S. EPA as a preliminary step in evaluating environmental justice issues (Zhao et al. 2018). The tool works in such a way that given a latitude and longitude it can output different socio-economic factors for the census block group in question. The census block group where

each RAMP was located was identified and the percent of the population living below the poverty line and the percent of the population belonging to a minority group was extracted for each identified census block group.

#### **2.2.2 Measurement Devices and Calibration**

The Real-time Affordable Multi-Pollutant (RAMP) sensor package was used for this study. RAMPs were developed in a partnership between Carnegie Mellon University and SenSevere Limited Liability Company. Details about the RAMP monitoring package, including communication and data storage, are provided in Zimmerman et al. (Zimmerman et al. 2018). The RAMP data are recorded at a resolution of one data point approximately every 15 s, but for this study the data have been down-averaged to hourly mean concentrations. The RAMPs can measure up to four gaseous pollutants using electrochemical sensors from AlphaSense Ltd. The gaseous pollutants considered in this study are nitrogen dioxide (NO<sub>2</sub>, NO<sub>2</sub>-B43F) and sulfur dioxide (SO<sub>2</sub>, SO<sub>2</sub>-B4). NO<sub>2</sub> and SO<sub>2</sub> measurements were used as tracers for different PM<sub>2.5</sub> sources (traffic and industrial point sources respectively). The RAMPs also included electrochemical sensors for measuring total oxidants (Ox, Ox-B431) and carbon monoxide (CO, CO-B41), as well as a nondispersive infrared (NDIR) CO<sub>2</sub> sensor (SST CO<sub>2</sub>S-A) which also provided temperature and relative humidity data. Measurements from these additional three gaseous pollutant sensors are not used directly in this study.

Electrochemical gas sensors are commonly used in low-cost monitors because of their low cost to manufacture, selectivity, and simplicity (Kumar et al. 2015; Stetter 2008). These sensors consist of four electrodes. A redox reaction occurs between the working and counter electrodes when the sensor is exposed to the target pollutant. The reaction generates a potential difference which then can be correlated with concentrations of the pollutant. An auxiliary

electrode in this four-electrode unit accounts for temperature and relative humidity effects. However, numerous studies have shown that assuming a linear relationship between sensor signal and concentration is insufficient to account for impacts of temperature, humidity, and sensitivity to species other than the target pollutant (Cross et al. 2017; Hagan et al. 2018; Malings, Tanzer, Hauryliuk, Kumar, et al. 2019; Snyder et al. 2013; Spinelle et al. 2015; Zimmerman et al. 2018).

In this work, we follow the calibration method of Zimmerman et al. for NO<sub>2</sub> (Zimmerman et al. 2018). This method uses (1) ambient collocation of RAMPs with EPAgrade reference monitors and (2) supervised machine learning algorithms to convert electrochemical sensor response to pollutant concentrations. Zimmerman et al. showed that a random forest machine learning algorithm provided the best performance for determining NO<sub>2</sub> concentrations from RAMPs. The random forest calibrations yield precision and bias of ~25% for NO<sub>2</sub>. It has recently been shown that similar performance can be achieved using generalized calibration models rather than developing a unique calibration model for each RAMP (Malings et al. 2019). Therefore, general calibration models were used for all of the RAMP NO<sub>2</sub> data in this study.

To calibrate the SO<sub>2</sub> sensors, we collocated sixteen RAMPs with a reference grade SO<sub>2</sub> monitor (Model 100A, Teledyne-API, San Diego, CA, USA) for three months at site 41. This site is <1 km east of the Coke plant and is often impacted by SO<sub>2</sub> emissions from the plant. From the collocation a multi-linear regression calibration model was developed and applied to the SO<sub>2</sub> sensors (Subramanian et al. 2018). Details of the SO<sub>2</sub> sensor collocation and calibration can be found in the Supplementary Information.

Each RAMP includes an optical PM<sub>2.5</sub> monitor. Thirty-nine of the RAMPs used a Met-One Neighborhood PM Monitor (NPM) (Met One Instruments, Grants Pass, OR, USA) and the remaining 3 RAMPs used PurpleAir PA-II monitors. Table 2.1 in the Supplementary Information lists which sensor was placed at each site. These low-cost particulate matter sensors employ light scattering optical techniques instead of the traditional EPA regulatory PM monitoring techniques which include tapered element oscillating microbalances (TEOMs) and beta attenuation monitors (BAMs). Light scattering (also called nephelometry) is used in lowercost sensors because they are cheap to manufacture, have low power requirements to operate, and have fast response times (Kelly et al. 2017; Rai et al. 2017; Wang et al. 2015). Light scattering devices typically are made up of an infrared emitting diode (IRED), a phototransistor, and focusing lens. When the particles pass through the sensor, they scatter light. The intensity of the scattered light is measured by a phototransistor and correlated with PM mass. Drawbacks to the light scattering technique include sensitivity to changes in temperature, relative humidity, particle composition, and size distribution (Jayaratne et al. 2018; Koehler and Peters 2015; Zheng et al. 2018).

To account for these effects, primarily the humidity artifact, we correct the as-reported PM<sub>2.5</sub> mass concentrations to "BAM-equivalent" PM<sub>2.5</sub> mass concentration. A detailed explanation of the correction method used here can be found in Malings et al. (Malings et al. 2019). Briefly, we first correct for aerosol hygroscopic growth using temperature and relative humidity measured by each RAMP and the average particle composition measured in Pittsburgh. We then adjust the hygroscopic growth-corrected concentration to "BAM-equivalent" (values that can be directly compared to U.S. EPA standards) to account for aerosol size distribution

effects by using a linear regression obtained by collocating the RAMPs with regulatory BAM monitors at sites 5 and 41.

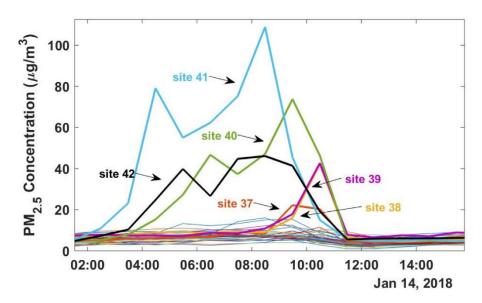
This study considers data collected over a period of one year at each sampling site. However, the same RAMP was not deployed at each site for the entire study period. RAMPs are routinely brought back to our central reference site at the Carnegie Mellon University campus either for maintenance or for periodic calibration checks. As noted by Malings et al., the calibrations for gases measured by the RAMPs are robust for approximately 6–12 months, so the data used here are within the bounds for normal operation of these low-cost sensors (Malings et al. 2019). In a separate paper, Malings et al. demonstrated that the PM<sub>2.5</sub> measurements are robust for yearlong deployments (Malings, Tanzer, Hauryliuk, Saha, et al. 2019).

### **2.3 Results and Discussion**

#### 2.3.1 Intraurban PM<sub>2.5</sub> Variability and the Impact of Point Sources

Although  $PM_{2.5}$  is largely regional (Robinson et al. 2007), local point sources can be responsible for generating local spikes in  $PM_{2.5}$  mass. An example of pollution spikes due to local sources is shown in Figure 2.2, which compares 12 hours of  $PM_{2.5}$  measurements at all 42 sites.  $PM_{2.5}$  concentrations are elevated relative to other sites at the three East of Coke Plant sites (40–42) starting at 2:00 a.m. on January 14th, 2018.  $PM_{2.5}$  concentrations at these sites increase from ~10 µg/m<sup>3</sup> to as much as 100 µg/m<sup>3</sup> over the course of several hours.

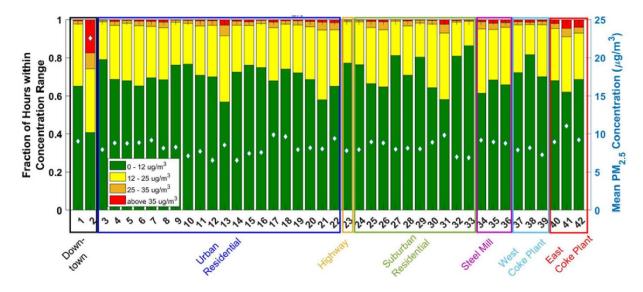
2) Figure 2.2 Example of high PM<sub>2.5</sub> event associated with local emissions



This figure is exemplary of periods of time throughout the study period when sites surrounding the Coke plant (indicated by thick lines) experienced elevated  $PM_{2.5}$  concentrations due to plant emissions while all other sites (thin lines) maintained background  $PM_{2.5}$  concentrations.

Prior to 8:00 a.m. the winds were blowing from the southwesterly direction; however, at 8:00 a.m. the winds shifted and began to blow from the northeasterly direction. This is accompanied by a drop in  $PM_{2.5}$  at sites 40–42 and a concurrent increase in  $PM_{2.5}$  at the West of Coke Plant sites (37–39), which were then downwind of the emissions source. The spikes measured at sites 37–42 were not observed at any other sites in the network, suggesting that this was a local enhancement due to emissions from the Coke plant.

Repeated instances of these types of spikes increase the long-term average concentrations at sites 40–42 which are predominately downwind of the Coke plant. Similarly, the Near Steel Mill sites, which are predominately downwind (east) of the steel mill (sites 34–36) also have higher long-term average  $PM_{2.5}$  concentrations than the sites that are upwind (west) of the steel mill in the suburban residential area (sites 27, 28, and 31). Figure 2.3 compares the  $PM_{2.5}$  measurements across the sampling network. The annual average concentration at each site ranges from 7.5 to 25.8 µg/m<sup>3</sup>, with the majority of sites having an average concentration less than the U.S. EPA annual standard of 12 µg/m<sup>3</sup>.



3) Figure 2.3 Average PM<sub>2.5</sub> concentrations across RAMP network

The bars show the fraction of hourly averaged  $PM_{2.5}$  measurements within each of four concentration ranges based on EPA and WHO regulatory cutoffs. Mean  $PM_{2.5}$  concentration is indicated within each bar as a white diamond.

The bar plot of each location in Figure 2.2 is subdivided into four categories: measurements where the hourly averaged PM<sub>2.5</sub> concentration was (1) less than 12  $\mu$ g/m<sup>3</sup> (2) 12– 25  $\mu$ g/m<sup>3</sup> (3) 25–35  $\mu$ g/m<sup>3</sup> and (4) greater than or equal to 35  $\mu$ g/m<sup>3</sup>. These cutoffs were chosen based on EPA and World Health Organization (WHO) daily and annual average PM<sub>2.5</sub> standards. The 25  $\mu$ g/m<sup>3</sup> level is the WHO 24-h exposure standard; if concentrations are above this threshold for more than 24 h, that would be hazardous according to the WHO. With the exception of site 2, which is described in more detail below, all sites had PM<sub>2.5</sub> lower than 12  $\mu$ g/m<sup>3</sup> for over 57% of hours, and concentrations were less than 25  $\mu$ g/m<sup>3</sup> for over 91% of all hourly data. Sites that had relatively higher percentages of hours with measured PM<sub>2.5</sub> above 25  $\mu$ g/m<sup>3</sup> were further investigated. Sites with elevated annual average PM<sub>2.5</sub> are generally impacted by local enhancements, and therefore experience concentrations exceeding 25  $\mu$ g/m<sup>3</sup> more often than sites that are far from either point sources or areas of high traffic density. Site 2 has the highest percent of hours above 25  $\mu$ g/m<sup>3</sup> (25.9%). This anomalously high occurrence of elevated PM<sub>2.5</sub> concentrations can be attributed to the fact that site 2 is located downtown in a street canyon approximately ten meters away from the exhaust of a restaurant with a wood-fired pizza oven. These cooking emissions drive the elevated PM<sub>2.5</sub> concentrations for site 2.

The three East of Coke Plant sites (40–42) also experienced elevated frequencies of hourly PM<sub>2.5</sub> concentrations exceeding 25  $\mu$ g/m<sup>3</sup>. They are located within 1500 m of the coke plant, in the predominantly downwind direction. These sites experienced PM<sub>2.5</sub> concentrations over 25  $\mu$ g/m<sup>3</sup> for 4.8%, 8.2%, and 6.9% of the sampling period. In contrast, across all other sites (excluding site 2), concentrations above 25  $\mu$ g/m<sup>3</sup> occur only 3.2 ± 1.7% of the time. Sites 41 and 42 were more than two standard deviations higher than this average, while site 40 was on the upper end of that range.

Two of the sites East of the Coke Plant (41 and 42) experience higher PM<sub>2.5</sub> concentrations than the third site. The presence of these differences points to the utility of dense lower-cost networks of air quality monitors, as a single, expensive regulatory monitor would be incapable of capturing this level of fine-scale spatial variability.

Large differences in  $PM_{2.5}$  concentration exist between different site groupings. The difference in  $PM_{2.5}$  concentrations upwind and downwind of the Coke plant illustrate sharp  $PM_{2.5}$  gradients that can result from industrial point sources. The three West of Coke Plant sites (37–39), which are similarly close (1–2 km) to the Coke plant as the East of Coke Plant sites,

have  $PM_{2.5}$  greater than 25 µg/m<sup>3</sup> only 2.7%, 2.6%, and 2.7% of the time, respectively. This is similar to the Urban and Suburban Residential sites.

We can also use Figure 2.3 to assess how the frequency of elevated  $PM_{2.5}$  concentration varies in EJ versus non-EJ communities. Sites 37–39 are classified as EJ communities, whereas sites 40–42 are non-EJ communities. However, sites 40–42 experience a higher frequency of hours with elevated  $PM_{2.5}$  concentrations than sites 37–39. The low-cost sensor network in this region is able to detect influences from point sources on a finely resolved spatial scale in a way that illuminates differences in EJ and non-EJ communities.

Figure 2.3 shows all of the data collected during our study period at each site. Additional plots separating the data by season are shown in Figure 2.9 in the Supplementary Information. PM<sub>2.5</sub> concentrations vary seasonally, with lower concentrations in the spring than in the other three seasons; mean PM<sub>2.5</sub> concentration in the fall, winter, spring and summer were 11.2, 10.5, 8.7, and 13.9  $\mu$ g/m<sup>3</sup> respectively. Across the network, PM<sub>2.5</sub> concentrations exceeded 25  $\mu$ g/m<sup>3</sup> for only 1.5% of hours in the spring, versus 5.25%, 4.35%, and 5.00% in the summer, fall, and winter, respectively. The spatial pattern of high PM<sub>2.5</sub> concentrations, however, remains consistent from season to season, largely driven by local emissions. The restaurant impacted site 2 always has the highest PM<sub>2.5</sub> greater than 25  $\mu$ g/m<sup>3</sup> than West of Coke Plant or Residential sites.

The coefficient of divergence (COD) is a metric that can be used to determine the significance of  $PM_{2.5}$  concentration differences between sites. The COD is computed using Equation (1) for each pair of sites.

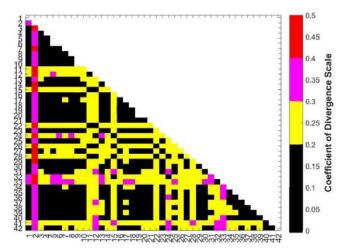
$$COD = \sqrt{\frac{1}{N} \sum_{i=1}^{N} \left(\frac{x_{iA} - x_{iB}}{x_{iA} + x_{iB}}\right)^2}$$
(1)

*N* is the number of paired observations,  $x_{iA}$  is the measurement at time period *i* for site A, and  $x_{iB}$  is the measurement at the time period *i* for site B, where each time period *i* is one hourly averaged PM<sub>2.5</sub> measurement. A threshold of 0.2 is typically used to identify pairs of sites that are significantly different (COD > 0.2) from sites that are similar (COD < 0.2) (Krudysz et al. 2009; Stetter 2008).

During our evaluation of these low-cost sensors by collocation with a reference monitor, the majority of the sensor pairs showed a COD below 0.2. Figure 2.10 in the Supplementary Information shows the results of analysis conducted on 48 RAMPs that were collocated at site 7. While 6 pairs of RAMPs at the collocation had CODs over 0.2, the remaining 1122 pairs of RAMPs showed a COD less than 0.2. Hence, we expect that when the sensors are deployed, CODs greater than 0.2 signify actual differences in PM<sub>2.5</sub> concentration and are not due to sensor noise.

Figure 2.4 shows the COD for hourly averaged PM<sub>2.5</sub> concentrations between each pair of sites. The COD suggests that there is significant spatial heterogeneity across the RAMP network on an hour-to-hour basis. More than half of the pairwise COD values are greater than 0.2. The analyses of Figure 2.2 and Figure 2.3 above focused on the most extreme differences (e.g., site 2 versus all other sites). However, the COD matrix in Figure 2.4 shows that there are also subtle, but meaningful, differences between many more sites, even those within a site class.

#### 4) Figure 2.4 Coefficients of Divergence



Pairwise hourly coefficients of divergence (COD) for  $PM_{2.5}$ . As the COD for the majority of pairs is greater than 0.2 there exists heterogeneity on an hourly basis between the sites.

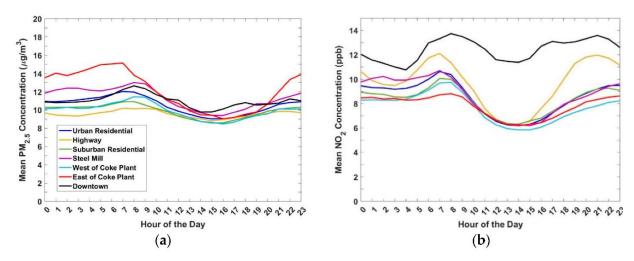
Although we are not able to quantify all of the sources of variability that drive CODs to be greater than 0.2 between site pairs, one source of variability is emissions from local point sources. The CODs between the East of Coke Plant sites and sites not impacted by point sources are for the most part greater than 0.2. As shown in Figure 2.2, emissions plumes can impact different sets of sites at different times, depending on meteorological conditions. Plumes can also advect downwind, and there are examples in our dataset of plumes starting near the Coke plant that eventually impact some, but not all, of the Urban Residential sites. This time lag (in addition to dilution) while plumes travel from one area to another can cause differences in PM<sub>2.5</sub> concentrations measured on an hourly basis between sites and thus lead to significant differences in hourly averaged PM<sub>2.5</sub>.

#### 2.3.2 Multi-Pollutant Patterns

The gaseous pollutants measured by the RAMPs offer insight into the sources driving the inter-site differences in  $PM_{2.5}$  concentrations. In this section, we use  $NO_2$  as an indicator for

traffic emissions and  $SO_2$  as a marker for industrial emissions to aid in describing the  $PM_{2.5}$  trends at various site types.

Figure 2.5a shows the average diurnal pattern of  $PM_{2.5}$  for each site group. The diurnal patterns at each of the seven study areas (Downtown, Urban Residential, Highway, Near Steel Mill, Suburban Residential, West of Coke Plant, and East of Coke Plant) were determined by averaging the measurements taken at each respective hour of the day for all of the locations within each study area. For the Downtown  $PM_{2.5}$  diurnal we ignored site 2, and therefore only site 1 was used. As described above, site 2 is heavily impacted by emissions from a nearby restaurant and therefore may not be representative of the broader downtown area. For the rest of the site groups all sites within the group were included in calculating the diurnals.



5) Figure 2.5 Diurnal patterns of PM<sub>2.5</sub> and NO<sub>2</sub>

(a) Hourly averaged diurnal patterns of  $PM_{2.5}$  within each site group. Downtown site 2, which is impacted by emissions from a nearby restaurant, is not included. (b) Mean diurnal patterns of  $NO_2$  for each of the site groups. All sites were used because the restaurant near site 2 is not a major  $NO_2$  source.

Some common trends are observed across the sampling domain.  $PM_{2.5}$  concentrations increase in the morning at most sites (~7–9 a.m.). This general trend is mirrored by NO<sub>2</sub> (Figure

2.5b), which also exhibits a domain-wide increase during the morning rush hour. The concurrent morning peaks in  $PM_{2.5}$  and  $NO_2$  are indicative of rush hour traffic emissions, combined with low atmospheric mixing height.  $PM_{2.5}$  concentrations reach a minimum around 3–4 p.m. as the atmosphere becomes more well mixed. There is no early evening  $PM_{2.5}$  enhancement during the evening rush hour at most of the sites.

Figure 2.5 shows that multi-pollutant concentration patterns, and therefore exposure, change throughout the day. In the evening through early morning the East of Coke Plant and Near Steel Mill sites have the highest mean PM<sub>2.5</sub> concentrations. People who live in these areas are presumably at home during these times, and therefore likely to be exposed to elevated PM<sub>2.5</sub> relative to other areas in our study domain. However, during the day, Downtown has the highest PM<sub>2.5</sub> concentrations. This means that someone who lives in one of the areas East of the Coke Plant or Near the Steel Mill but works Downtown could have higher exposures than someone who both lives and works in one of the industrially influenced areas. This has important implications for public health; it may not be enough to incorporate one's residence in exposure assessment, since workday exposures in downtown or other commercial areas may be significantly different than in residential neighborhoods.

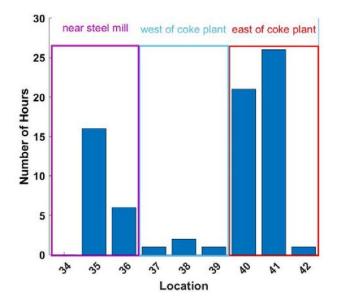
There are differences in the diurnal trends and in the absolute concentrations between site groups. For example, all of the sites except for Downtown exhibit a sharp drop in PM<sub>2.5</sub> concentrations after the morning rush hour. This is driven by a decrease in the traffic source and an increase in atmospheric mixing height. In Downtown; however, PM<sub>2.5</sub> concentrations decrease more gradually throughout the workday. This can be attributed to elevated traffic emissions throughout the day relative to other areas, along with contributions from street canyon effects and restaurant cooking (Gu, Hugh Z Li, et al. 2018). The measured NO<sub>2</sub> concentrations

suggest that traffic is a driver for the excess  $PM_{2.5}$  in downtown. NO<sub>2</sub> in Downtown remains high during the day compared to other site groups and is the only site group (with the exception of the Highway site) that shows an afternoon rush hour peak in NO<sub>2</sub>.

The East of Coke Plant sites and Near Steel Mill sites experience some of the highest PM<sub>2.5</sub> concentrations at all times of the day. The enhancements in mean PM<sub>2.5</sub> concentration at the East of Coke Plant sites and Near Steel Mill sites are larger in the late evening through early morning than the enhancements observed at any of the other sites. The individual contributions of micrometeorology and higher industrial emissions at night cannot be separated with this dataset and should be investigated in future work. In contrast to the elevated PM<sub>2.5</sub>, NO<sub>2</sub> concentrations at these sites during the day are similar to the Urban and Suburban Residential sites; hence, unlike Downtown, traffic is likely not a significant contributor to the higher PM levels in the area. On the other hand, SO<sub>2</sub> concentrations at the Near Steel Mill and East of Coke Plant sites are frequently elevated above background levels. This suggests that industrial emissions play an important role.

 $SO_2$  measurements were used as a tracer for industrial emissions. Figure 2.6 shows the number of hours for which  $SO_2$  concentrations exceeded 50 ppb (99.8th percentile of  $SO_2$  measurements) at the nine sites near the steel mill and Coke plant. Instances of high  $SO_2$  were most frequent at the East of Coke Plant and Near Steel Mill sites (which are usually downwind), suggesting that emissions from these sources contribute to the occasions of high  $PM_{2.5}$  shown in Figure 2.3.

#### 6) Figure 2.6 High SO<sub>2</sub> frequency



Frequency of high SO<sub>2</sub> concentrations. Bars show the number of hours with SO<sub>2</sub> greater than 50 ppb from November 2017 through May 2018 at the nine sites located near the coke plant and steel mill. Sites downwind of the coke plant have the most frequent occurrences of high SO<sub>2</sub>.

We investigated correlations between background-corrected  $PM_{2.5}$  concentration and  $SO_2$  concentration to test whether these pollutants have a common source. The background-corrected  $PM_{2.5}$  concentration was obtained by subtracting the  $PM_{2.5}$  measured at Urban Residential site 5 from the measured  $PM_{2.5}$  concentration at the source influenced sites. Background-corrected  $PM_{2.5}$  concentration and  $SO_2$  concentrations were normalized for each site and scatter plots for each site are shown in the Supplementary Information (Figure 2.11). The mean  $R^2$  value for correlation between  $PM_{2.5}$  and  $SO_2$  for the nine source impacted sites is 0.32 (ranging from 0.16–0.56), compared to near zero correlation at the background sites ( $R^2$  at site 5 = 0.03). In particular, variations in  $SO_2$  explain about 40% of the variation in  $PM_{2.5}$  at sites 41 and 42 (East of the Coke Plant sites), which is significantly higher than any of the other source impacted sites. As observed earlier, these two sites also saw significantly higher PM<sub>2.5</sub> than the Urban and Suburban Residential sites. This suggests that the elevated PM<sub>2.5</sub> concentrations at sites East of the Coke plant are more heavily influenced by emissions from the Coke plant when compared to the other source impacted sites in the area, and even among sites east (downwind) of the Coke plant, there can be differences that are revealed by a high-density sensor network. The West of Coke Plant sites have lower SO<sub>2</sub> than the East of Coke Plant sites, echoing the results for PM<sub>2.5</sub> because these sites are often upwind of the source. Furthermore, a regulatory SO<sub>2</sub> reference monitor located at site 5 (Urban Residential) recorded zero hours of SO<sub>2</sub> concentration above 50 ppb during the study period. The overall story is that the industrial emissions drive the elevated PM<sub>2.5</sub> concentrations in the areas downwind of the Coke and steel plants, not traffic.

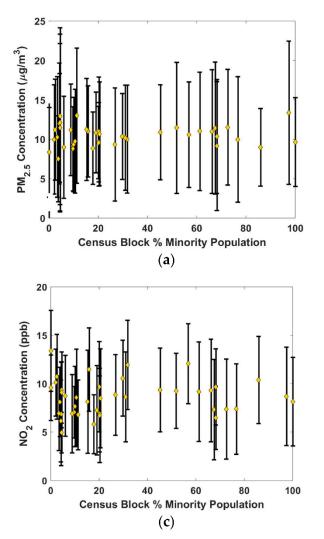
Figure 2.6 also shows that there is heterogeneity within the site classes. One of the Near Steel Mill sites (site 34) never experienced SO<sub>2</sub> greater than 50 ppb during the study period. Likewise, site 42 had fewer instances of high SO<sub>2</sub> than sites 40 and 41. Although there are broad similarities in sites with similar land use and nearby sources, there is variability even within site classes. The COD for SO<sub>2</sub> for all site pairs between the nine sites near industrial facilities was greater than 0.2. A plot of the pairwise COD for SO<sub>2</sub> at these nine sites is found in the SI, Figure 2.12. The heterogeneity between SO<sub>2</sub> concentrations within site groupings further demonstrates the utility of a high-density multi-pollutant network.

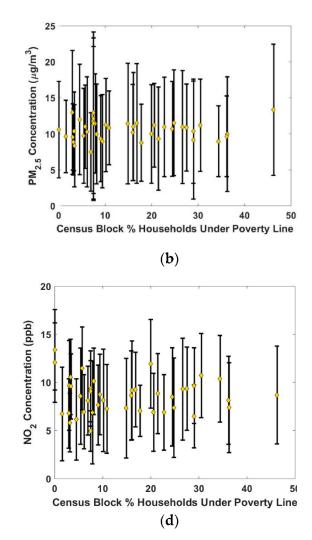
#### 2.3.3 Exposure Inequality and Environmental Justice

Figure 2.7 examines exposure inequality and environmental justice of  $PM_{2.5}$  and  $NO_2$  as a function of two socio-economic variables: percent of the population living below the poverty line

and percent of the population belonging to a minority group. Although there are numerous socioeconomic factors available for assessing environmental justice, this study only analyzes these two factors as they are the indicators for environmental justice regions in the state of Pennsylvania. The mean PM<sub>2.5</sub> concentration for all of the non-EJ sites is 10.3  $\mu$ g/m<sup>3</sup> (standard deviation = 1.5  $\mu$ g/m<sup>3</sup>) and the mean PM<sub>2.5</sub> concentration for all of the EJ sites is 10.6  $\mu$ g/m<sup>3</sup> (standard deviation = 1.0  $\mu$ g/m<sup>3</sup>), which suggests no significant difference in PM<sub>2.5</sub> concentrations based on EJ status of the census block group.







Environmental justice analysis showing mean (yellow diamond) and standard deviation (whiskers) at each site. Site 2 was not included in the  $PM_{2.5}$  analysis due to the impact of the local restaurant emissions at that site. (a,b) show the lack of correlation between  $PM_{2.5}$  concentrations and percent of the population who (a) belong to a minority group or (b) are living below the poverty line. (c,d) similarly show the lack of correlation between  $NO_2$  concentrations and the same two socio-economic variables.

Spearman's rho, a non-parametric measure of rank correlation, can be used to test the relationship between two variables. A Spearman's rho with an absolute value of less than 0.20 is indicative of very weak correlation between the variables, only above 0.60 is the correlation considered strong. The Spearman's rho between mean PM<sub>2.5</sub> concentration at a site and percent of the population living below the poverty line in the census block group is 0.05. The Spearman correlation between mean PM<sub>2.5</sub> and percent of the population belonging to a minority group is similarly low (0.01). This means that the relationship between mean PM<sub>2.5</sub> concentration and socioeconomic (EJ) variables cannot be described by a monotonic function; PM<sub>2.5</sub> concentration does not increase with increasing EJ indicators.

The Spearman's rho between the mean NO<sub>2</sub> concentrations at the RAMP sites and the socio-economic variables is similarly low; 0.01 and 0.06 when comparing mean NO<sub>2</sub> at a site to percent of the population living under the poverty line and percent minority group, respectively. The mean NO<sub>2</sub> for EJ sites was 8.85 ppb (standard deviation = 1.58 ppb) while the mean NO<sub>2</sub> concentration for non-EJ sites was 8.32 ppb (standard deviation = 2.00 ppb). In other words, NO<sub>2</sub> concentrations are not systematically higher in EJ communities than non-EJ communities within our study domain.

In contrast to our findings, Clark et al. showed strong correlation between EJ communities and elevated NO<sub>2</sub> concentrations and reported that on a national scale the population weighted mean NO<sub>2</sub> concentrations for non-whites were 5 ppb higher than for whites

in 2000 and 2.9 ppb higher in 2010 (Clark et al. 2017). There are several possible explanations for the disagreement of our results with those of Clark et al. One potential explanation is methodological. Clark et al. used a national land use regression model estimate of NO<sub>2</sub> whereas we use a dense network of sensors within the county. We have 42 monitors running in the relatively small study domain, while Clark et al. used a model that was trained on the national EPA monitoring system that only includes two monitors in our domain. Additionally, Clark et al. reported on average trends throughout the nation. There is no requirement that each individual city follow these trends; due to different socio-economic factors, Pittsburgh may not follow the national average trend. For example, several of the Urban Residential sites are located in neighborhoods that are a mix of middle to upper income families and college students. The student population increases the percent of non-white population while decreasing the average income of the areas. There may also be nuanced differences with the ways that minority populations were defined in each study that may have impacts on the results. For example, in our study we simply defined percent minority population as the non-white portion of the population. If we were to break the non-white portion of the population into different subgroups there may be different patterns that arise in our results. Furthermore, many of the EJ areas, as defined by race and income, are typically upwind of industrial facilities and thus less impacted by these emissions.

## **2.4 Conclusions**

A dense network of over 40 lower-cost monitors was deployed within the city of Pittsburgh and surrounding areas in Allegheny County. The dense sensor network was able to detect significant differences in PM<sub>2.5</sub> concentration between groups of sites within the study domain, and also between sites within a site group with similar characteristics. NO<sub>2</sub> was used as

a tracer for traffic emissions and SO<sub>2</sub> was used as a tracer for industrial emissions. Downtown and near Highway sites experienced elevated  $PM_{2.5}$  and  $NO_2$  concentrations that were dominated by traffic emissions. Sites downwind of industrial sources such as the Near Steel Mill sites and East of Coke Plant sites experienced elevated  $PM_{2.5}$  concentrations influenced by industrial point sources, indicated by higher SO<sub>2</sub> levels. No relationship was found linking two socio-economic variables to elevated  $PM_{2.5}$  or NO<sub>2</sub> concentrations within our sampling network.

Our analysis demonstrates the value of a dense sensor network. Our network is able to capture temporospatial pollutant patterns that cannot be resolved by the sparse network of regulatory monitors. We grouped our sensors into seven categories and observed significant variations both within and between categories. Even if the regulatory monitoring network had one site in each of our seven land-use-based categories (and it does not), it would not be able to capture all of the spatial variations that we present here. Coupling measurements of PM<sub>2.5</sub> and gases allows us to attribute the observed temporospatial pollutant patterns to specific source classes, which demonstrates the benefit of multipollutant sensor networks.

The approach we use here could easily be replicated in other cities. While the mix of sources may be different—for example, the coke plant is somewhat unique to our sampling domain—networks of multi-pollutant sensors should be capable of capturing pollutant patterns and attributing them to traffic versus other sources.

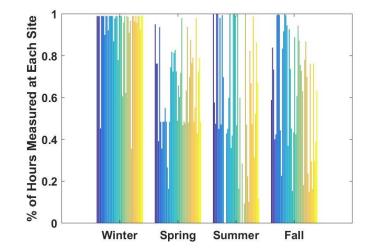
## **2.5 Supplemental Information**

#### 2.5.1 SO<sub>2</sub> Calibration

In this study we collocated sixteen RAMPs with a reference grade SO<sub>2</sub> monitor (Teledyne T100A) for three months at site 41. This site is less than 1 km east of the coke plant. SO<sub>2</sub> is a known byproduct of coke production. Hourly averaged SO<sub>2</sub> concentrations ranged from ~0 to greater than 100 ppb during the collocation period at site 41, which provided sufficient dynamic range for training calibration models.

Following Zimmerman et al. and Malings et al., we developed both multi-linear regression (MLR) and machine learning based neural network (NN) calibrations for SO<sub>2</sub>. The inputs for the MLR model are net SO<sub>2</sub> signal, temperature, and relative humidity measured by the RAMP. The inputs for the NN model were net signal for five gaseous pollutant sensors (SO<sub>2</sub>, CO, NO<sub>2</sub>, O<sub>3</sub>, and CO<sub>2</sub>), temperature, and relative humidity.

At the calibration site both the MLR and NN models performed well, with  $R^2$  of 0.60 and 0.75, respectively, for calibration testing. However, when the models were applied to a RAMP at a second collocation site with a reference monitor (site 35) the performance of the NN model drastically dropped ( $R^2 = 0.11$ ). The MLR model on the other hand maintained acceptable performance ( $R^2 = 0.54$ ). This decrease in performance by the more complex NN calibration model may be attributed to an overtraining of the model on the source mixture at site 41. This in turn led to less transferability of the NN-based calibration. Therefore, the MLR calibration model was used here.



#### 8) Figure 2.8 Seasonal data coverage

31

42 vertical bars are shown for each RAMP in each season. The heights of the bars indicate the percent of hours measured in each season at each of the 42 RAMP sites. These 42 sites were sub selected down from an original list of 77 RAMPs to include only sites that were collecting data for at least half of a year (4380 hours) during the study period. Good seasonal coverage was obtained using this dataset. The median coverage per season per RAMP was 69% of the season covered.

Identifier	Area	Type of PM monitor
1	Downtown	MetOne
2	Downtown	MetOne
2 3 4 5	Urban Residential	MetOne
4	Urban Residential	MetOne
5	Urban Residential	MetOne
6	Urban Residential	MetOne
7	Urban Residential	MetOne
8	Urban Residential	MetOne
9	Urban Residential	MetOne
10	Urban Residential	MetOne
11	Urban Residential	MetOne
12	Urban Residential	MetOne
13	Urban Residential	MetOne
14	Urban Residential	MetOne
15	Urban Residential	MetOne
16	Urban Residential	MetOne
17	Urban Residential	MetOne
18	Urban Residential	MetOne
19	Urban Residential	MetOne
20	Urban Residential	MetOne
21	Urban Residential	MetOne
22	Urban Residential	MetOne
23	Highway	MetOne
24	Suburban Residential	MetOne
25	Suburban Residential	MetOne
26	Suburban Residential	Purple Air
27	Suburban Residential	MetOne
28	Suburban Residential	Purple Air
29	Suburban Residential	MetOne
30	Suburban Residential	MetOne
31	Suburban Residential	MetOne

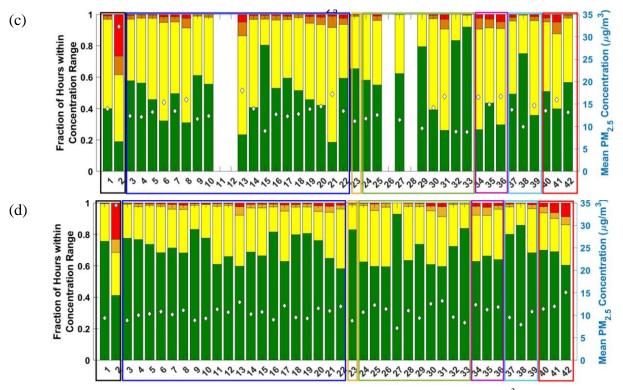
1) Table 2.1 RAMP locations

20	Calcarda a Darai da atiri	MatOna
32	Suburban Residential	MetOne
33	Suburban Residential	Purple Air
34 35 36	Steel Mill	MetOne
35	Steel Mill	MetOne
36	Steel Mill	MetOne
37	West of Coke Plant	MetOne
38	West of Coke Plant	MetOne
39	West of Coke Plant	MetOne
40	East of Coke Plant	MetOne
41	East of Coke Plant	MetOne
42	East of Coke Plant	MetOne

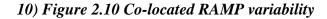
Each site was assigned to an area grouping and a number from 1 to 42. The last column indicates whether the RAMP's  $PM_{2.5}$  monitoring device was a MetOne nephelometer or a PurpleAir laser sensor.

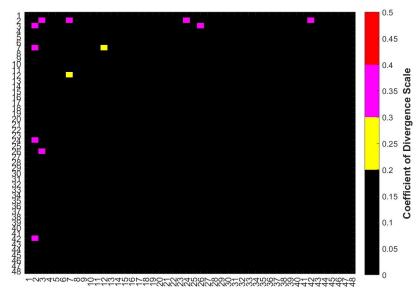


## 9) Figure 2.9 Seasonal PM<sub>2.5</sub> concentrations



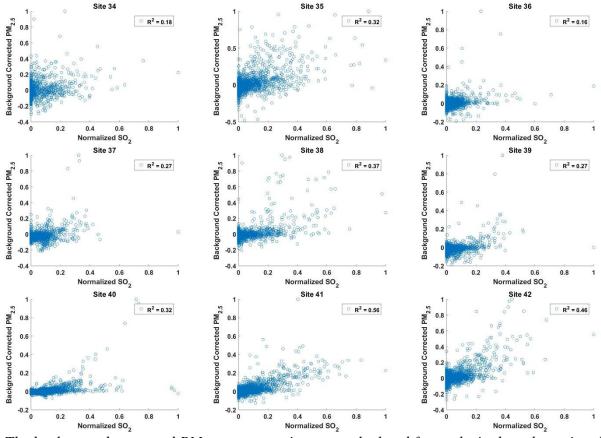
Green bars are fraction of hours with  $PM_{2.5}$  concentration less than  $12 \ \mu g/m^3$ , yellow bars [12-25)  $\mu g/m^3$ , orange bars [25-35)  $\mu g/m^3$ , and red bars are fraction of hours greater than or equal to  $35 \ \mu g/m^3$ . The data from Figure 3 in the text was subdivided by season to differentiate the seasonal differences in  $PM_{2.5}$  at each site for (a) winter, (b) spring, (c) summer, and (d) fall.





48 RAMPs were collocated at site 7. The hourly averaged COD for each pair of sensors at this collocation was calculated and all but 6 pairs had insignificant differences in measurement from the other

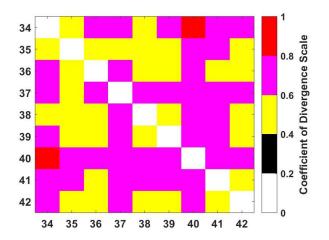
sensors. From this we conclude that the differences in COD that are shown in Figure 4 can be attributed to actual differences in measured  $PM_{2.5}$  and not sensor noise.



11) Figure 2.11 Relationship between excess PM<sub>2.5</sub> and SO<sub>2</sub>

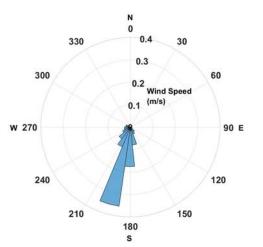
The background corrected  $PM_{2.5}$  concentration was calculated for each site by subtracting the  $PM_{2.5}$  concentration measured at an Urban Residential site (site 5) from the source influenced sites. The hourly averaged background corrected  $PM_{2.5}$  concentrations were then normalized and correlated to the normalized SO<sub>2</sub> measurements at each of the sites near industrial facilities. Two sites downwind of the coke plant (sites 41 and 42) show the strongest correlation between  $PM_{2.5}$  and SO<sub>2</sub> indicating that the elevated  $PM_{2.5}$  concentrations at those locations are heavily influenced by SO<sub>2</sub> carrying industrial emissions.

#### 12) Figure 2.12 SO<sub>2</sub> variability



The COD plot for  $SO_2$  concentrations at the nine sites near the industrial facilities (Near Steel Mill, East of Coke Plan, and West of Coke Plant) demonstrates that there are significant differences (COD>0.2) in  $SO_2$  concentration between sites influenced by these point sources.

#### 13) Figure 2.13 Wind measurements



Wind measurements were taken using an RM Young 81000 Sonic Anemometer from January 2018 through December 2018. An exemplary onemonth subset of this data is displayed in the wind rose showing one-minute averaged measurements of wind direction and speed. The prevailing wind direction throughout the study domain was from southwest to northeast.

## Chapter 3

## **Utilization of a Low-Cost Sensor Network**

*Contents of this chapter have been published as*: *Tanzer-Gruener, R., Li, J., Eilenberg, S.R., Robinson, A.L., Presto, A.A., 2020. Impacts of modifiable factors on ambient air pollution: a case study of COVID-19 shutdowns. Environ. Sci. Technol. Lett. 7 (8), 554–559. https://doi.org/10.1021/acs. estlett.0c00365.* 

## **3.1 Introduction**

Sources of urban ambient air pollution are generally associated with human activities such as traffic, cooking, and electricity generation. These sources are modifiable factors; emissions can be modulated either by changing activity levels or the source intensity. Air pollution regulation in the U.S. has traditionally relied on reducing emission factors rather than curbing activity. Although previous studies have assessed impacts of event-related step changes in emission sources on air quality, (Friedman et al. 2001; Heinrich et al. 2002; Ransom and Pope III 1995; Rich et al. 2015) social distancing measures implemented in response to COVID-19 offer a natural experiment to observe and quantify the impacts of modifiable factors, specifically large shocks to activity, on ambient air pollution in real-time with an unprecedented scope, speed, and duration.

In March 2020, 48 U.S. states implemented precautions to limit transmission of COVID-19. (Sergent et al. 2020) In many cases, these measures represented a step-change in activity and accompanying pollutant emissions. This study focuses on data collected in Pittsburgh, Allegheny County, PA, which is representative of the rapid changes in activity associated with social distancing measures. A timeline of the closures affecting Pennsylvania and the upwind state of Ohio can be found in Table 3.2 in the Supplemental Information (SI) and show that activity was "business as usual" through March 13 (Bosco 2020; Kiser 2020; Parsons 2020; Williams 2020; Wolf 2020a) and rapidly transitioned to lower activity, with the majority of schools and nonessential businesses closed or operating in reduced capacity by March 16.

The closing of schools and businesses has a clear impact on activity levels and therefore air pollutant emissions. In this paper, we use data from both a distributed network of low-cost air pollutant sensors and the Environmental Protection Agency (EPA) regulatory network to examine how changes in activity impacted ambient air pollution. We compare concentrations of fine particulate matter (PM<sub>2.5</sub>; for which Allegheny County has been at least partially in nonattainment since 1997 (Pennsylvania Department of Environemental Protection, 2016)), CO, and NO<sub>2</sub> from the post-COVID shutdown period (March 14-April 30, 2020) to business as usual periods in 2019 and 2020.

#### **3.2 Materials and Methods**

CO and PM<sub>2.5</sub> were measured using a distributed network of low-cost sensors. The Realtime Affordable Multi-Pollutant (RAMP) sensor package has been deployed throughout the city of Pittsburgh and surrounding suburbs since 2016. (Zimmerman et al. 2018) The RAMPs use electrochemical sensors (AlphaSense LLC) to measure CO. PM<sub>2.5</sub> is measured via light scattering using either MetOne Neighborhood Monitors or PurpleAir PA-IIs. Previous work details the calibration (Malings et al. 2019) and deployment (Subramanian et al. 2018; Tanzer et al. 2019; Zimmerman et al. 2020) of these sensor packages.

In March 2020 there were 27 active RAMP sites in the Pittsburgh region (locations shown in Figure 3.3). The RAMP sites were grouped into 4 categories based on land-use: High Traffic (n = 3), Urban Residential (n = 11), Suburban Residential (n = 8), and Industrial (n = 4).

Site groupings were determined according to the same methodology as was used in previous work (Tanzer et al. 2019) and are described in detail in the SI.

One concern with low-cost pollutant sensors is measurement uncertainty. (Castell et al. 2017; Cross et al. 2017; Eilenberg et al. 2020; Hagan et al. 2018; Snyder et al. 2013) We have previously shown that mean absolute error relative to a reference measurement in hourly averaged CO measurements is  $\pm$ 49ppb. (Zimmerman et al. 2018) Uncertainty in PM<sub>2.5</sub> is a strong function of averaging time; 1-hr data has a relatively large uncertainty (~4µg/m<sup>3</sup>) that falls to <1µg/m<sup>3</sup> after sufficient averaging time. (Eilenberg et al. 2020; Malings et al. 2019) In this paper grouping sites increases effective averaging time, reducing uncertainty to 0.6µg/m<sup>3</sup>. (Eilenberg et al. 2020)

To supplement the RAMP data, EPA Air Quality System (AQS) data collected by the Allegheny County Health Department (ACHD) from two NO<sub>2</sub> sites was also analyzed (one High Traffic site, one Suburban Residential; shown in Figure 3.3).

To quantify traffic reduction we compared traffic camera data on Interstate 376, a main commuter highway, in March 2020 (post-closures) to historical vehicle counts (pre-closures) during the same time of day (8am: morning rush-hour). We estimate that rush-hour commuter vehicle traffic decreased by 48%. This estimate is consistent with Google mobility data which estimates that in Allegheny County workplace related mobility decreased by 45%. (Google LLC 2020)

## **3.3 Results and Discussion**

#### **3.3.1** Concentration reductions due to activity changes

Figure 3.1 and Table 3.3 compare CO and PM<sub>2.5</sub> concentrations for pre- and post-COVID periods. Overall, concentrations during the pre-COVID period in 2020 (March 1-13) are similar

to the same period in 2019. March 2019 concentrations are shown as box plots and cumulative distribution functions (CDFs) in Figure 3.1. The data in Figure 3.1 suggest that the main emission sources and atmospheric conditions were similar between 2019 and 2020 before social distancing.

CO and PM<sub>2.5</sub> concentrations are lower during the post-COVID period (March 14-April 30, 2020) compared to the "business as usual" periods in both 2019 and 2020. For example, across the entire RAMP network, mean PM<sub>2.5</sub> concentrations were 29% ( $\sim$ 3µg/m<sup>3</sup>) lower following the COVID-related closures (6.7µg/m<sup>3</sup>) compared to March 2019 (9.5µg/m<sup>3</sup>).

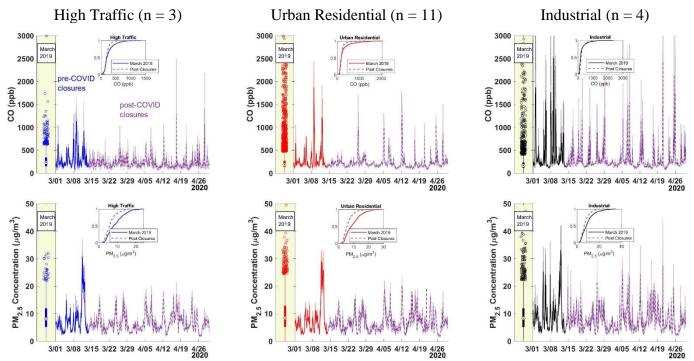
We treat CO as a marker of fresh combustion emissions from vehicular traffic and industrial activity. At the High Traffic and Urban Residential sites, traffic is the dominant source of CO. The CO timeseries at these site groups is punctuated by occasional traffic-related spikes; these spikes decreased by 19% (High Traffic) and 23% (Urban Residential) post-closures. The reduced frequency of high CO spikes is also evident in the CDFs. Median CO is identical for High Traffic and Urban Residential for pre- and post-COVID, but the mean and 90<sup>th</sup> percentile concentration at High Traffic sites are 19% and 38% lower, respectively, because of a lower frequency of high concentration events.

The impact of traffic on the High Traffic and Urban Residential sites is also evident in the diurnal patterns in Figure 2. Pre-COVID there is a clear increase in CO concentrations between an overnight stable period (2-3am) and the morning rush hour (7-8am). During the post-COVID period, both the absolute peak CO and the intra-day difference attributable to traffic are smaller.

NO<sub>2</sub>, which is also a marker for traffic emissions, shows a similar pattern as CO (Figure 3.5). Concentrations are lower and less variable, and the morning rush-hour enhancement is smaller in the post-COVID period when compared to March 2019.

The Industrial sites also have frequent spikes in CO (Figure 3.1), though these are dominated by industrial emissions. These industrially driven CO spikes persist in the post-COVID period. The CDFs in Figure 3.1 are indistinguishable for pre- and post-COVID, suggesting that the industrial sites continued emitting post-COVID closures.

14) Figure 3.1 Hourly averaged PM<sub>2.5</sub> and CO



Hourly average concentrations of PM<sub>2.5</sub> and CO for three of the site groupings during March-April 2020. Suburban Residential sites are shown in Figure S2 in the SI. The solid (pre-COVID closures) and dashed (post-COVID) lines are the mean concentrations for all the sites in each group. The shaded area around each line represent the 25<sup>th</sup> and 75<sup>th</sup> percentiles of the data from the site groups. The boxplots show the corresponding March 2019 data for all sites in each site group. The center of the boxes (indicated by a dot) is the median. The boxes show the interquartile range. The whiskers represent 2.7 standard deviations and the outliers are shown as circles. The insets in each panel show the cumulative distribution function (CDF) for the data from March 2019 compared to the data from March and April 2020 after the COVID-related closure.

Figures 3.1 and 3.2 show similar trends for PM<sub>2.5</sub> as CO. Concentrations during the pre-

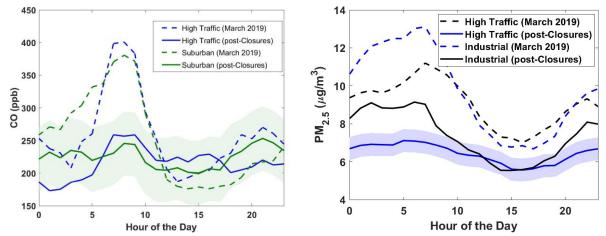
COVID period in 2020 are similar to March 2019. Concentrations in the post-COVID period are

lower and less variable. For example, Figure 3.2 shows that for the High Traffic sites the PM<sub>2.5</sub>

increase associated with the morning rush hour fell from 1.4  $\mu$ g/m<sup>3</sup> in 2019 to zero in the post-COVID period.

Figure 3.2 shows that the majority of the PM<sub>2.5</sub> enhancement at the industrially influenced sites occurs at night, consistent with previous studies. (Tanzer et al. 2019) This is because of a combination of emissions and boundary layer height. During overnight hours, the boundary layer is low. Many sources, such as traffic, have less activity overnight, whereas the steel mill and coke plant impacting the industrial sites operate 24 hours. Thus, there are local enhancements of PM<sub>2.5</sub> overnight at the Industrial sites. (Presto et al. 2016; Weitkamp et al. 2005) Although PM<sub>2.5</sub> concentrations decreased at the Industrial sites in the post-COVID compared to pre-COVID periods (24% reduction), these sites still had higher concentrations than all other site groups suggesting industrial activity continued during the shutdown.

15) Figure 3.2 PM<sub>2.5</sub> and CO diurnal patterns



Average diurnal patterns for selected site groups for CO (left) and PM<sub>2.5</sub> (right). Dashed lines show the pre-closure diurnal patterns from March 2019 and the solid lines show the 2020 post-COVID period. The shaded areas around the lines for the Suburban post-closure (left) and High Traffic post-closure (right) diurnal indicate the instrument uncertainty for each instrument (0.6  $\mu$ g/m<sup>3</sup> and 49 ppb for PM<sub>2.5</sub> and CO, respectively). Intra-day variability in CO and PM<sub>2.5</sub> concentrations decreased drastically following the COVID-related closures.

There are several potential challenges when attributing the observed changes in pollutant concentrations (Figures 3.1 and 3.2) to activity changes for specific sources. (Boogaard et al.

2017) One challenge is decoupling changes attributable to sources from changes in meteorology. We benchmarked the pre- and post-COVID periods to historical weather data from NOAA and sounding data (Oolman 2020) (Figure 3.6 and Table 3.4 in the SI.) (Current Results 2020; National Centers for Environmental Information 2020)

A second challenge is how to define the base case (i.e., the period without impacts of COVID). Our analysis above compares the post-COVID period in 2020 to both pre-COVID 2020 (March 1-13) and March 2019. Figures 3.8 and 3.9 show that annual average PM<sub>2.5</sub> concentrations in Pittsburgh have been nearly constant since 2012, and that PM<sub>2.5</sub> concentrations measured at 27 of 30 RAMPs operating in 2018 and 2019 did not have statistically significant differences between years. Thus, our overall conclusions should not be strongly impacted by the choice of base case.

One additional challenge with attributing  $PM_{2.5}$  reductions to changes in human activity is that the majority of  $PM_{2.5}$  mass is secondary. (Jimenez et al. 2009; Robinson et al. 2007) In the following section, we compare intra-day variations in enhancements associated with local emissions, to minimize influences of outside factors (i.e. upwind emissions, boundary layer height, and weather) that may confound comparisons between the pre- and post-COVID periods.

#### 3.3.2 Changes in source-related intra-day enhancement of pollutant concentrations

We defined two intra-day enhancements which focus on traffic and industrial-related emissions (Table 3.1). We define the traffic-related enhancement as the difference between the morning rush-hour peak (mean 7-8am) and the overnight stable period with a minimum in traffic volume (mean 2-3am) for PM<sub>2.5</sub>, CO, and NO<sub>2</sub> for pre-COVID (n = 31 days) and post-COVID (n=48 days). The differences are averaged across all sites in each group. The industrial enhancement is defined as the difference between the overnight mean (2-4 am) for each of the

Industrial group sites and the mean of the five Suburban Residential sites with the lowest concentrations. As with the traffic enhancement, the industrial enhancement is calculated daily for each of the Industrial sites and then averaged for the site group.

		Pre-COVID	Post-COVID	Pre-COVID	Post-COVID
		Traffic	Traffic	Industrial	Industrial
		Related	Related	Related	Related
		Intra-day	Intra-day	Intra-day	Intra-day
	Site Group	Enhancement	Enhancement	Enhancement	Enhancement
PM <sub>2.5</sub>	High				
$(\mu g/m^3)$	Traffic	1.4	0.0	n/a	n/a
	Urban				
	Residential	1.4	0.2	n/a	n/a
	Suburban	1.2	-0.2	n/a	n/a
	Industrial	0.4	-0.5	 2.8	1.7
CO (ppb)	High Traffic	180	89	n/a	n/a
	Urban Residential	86	41	n/a	n/a
	Suburban	96	25	n/a	n/a
	Industrial	104	-25	82	110
	High				
NO <sub>2</sub> (ppb)	Traffic	8.2	4.1		
	Suburban	2.8	0.4	1	1 1

2) Table 3.1 Traffic and industrial enhancements

Intra-day source specific concentration changes associated with traffic and industrial emissions at each site group. The traffic enhancements for  $PM_{2.5}$  and CO were calculated for all four site groups.  $NO_2$  data was only available for two ACHD sites. Industrial enhancements were only computed for the Industrial sites. Enhancements larger than the instrumental uncertainties are shown in bold font.

For all site groups, the pre-COVID traffic enhancements of NO<sub>2</sub> and CO scale with traffic intensity. CO enhancements are largest at the High Traffic sites (180 ppb), approximately double the enhancement at the other site groups (86-104ppb  $\pm$ 49ppb). The correlation between land-use (i.e., traffic volume) and traffic-related CO enhancements, along with the fact that CO is non-reactive, (Möllmann-Coers et al. 2002) supports the use of CO as a tracer for traffic

emissions in these locations. NO<sub>2</sub> traffic enhancement at the High Traffic ACHD site was 8.2 ppb ( $\pm 0.05$ ppb) compared to 2.8 ( $\pm 0.2$ ) ppb at the Suburban site.

The traffic enhancements fell after COVID closures. Enhancements of CO and NO<sub>2</sub> fell at High Traffic sites by 50%; this is consistent with the observed 48% reduction in commuter traffic. Morning CO enhancements fell to nearly zero in Suburban areas (96 to 25 [ $\pm$ 49] ppb), suggesting a larger fractional reduction in traffic volumes in those areas, consistent with people working and schooling from home. The traffic CO enhancement became negative in Industrial areas, meaning that concentrations at 7-8am were lower than 2-3am, possibly from dilution as the boundary layer grows coupled with reduced emissions.

 $PM_{2.5}$  enhancements during the morning rush-hour in the pre-COVID period were more uniform across site groups. For High Traffic, Urban Residential, and Suburban Residential groups, the morning rush-hour  $PM_{2.5}$  enhancement was  $1.2 \cdot 1.4 \mu g/m^3$ , suggesting that traffic impacts on  $PM_{2.5}$  are broadly distributed. There is a regional increase in morning  $PM_{2.5}$ , consistent with the more regional nature of  $PM_{2.5}$ . (Jimenez et al. 2009; Li et al. 2016; Robinson et al. 2007; Tang et al. 2004) In the post-COVID period the  $PM_{2.5}$  morning traffic enhancements for all site groups are within instrument uncertainty of zero. Enhancements decreased by 0.4- $1.4 \mu g/m^3$ , demonstrating the regional impact of traffic on  $PM_{2.5}$ .

The overnight industrial  $PM_{2.5}$  enhancement at Industrial sites was  $2.8\mu g/m^3$  in the pre-COVID period and  $1.7 \mu g/m^3$  post-COVID. Thus, during both pre- and post-COVID, there is a  $PM_{2.5}$  enhancement at Industrial sites that is larger than the measurement uncertainty ( $0.6\mu g/m^3$ ). The corresponding CO industrial enhancement (82 ppb pre-COVID, 110 ppb post-COVID) was also larger than instrument uncertainty in both periods. Thus, while operations at the industrial sources may have changed between pre- and post-COVID, our measurements indicate that these

sources remained in operation in the post-COVID period. This observation is consistent with the fact that processes related to steel manufacturing were included on the list of essential businesses in Pennsylvania. (Wolf 2020b)

The intra-day differences shown in Table 3.1 are defined based on diurnal changes in measured pollutant concentrations. The results shown here may be sensitive to the specific times used to define these intra-day variations. However, the sensitivity analysis in Figure 3.7 shows that the pattern across site groups do not change when different sets of hours are used to calculate the enhancements.

## **3.4 Implications**

Our data show a clear decrease in air pollution especially during the morning rush hour traffic driven in large part by reductions in vehicle traffic. While the COVID-related shutdowns are unprecedented and do not likely represent the new status quo, they can offer insights into air pollution under future emissions scenarios. Figure 3.2 and Table 3.1 suggest that a 50% reduction in vehicle emissions (e.g., via tighter emissions standards or widescale adoption of electric vehicles) could essentially eliminate the morning rush hour peak in PM<sub>2.5</sub>, CO, and NO<sub>2</sub>. This could reduce acute exposures, especially in high traffic or near-road environments.

In addition to traffic activity reductions, we also estimated reductions in restaurant activity and electricity consumption as described in the SI. However, determining the impacts of reductions in restaurant emissions and electricity generation on measured pollutant concentrations are more difficult. Neighborhoods with high restaurant impacts experience an additional  $\sim 1\mu g/m^3$  of PM<sub>2.5</sub> compared to areas with low restaurant activity. (Gu, Hugh Z. Li, et al. 2018) Our estimated change in restaurant activity using Google mobility data and observations of restaurant hours (~60%) would drop this impact to ~0.4µg/m<sup>3</sup>. However, the

RAMP network does not have sufficient sites in high- and low-restaurant areas to examine this impact in greater detail. Impacts of changes in electricity demand are also difficult to determine directly from our data, as much of the PM<sub>2.5</sub> from power plants is in the form of secondary sulfate. (Morris et al. 2013) Upwind changes in power plant emissions would therefore be convolved with changes in other upwind emissions and weather patterns. Reductions in electricity generation and restaurant emissions may contribute to the lower overnight background concentrations observed in the post-COVID period.

The most recent policy assessment review for the PM<sub>2.5</sub> National Ambient Air Quality Standards (NAAQS) recommended a revision to the annual PM<sub>2.5</sub> NAAQS to as low as  $9\mu g/m^3$ . Such a reduction is estimated to reduce the PM<sub>2.5</sub> related mortality rate by 21-27%. (US EPA 2019) The Pittsburgh domain considered here has an annual average PM<sub>2.5</sub> concentration of 9.5 $\mu g/m^3$ . While evaluating the full impact of vehicle traffic on PM<sub>2.5</sub> requires a more thorough assessment of impacts on primary and secondary PM<sub>2.5</sub>, we can use the observed changes in the morning rush hour peak to make a first-order estimate for the impacts of major changes to vehicle emissions on the annual average PM<sub>2.5</sub>. Table 3.1 shows that the morning rush hour peak enhancement fell from 1.4  $\mu g/m^3$  to ~0  $\mu g/m^3$ . This translates to a reduction of 0.12  $\mu g/m^3$  in the daily average PM<sub>2.5</sub> concentration, which would account for a third of the necessary reduction to reach a hypothetical 9  $\mu g/m^3$  standard. Thus, reductions beyond morning rush-hour traffic emissions may be needed to reach 9  $\mu g/m^3$  in urban areas.

## **3.5 Supplemental Information**

#### 3) Table 3.2 COVID closure timeline

Date	State	Event
		First two confirmed COVID-19 cases in
6-Mar-20	PA	the state.

		Governor DeWine declares a state of			
9-Mar-20	OH	emergency.			
		Announcement that all K-12 schools are to			
12-Mar-20	OH	be closed starting Monday, March 16 <sup>th</sup> .			
		Ban on gatherings of more than 100			
12-Mar-20	OH	people.			
		Announcement that all K-12 schools are to			
13-Mar-20	PA	be closed starting Monday, March 16 <sup>th</sup> .			
		All bars and restaurants are to be closed			
15-Mar-20	OH	starting at 9pm.			
		Statewide shutdown of all non-life			
19-Mar-20	PA	sustaining businesses starting March 21st.			
22-Mar-20	OH	Statewide stay-at-home order announced.			
Timeline of C	<i>Timeline of COVID-19 related closures in the state of</i>				
Pennsylvania	Pennsylvania where this study takes place and the upwind state				
of Ohio where	of Ohio where regional emissions measured in Pittsburgh				
historically of	riginate	from.			

### 3.5.1 Site Groupings

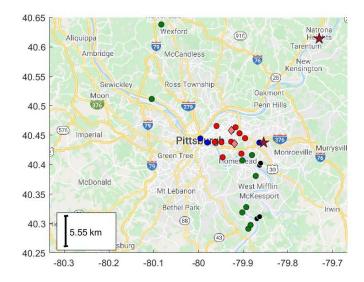
The 27 RAMP sites were broken down into 4 categories: High Traffic (n = 3), Urban Residential (n = 11), Suburban Residential (n = 8), and Industrial (n = 4). This breakdown only equals 26 sites, the 27<sup>th</sup> site was not included in any site group because it did not properly fit into any category as it was a much more background, rural location. It was however used to aid in background correction calculations, so we include this RAMP in our network count. Briefly, the High Traffic sites are located either in downtown Pittsburgh or along major highways, Residential sites are classified either as Urban (within the City of Pittsburgh boundaries) or Suburban (outside of the city's boundaries), and Industrial sites are downwind of either a large metallurgical coke plant (n = 2) or an integrated steel mill (n = 2).

The classifications of the four site groups were based off of the vehicle density within a 100-meter radius and restaurant density within a 500-meter radius of the site. Values of vehicle and restaurant density were normalized by dividing the densities at each site by the maximum

value across the entire sampling network for each variable. High Traffic sites included both two downtown and one near highway site. The downtown sites are located in the central business district and were in the top 30% of vehicle and restaurant densities while the highway site was located within 10 meters from the edge of a limited-access highway.

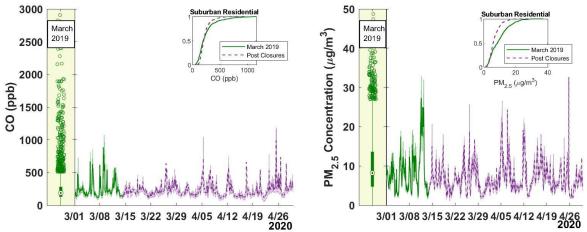
Urban Residential sites were located within the city limits and had moderate traffic density (below the 60th percentile) along with low restaurant density (within the first quartile). Suburban Residential sites were those sites that were located outside of the city limits and experienced low vehicle and restaurant densities (within the first quartile). As the names suggest, the Urban and Suburban Residential sites were located in residential and mixed-use neighborhoods, typically at private residences or public schools. Sites classified as Industrial were defined by proximity to industrial point sources. Two of the sites were within 1500 meters of a steel mill and the remaining two were within 1500 meters of a metallurgical coke plant. These sites were east, and therefore generally downwind of, the respective point sources





The map (generated using a Google API and MATLAB) shows the locations of air quality monitoring sites within the city of Pittsburgh and the surrounding areas where the air quality monitoring sites used in this study are located. The circles and two diamonds indicate locations

of CO and PM<sub>2.5</sub> monitoring by RAMP monitors. The blue dots are the locations of the High Traffic sites (n = 3), the red dots and red diamonds are the Urban Residential sites (n = 11), the green dots are the background and Suburban Residential sites (n = 9), and the black dots are the Industrial sites (n = 4). The five Suburban Residential sites with the lowest PM<sub>2.5</sub> concentrations were used to calculate background concentrations at the Industrial sites. For the Urban Residential sites two locations are indicated by diamonds instead of circles because those two sites were operational throughout March 2020, but they were not running in March 2019. Therefore, no data was available for those locations to determine changes in concentration for site specific calculations. The two maroon stars indicate the two Allegheny County Health Department (ACHD) sites where NO<sub>2</sub> data was collected.



17) Figure 3.4 CO and PM<sub>2.5</sub> at suburban sites

Shown are timeseries of the March 2020 CO and PM<sub>2.5</sub> data for the Suburban Residential sites not included in the main text. As in Figure 1 in the main text, the dashed purple lines in each of the timeseries indicate the concentrations corresponding to the time period after the COVID-19 related closures began. The solid and dashed lines in the timeseries plots are the mean concentrations for all the sites in the Suburban Residential grouping, while the shaded area around each line represent the 25<sup>th</sup> and 75<sup>th</sup> percentiles of the data from the site group at that time. The boxplots show the corresponding March 2019 data for all sites in the Suburban group. The center of the box is the median, the top and bottom of the box represents the 25<sup>th</sup> and 75<sup>th</sup> percentiles of the data for the entire month in the site group. The whiskers of the boxes represent 2.7 standard deviations and the outliers represent the remaining data. The insets in each panel show the cumulative distribution function (CDF) for the data from March 2019 compared to the data from March and April 2020 after the COVID-related closure. The shift in the two CDF's show the clear shift in the distribution of both CO and PM<sub>2.5</sub> concentrations at the Suburban sites, the period of time following the closures are more likely to have lower concentrations of CO and PM<sub>2.5</sub> compared to the period of time before the closures.

4) Table 3.3 Statistics for average CO and PM<sub>2.5</sub> concentrations

СО	PM <sub>2.5</sub>
----	-------------------

	Mean	25 <sup>th</sup>	75 <sup>th</sup>	90 <sup>th</sup>	Mean	25 <sup>th</sup>	75 <sup>th</sup>	90 <sup>th</sup>
March 2019	263	147	279	537	9.5	5.1	12.4	17.4
March 2020 pre-								
closures	312	176	349	631	8.2	4.5	9.6	14.6
March/April								
2020 post								
closures	233	162	258	365	6.7	4.4	8.5	11.4

Descriptive statistics for the average CO and  $PM_{2.5}$  concentrations over the entire RAMP domain and time frame. Numbers that appear in bold and underlined indicate measurements from March 2020 post-closures that are significantly different from either the March 2019 and/or March 2020 pre-closure datasets. All metrics for both CO and  $PM_{2.5}$  measurements are different beyond the uncertainty of the measurements between the "business as usual" data to the post-closure data aside from the 25<sup>th</sup> percentile of the CO data.

#### **3.5.2 Significance Testing**

A Mann-Whitney U Test was conducted to compare the March 2020 post-COVID

closure data to the March 2020 pre-COVID closure data and the March 2019 data. The Mann-

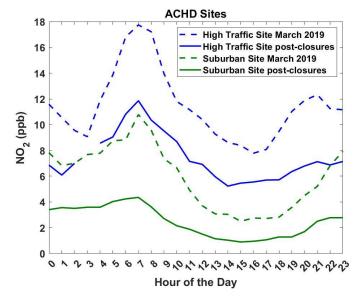
Whitney U Test was performed because the data is continuous, non-parametric, and unpaired.

Based on the results of the test the March 2020 post-COVID closures CO and PM<sub>2.5</sub>

measurements were both significantly lower than the March 2020 pre-COVID closure period and

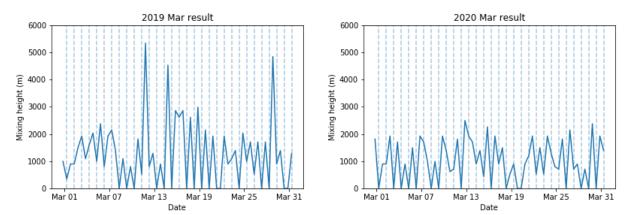
the March 2019 period.

## 18) Figure 3.5 Diurnal NO<sub>2</sub>



The diurnal patterns for the NO<sub>2</sub> measurements from the Allegheny County Health Department's two monitoring sites are shown. One site was near a highway and the other was in a background suburban area. The variation before the closures at the High Traffic site mirrors that of the CO concentrations at the RAMP High Traffic sites and there is a clear reduction in NO<sub>2</sub> concentrations post-closures similar to the CO concentrations. The Suburban site's NO<sub>2</sub> measurements similarly mimic the CO diurnal patterns observed at the RAMP Suburban Residential sites. Although the NO<sub>2</sub> concentrations at the Suburban site start out lower than the measurements at the High Traffic site, they still experience a significant reduction in their absolute values and daily variation following the COVID-related closures.

#### 19) Figure 3.6 Boundary layer height



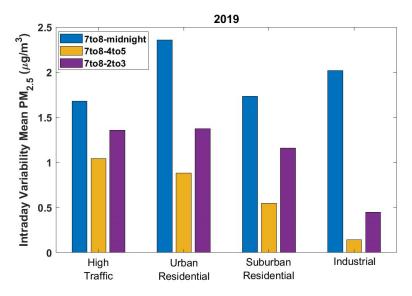
Shown are the boundary layer heights for the different time periods (March 2019 and March 2020) derived from the University of Wyoming's sounding data. The boundary layer height for the month of March 2019 was similar to March 2020 post-closures, with inversions  $50(\pm 5)\%$  of the time. An inversion is defined here as a day with a 0 m mixing height. However, there are a higher frequency of strong temperature inversions during the first two weeks of March 2020 (61.5% of the days).

#### 5) Table 3.4 Meteorology

	Average March and	March 2017	March 2020	March 14, 2020
	April Values in			– April 30, 2020
	Pittsburgh, PA			(post-COVID
	(1981-2010)			closures)
Rainfall	6.13 inches	4.91 inches	5.64 inches	9.91 inches
Normalized	0.10 in/day	0.16 in/day	0.18 in/day	0.21 in/day
Rainfall per				
day				

Temperature	30°F	31°F	36.6°F	39°F			
Low							
Temperature	62°F	49°F	54.6°F	57°F			
High							
The thirty-yea	r average high and lov	w temperatures	as well as rainj	fall for March and			
	020) are shown in the to						
	April after the COVID						
,	he temperature bounds		0	0 00			
• •	y-year temperature bou		-	- 00			
	ions of pollutants post-						
	OVID closure period th						
	eceived in March 2020						
•	from March 2017 (0.16 inches/day) in recent years. We compared the mean $PM_{2.5}$						
	concentration from four Allegheny County Health Department sites (Lawrenceville,						
•	Parkway East, Lincoln, and Liberty) in 2017 to the concentrations at the sites in March						
2020 post-closures. Based on this comparison we found there was still a significant							
decrease in PM <sub>2.5</sub> concentration after the closures when compared to March 2017							
	$(0.80\mu g/m^3 reduction across all sites and a reduction of 2.48\mu g/m^3 at the High Traffic,$						
Parkway East	site).						

#### 20) Figure 3.7 Sensitivity analysis



A sensitivity analysis was performed by selecting varying times as the background pre-morning rush-hour concentration. We show that although the determination of the morning rush-hour enhancement is dependent on the hour of the night used as the background the pattern of enhancement by site group remains the same. Ultimately hours 2-3 am were selected as the background time because they made the most physical sense for isolating the morning rush-hour traffic enhancement. Traffic data from the Pennsylvania Department of

*Transportation show that the lowest number of cars are on the road between 2-3 am.* 

#### **3.5.3 Determination of Restaurant Activity and Electricity Consumption Reduction**

Reductions in restaurant activity were estimated using a combination of information from Google mobility data and observations of local restaurants' hours' reductions. The estimate of restaurant activity reduction was approximately 60%.

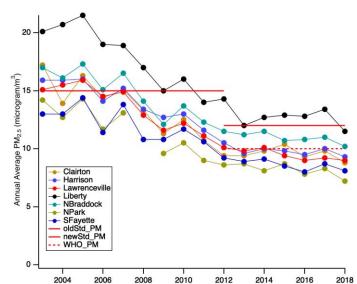
For electricity we calculated the decrease in the metered hourly electricity load supplied by Duquesne Light Company (DLC). This data was made publicly available by PJM Interconnection LLC, a competitive wholesale electricity market. DLC is the energy services holding company which provides electricity for ~600,000 customers (homes and businesses) in Allegheny and neighboring Beaver Counties. The mean metered hourly load for March 2019, March 2020 pre-closures, and March 2020 post-closures was 1467.9, 1406.9, and 1319.9MW, respectively. The mean decrease in electricity use post-closures was 8%.

#### 3.5.4 Year-to-Year Differences in PM<sub>2.5</sub> And Impacts on Reference Year Selection

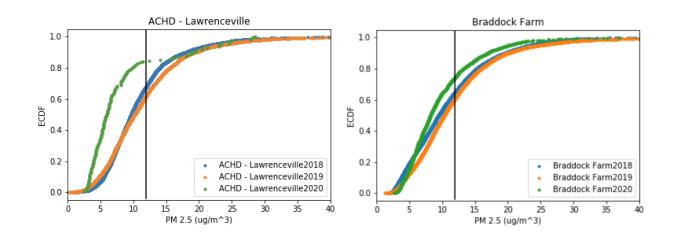
The impacts of COVID-19 related shutdowns identified in this analysis could be influenced by the selection of 2019 as the base case. We believe that this is a minor source of uncertainty.

Long-term average pollutant concentrations have been relatively stable in Pittsburgh since ~2012. Figure S6 shows the annual average  $PM_{2.5}$  at all of the EPA AQS monitoring sites in Allegheny County from 2003-2018. While there is a slow overall decline in  $PM_{2.5}$ , year-to-year changes in recent years have been small.

#### 21) Figure 3.8 Average annual PM<sub>2.5</sub>



Annual average  $PM_{2.5}$  measured across Allegheny County, PA from 2003-2018. Horizontal red lines show the EPA  $PM_{2.5}$  NAAQS (15 µg/m<sup>3</sup> before 2012 and 12 µg/m<sup>3</sup> since) and the World Health Organization suggested limit of 10 µg/m<sup>3</sup>. Concentrations have been stable since ~2012. We also compared  $PM_{2.5}$ concentrations measured by RAMPs in 2018 and 2019. Two examples are shown in Figure 3.9. In each case 2018 and 2019 measurements are nearly identical. Across 30 RAMPs that have data for 2018 and 2019, only three had statistically significant differences between 2018 and 2019.



22) Figure 3.9 ECDF of PM<sub>2.5</sub>

Empirical CDFs (ECDF) of hourly  $PM_{2.5}$  measured at two RAMP locations. The left panel shows an Urban Residential location, and the right panel shows an Industrial location. 2020 data (green) have a different CDF in part because the dataset only covers January and February, whereas 2018 and 2019 data cover the entire year. Figures 3.8 and 3.9 suggest that the overall conclusions of our analysis would be the same whether we used 2018, 2019, or an average of the two as the base case. Lastly, we rely primarily on intra-day differences as a metric. Shortterm average pollutant concentrations (e.g., a one- or two-week average) can be impacted by meteorological factors such as boundary layer height or precipitation frequency. For example, a simple comparison of the mean PM<sub>2.5</sub> concentration during the morning rush hour before and after the closures may be influenced by more frequent inversions or rain. Comparisons of intraday differences should be less impacted by meteorology than daily or weekly average concentrations. The morning rush hour peak in CO, NO<sub>2</sub>, and PM<sub>2.5</sub> is driven largely by fresh emissions; thus, the difference between the morning peak and overnight trough is an approximate indicator of total emissions in the airshed. Additionally, even if there are more inversions in one time period than another, the rush hour emissions are emitted into (or on top of) the already elevated PM<sub>2.5</sub> concentration. Thus, the intra-day differences should be less impacted by meteorology than the average concentrations.

ACHD Sites	March/April 2019	March 2020 pre-	March/April 2020
		closures	post-closures
PM <sub>2.5</sub>	12.2	11.9	8.0
СО	302	321	249
Shown are the mean P	M2 5 and CO measureme	nts over the March/April	2019 March 2020 pre-

6) Ta	ble 3.5	County	measurements	of PM2.5	and CO
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Shown are the mean PM<sub>2.5</sub> and CO measurements over the March/April 2019, March 2020 pre-COVID related closure period, and the March/April COVID-related closure period from Allegheny County Health Department regulatory monitoring sites. PM<sub>2.5</sub> measurements are from four different sites that would fit into the site groupings from this paper. The four sites are as follows: 1 Urban Residential, 2 Industrial, and 1 High Traffic. For CO there were only three measurement sites with data in our time range. These three CO sites are classified as follows: 1 Urban Residential and 2 High Traffic. The reductions in PM<sub>2.5</sub> post closures are 32% and 39% from March 2020 pre-closures and March/April 2019, respectively. The reductions in CO post closures are 22% and 23% from March 2020 pre-closures and March/April 2019, respectively. These reductions of overall mean concentrations across the domain are consistent with the reductions measured by the low-cost RAMP sensor network.

## **Chapter 4**

# Watching Paint Dry: I/VOC Emissions from Architectural Coatings and their Impact on SOA Formation

*Contents of this chapter are being prepared for publication as*: *Tanzer-Gruener R, Dugan LD., Bier ME., Robinson AL., Presto AA. Watching Paint Dry: I/VOC Emissions from Architectural Coatings and their Impact on SOA Formation. In prep for journal TBD* 

## **4.1 Introduction**

 $PM_{2.5}$ , particulate matter with a diameter of less than 2.5 micrometers, has deleterious effects on human health and the environment (Di et al. 2017; Dockery et al. 1993; Laden et al. 1998). Exposure to elevated concentrations of  $PM_{2.5}$  is linked to increased risk of respiratory and cardiovascular disease, making poor air quality one of the leading preventable causes of death worldwide (Gakidou et al. 2017).  $PM_{2.5}$  is not uniform in shape, size, or composition and varies in different regions throughout the world.

A significant portion of PM<sub>2.5</sub> mass (20-90%) is organic aerosol (OA) (Zhang et al. 2007). OA can be further classified as either primary (POA) or secondary (SOA). SOA forms through reactions in the atmosphere and makes up a significant portion of ambient OA even in urban areas (Jimenez et al. 2009; Robinson et al. 2007; Volkamer et al. 2006; Williams et al. 2010; Xu et al. 2015). SOA can be formed when pollutants which are emitted as vapors such as volatile organic compounds (VOCs) react with oxidants and the subsequent products condense into the particle phase. Here we define VOCs as organic compounds with saturation concentrations (C\*) greater than  $3.2 \times 10^6 \,\mu g/m^3$ . Less volatile organic vapors, known as Intermediate Volatile Organic Compounds (IVOCs,  $3.2 \times 10^6 \ge C^* \ge 3.2 \times 10^2$ ) also play an important role in SOA formation (Chan et al. 2009; Miracolo et al. 2010; Presto et al. 2010) with approximately 45% of SOA formation coming from primary IVOCs (Zhao et al. 2014).

Historically, mobile sources have been a large source of anthropogenic SOA precursors (McDonald et al. 2013; Pollack et al. 2013). However, as vehicles have become cleaner due to regulations and new technologies the importance of SOA formation from non-combustion volatile chemical products (VCPs) has increased (Bishop and Stedman 2008; Khare and Gentner 2018; McDonald et al. 2018). According to McDonald et al., VCPs make up about a quarter of VOC emissions in the U.S.; that is about twice as much as diesel and gasoline exhaust emissions combined (McDonald et al. 2018). Despite the prevalence of VCPs as VOC emitters, most chemical transport models that predict SOA formation from anthropogenic sources continue to focus on combustion sources (Hodzic et al. 2010; Jathar et al. 2017; Murphy et al. 2017) despite the fact that VCPs are thought to have significant SOA yields (Shah et al. 2020). In order to correct this imbalance, it is imperative to quantify the magnitude and composition of SOA-forming emissions from VCPs.

One difficulty in understanding emissions from VCPs is that these products cover a wide range of forms and functions including cleaning supplies, personal care products, paints and coatings, and other products. Of these products some are more atmospherically relevant than others due to their timescales and modes of emission. Paints and coatings, which made up 13% of the U.S. organic solvent consumption for 2012 (McDonald et al. 2018), are thought to play a significant role in contributing to VOC emissions, with estimated emissions at > 50tons per day (Khare and Gentner 2018). Furthermore according to Seltzer et al., VOC emissions to the atmosphere across the VCP sector are largest for paints and coatings, making up 33% of the VCP

emissions and amounting to approximately 3.1kg of VOC emissions per person per year in the United States (Seltzer et al. 2020).

Although paint emissions have been quantified for years (Guo et al. 1999; Kiil 2006; Silva et al. 2003; Stockwell et al. 2020; Zhao et al. 2016) there has been little effort towards understanding VOC and IVOC (henceforth I/VOC) emissions with respect to their effect on SOA formation. For example there have been studies that quantified potential emissions of hazardous VOCs (Chang 1999; Clausen et al. 1991; Zhao et al. 2016), as well as emissions of individual VOCs from paints (Gandolfo et al. 2018; Gkatzelis et al. 2021; Lin and Corsi 2007), studies which quantified the VOC emissions of paints with respect to indoor air quality (Fortmann et al. 1998), and even some work which quantified the outdoor ozone reactivity of paint emissions (Goliff et al. 2012). However, little experimental work has quantified I/VOC emissions from paints and their impact on SOA formation. This work experimentally quantifies the I/VOC emissions factors over the drying period of paints spanning a wide range of architectural coatings in order to understand the contribution of architectural coatings to national VOC concentrations and SOA formation potential.

### **4.2 Instrumentation and Methods**

#### 4.2.1 Materials

The paint sector is very large. Under the US Census Bureau's classification of "architectural coatings" (category#: 3255101) the mass of paints utilized per year, scaled to the 2021 population, is 3.1 Tg (US Census Bureau 2012). There is a lot of potential variability across this large sector stemming from differences in solvent (water versus oil), manufacturer, gloss level (high versus low), indoor vs outdoor, etc. One of the major differentiators between paints are whether they are oil (alkyd) or water (acrylic/latex) based. Fifty years ago the overwhelming majority of paints were oil-based because of their great resistance to wear and ease of application. However, oil-based paints contain much higher levels of VOCs and are more detrimental to the environment as a result over the past few decades the prevalence of water-based paints have increased due to their lower VOC content, ease of cleanup, and faster drying times. In general water-based paints have <250g/L of VOCs while oil-based paints are required to have <380g/L of VOCs. There do exist "low-VOC" paints which are required to have <5g/L of VOCs. It is important to note however that these requirements are tied to the VOCs in the solvent portion of the paints and does not account for additional solvents that are used in the pigments and binders.

Our approach was to sample across as much of this phase space as possible. The census inventory includes paints that fall under 23 classifications which can be grouped into six overarching paint categories: Oil, Flat, Semi-gloss Interior, Semi-gloss Exterior, High Gloss, and Satin. We tested paints from each of those categories. Additionally, we chose paints from companies that hold major share in the US paint market and selected types that covered a broad range of uses (indoor vs. outdoor, gloss levels, and solvent vs. water-based paints).

In total six paints were selected. Five were latex (water-based) paints of varying gloss levels. Four were for indoor use (Flat, Satin, Semi-Gloss Interior [SemiInt], and High Gloss) and one was for outdoor use (Semi-Gloss Exterior [SemiExt]). Lastly we selected one oil-based paint. A full description of the paints selected can be found in Table 4.2 in the SI.

We conducted two types of experiments for each of the paints (1) a headspace sample and (2) an extended emission experiment. Each of these experiment types are described in detail below. During extended emissions testing, each paint sample was applied to an approximately 58

cm<sup>2</sup> piece of drywall. Drywall was selected as the painting material as it is a commonly painted surface material throughout the United States.

### **4.2.2 Procedures**

We conducted experiments to quantify the composition and emissions of paints. Headspace experiments were used to obtain a fingerprint of paint vapors. In these experiments we sampled directly (~5 cm) above open paint cans that were placed in a fume hood. Figure 4.7 in the SI shows a schematic of the headspace experimental setup.

Extended emission experiments used a 1.2 L aluminum flux chamber (shown in Figure 4.7 of the SI). At the start of each extended emission experiment a piece of pre-cut drywall was weighed. Following weighing the drywall was painted, re-weighed (to determine the weight of the paint applied), and immediately placed into the chamber. As shown in Table 4.3, most experiments used  $\sim 0.7 - 2$  g of paint. The chamber was then sealed and sampling began. VOC-filtered air was pulled through the flux chamber at a flowrate of 0.37 slpm. This gave a residence time of 3.2 minutes.

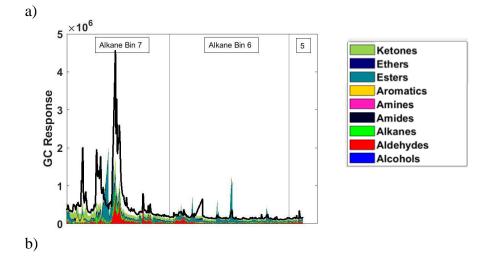
We used multiple methods to quantify the composition of paint I/VOCs in both the headspace and extended emissions experiments. VOCs and more volatile IVOCs (e.g., naphthalene) were measured with a quadrupole PTR-MS (Proton Transfer Reaction Mass Spectrometer) (Lindinger, Hansel, and Jordan 1998). Paints are made up of a wide range of oxygenated compounds and the PTR-MS is well suited to measure oxygenated VOCs (OVOCs) (De Gouw et al. 2003; De Gouw and Warneke 2007). Furthermore OVOCs are of interest with regard to SOA formation as recent work has suggested (Charan, Buenconsejo, and Seinfeld 2020; Janechek et al. 2019; Li and Cocker 2018; Wu and Johnston 2017). The PTR-MS was

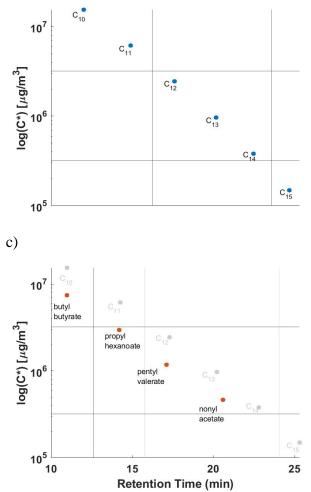
operated in scan mode from m/z 21 to 155 and sampled continuously for the entirety of the extended emissions tests (typically 48 hours).

IVOCs were primarily quantified using Tenax sorbent tubes followed by thermal desorption GC-MS (gas chromatography-mass spectrometry) analysis. Tenax TA was selected as the sorbent material because it performs well while sampling in high moisture environments and is known to be effective at measuring I/VOCs (Helmig and Vierling 1995; Maier and Fieber 1988; Rothweiler, Wäger, and Schlatter 1991). A full description of the GC-MS system as well as tube cleaning and analysis procedures can be found in the SI. Tenax tube sampling times were selected based off of preliminary PTR-MS data and were collected over one-hour intervals. The goal was to sample with Tenax tubes during hours 0-1, 1-2 approximately hour 4-5, approximately hour 8-9, 24 hours after paint application, and 48 hours after paint application. Table 4.3 in the SI shows the exact sampling times for each experiment.

### 4.2.3 Analysis and Compound Identification

### 23) Figure 4.1 Volatility binning and compound class grouping





(a) The total ion chromatograph of a Satin headspace sample is shown by the thick black line. Signal decomposed into compound class contributions using marker ions is shown with the colored shading. Vertical lines show logarithmically spaced C\* bins determined from alkane volatility (e.g., Alkane Bin 7 corresponds to the  $C^* = 10^7 \mu g/m^3 bin$ ). The relationship between retention time and volatility is shown for a series of alkanes (b) and esters (c). Panel (c) also shows the alkanes as light points to highlight the offset in ester volatility relative to alkanes. Since esters have a different relationship between volatility and retention time than alkanes, a different set of C\* bin boundaries are used, as shown in (c).

Our goal is to quantify I/VOC emissions by both volatility (C\*) and composition. There

are several challenges to this. First, the mixture of IVOCs captured on the Tenax sorbent tubes

cannot be fully separated with one-dimensional gas chromatography. Instead, most of the GC

signal appears as an unresolved complex mixture (UCM). An example chromatograph is shown in Figure 4.1a. There are very few well-defined peaks, and instead most of the mass elutes as a broad UCM hump.

Previous work (Zhao et al. 2013) has used GC-MS analysis to quantify UCM emissions from combustion systems. We draw on and expand those methods here. Our GC-MS uses a DB-5 column, so retention time is related to volatility. Previous work has used the retention timevolatility relationship to map the UCM to the volatility basis set for combustion emissions (Ma et al. 2016; Presto et al. 2012; Xu et al. 2020). An example of this binning is shown in Figure 4.1b for a series of n-alkanes. There is a nearly linear relationship between log(C\*) and alkane retention time. This relationship can in turn be used to lump the UCM into logarithmically spaced C\* bins, which are shown as vertical lines in Figure 4.1a and b.

Combustion emissions are dominated by aliphatic and aromatic hydrocarbons. Since variations in hydrocarbon volatility are mostly a function of carbon number (rather than molecular structure) (Pankow and Asher 2008), in previous work a single relationship between retention time and volatility has been sufficient. However, paint emissions contain other, often more polar, compound classes (e.g., ketones, aldehydes, alcohols, and esters) that may have different relationships between C\* and retention time.

An example of differing C\*-retention time relationship is shown for a series of esters in Figure 4.1c . While retention time and C\* show a log-linear relationship for the esters, the esters are offset relative to the alkanes. This means that we cannot use the C\* bins defined by alkane retention times for the esters; hence Figure 4.1c shows different boundaries for the C\* bins for esters. Thus, in order to bin the UCM mass emitted from the paints, we need to separately quantify volatility for each compound class of interest. We therefore calibrated the C\*-retention

time relationship for nine classes of compounds (alcohols, aldehydes, alkanes, amides, amines, aromatics, esters, ethers, and ketones) and defined separate retention time windows for each  $C^*$  bin. Full details are presented in the Table 4.4 in the SI.

Mapping the UCM volatility requires apportioning the GC signal into the nine compound classes shown in Figure 1a. This was done using marker ions. We identified marker ions using two basic rules (1) the marker needed to be unique, or nearly unique, to the compound class of interest and (2) the marker ion needed to make up a non-trivial amount (ideally >5%) of the overall signal for the compound class.

While there are common marker ions routinely used in chromatography, they are not necessarily available for use in our analysis. For example, m/z 57 is a common marker ion for hydrocarbons, but it is a poor marker ion for our samples because m/z 57 appears in the mass spectrum for nearly every molecule with a large carbon chain. Therefore, it is not specific enough to use as a marker ion in this analysis. Instead, we used the NIST mass spectral library (U.S. Secretary of Commerce on behalf of the United States of America 2018) to determine marker ions for each of our nine compound classes. Marker ions and their percent contribution to their compound class are listed in Table 4.5 in the SI. Once marker ions for each class were selected their average contribution to that class of compounds' mass spectra was calculated.

During analysis, the GC-MS signal was therefore apportioned by both compound class and volatility. We extracted the signal for each marker ion and scaled it up by the corresponding volatility-dependent multiplier from Table 4.5 SI. Signal was subsequently converted to mass using calibrations from compound class and volatility bin specific representative compounds (Table 4.4 in SI). Volatility was then apportioned using the predefined volatility bins. The net result of this analysis is shown in Figure 4.1a, where the colors indicate apportionment of the

total signal to different compound classes. Any unapportioned signal (the white area in Figure 4.1a) was assumed to have the same volatility relationship and mass calibration as alkanes. Class apportioned chromatographs for headspace samples of each paint type can be found in Figure 4.5 in the SI.

A second major challenge is that our PTR-MS has unit mass resolution. It therefore is unable to separate isobaric ions, nor can it distinguish between compounds with the same elemental composition. We addressed this in several ways, as detailed below.

For most m/z, compound identification relied on the PTR-MS library (Pagonis, Sekimoto, and de Gouw 2019). One exception was for m/z 45. This ion is traditionally identified as acetaldehyde, but it has been suggested that for paint emissions ethylene glycol is a more appropriate compound (Stockwell et al. 2020). There are many other mass-to-charge ratios which may have contributions from multiple compounds. For those m/z a search on PubChem was conducted to identify the most likely of the suggested compounds to be found in paints.

In addition, head space samples were collected with a high resolution Thermo Exactive EMR mass spectrometer. The EMR technique used was atmospheric pressure chemical ionization (APCI). A full list of ions scanned, and their compound identification can be found in Table 4.6 in the SI. The EMR data allowed us to better constrain isobaric species that could not be separated by the PTR-MS.

Emission measurements from the PTR-MS were also classed into one of the nine compound groups (i.e. alcohols, aldehydes, alkanes, amines, amides, aromatics, esters, ethers, and ketones). Saturation concentrations (C\*) were calculated using the SIMPOL method (Pankow and Asher 2008) in order to identify compounds as either IVOCs or VOCs. The outputs of the PTR-MS are raw responses for scanned mass-to-charge ratios. Raw responses were

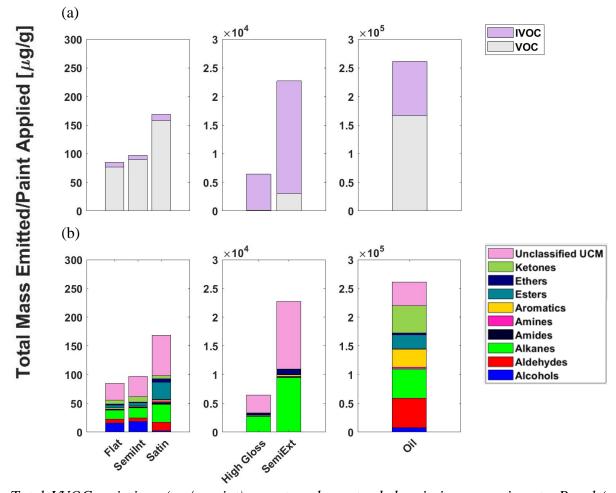
converted to concentrations in ppb using laboratory calibrations and subsequently converted to mass emitted per mass of paint applied  $[\mu g/g]$ . Ultimately masses of I/VOCs measured by both the GC-MS and PTR-MS were added together to obtain total I/VOC emissions per mass of paint applied for all six of the test paint types.

# **4.3 Results and Discussion**

### **4.3.1 Total Emissions**

Figure 4.2 shows the results for the total mass emitted over 48 hours during the extended emissions tests on a per mass of paint applied ( $\mu g/g$ ) basis for each of the six paint types tested. The upper panels show the total emissions split among VOCs and IVOCs, and the bottom panels show emissions by compound class.

### 24) Figure 4.2 Mass emitted per paint applied



Total I/VOC emissions ( $\mu g/g$ -paint) over two-day extended emissions experiments. Panel (a) separates the emissions into IVOC and VOC and (b) separates by compound class. The magnitude and composition of emissions differ between the indoor, low-gloss paints (Flat, SemiInt, and Satin), the higher gloss paints (High Gloss and SemiExt), and the oil-based paint (Oil). The emissions span several orders of magnitude between the three different paint groupings. The lower gloss paints emit predominately alkanes, alcohols, and aldehydes while the oil-based paint emits aldehydes, alkanes, and aromatics.

Total I/VOC emissions varied by several orders of magnitude across the paint types.

I/VOCs emitted from the low-gloss, indoor, water-based paints (Flat, SemInt, and Satin) are on the order of  $10^2 \ \mu g/g$  of paint applied. The higher gloss, water-based paints emitted ~ $10^4 \ \mu g/g$  of I/VOC. Lastly the oil-based paints emitted the most I/VOCs with emissions >  $10^5 \ \mu g/g$  of paint. This suggests that representing paint I/VOC emissions in chemical transport models (CTMs) will require information on both the total mass of paint used and the types of paint used. From our findings we can make recommendations on the granularity of how many different types of paints should be tested for input into CTMs. We recommend incorporating information on three categories of paints: 1) low-gloss, water-based paints, 2) higher-gloss, water-based paints, and 3) oil-based paints.

The second major observation from these experiments is the difference in distribution of IVOC versus VOC emissions from the different types of paint. The emissions from the lower gloss, indoor paints are predominately VOCs. Furthermore, as we show in more detail below, the IVOC emissions from the lower gloss, indoor paints are small in magnitude and fall below our detection limits after the first hour following paint application. This implies that emissions from the lower-gloss, indoor paints have little impact on outdoor I/VOC concentrations and SOA formation.

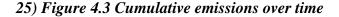
By contrast, a significant fraction of the detected emissions from the High Gloss (98%) and SemiExt (87%) are IVOCs. These less volatile emissions have larger SOA formation potential. Presumably, since the SemiExt paints are exterior paints this means that 100% of their I/VOC emissions get released into the outdoor environment and therefore impact ambient I/VOC concentrations and SOA formation.

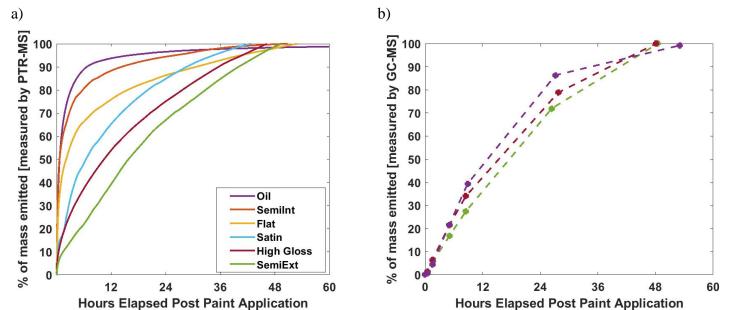
The Oil paints has a closer to even split between IVOC and VOC emissions (36% and 64% respectively). Total I/VOC emissions from oil paints are about a factor of 10 larger than emissions for SemiExt, and the Oil paint has the largest emissions of IVOCs among the paints tested here. Oil paints are used both indoors and outdoors but primarily outdoors due to their hard-wearing properties. Therefore the large amount of IVOC emissions from oil paints will also have a significant impact on SOA formation.

Figure 4.2b shows that the distribution of compound classes emitted by the different types of paints varies. Alkanes are a major component of the High Gloss and SemiExt emissions (42%). The low-gloss, indoor, water-based paints (Satin, SemiInt, and Flat) and oil-based paint emit a wider spectrum of compounds. A significant portion of emissions from the oil-based paints (12%) are aromatics. Aromatics are known to be good SOA precursors (Akherati et al. 2020) and are likely to significantly contribute to the SOA formation potential from paints. Other important compound classes that are emitted by the paints are alcohols, aldehydes, and ketones. With the exception of emissions of aldehydes our paint emissions' profiles (which are dominated by the Oil paint emissions) are in line with inventory based projected emission profiles from previous studies (McDonald et al. 2018). This indicates that inventory based projections may serve as an adequate estimator of I/VOC emissions from paints when laboratory tests are not feasible.

#### 4.3.2 Time evolution of emissions

One potential characteristic of VCP emissions is that they can serve as long-term, lowlevel emissions sources (Drozd, Weber, and Goldstein 2021; Khare and Gentner 2018). The extended emissions experiments allowed us to examine how emissions evolved over time. Figure 4.3 shows how emissions evolved over the course of multiple days as measured by the Tenax tubes (mostly IVOCs) and the PTR-MS (mostly VOCs). We look at the fraction of the total measured emissions as a function of time. Overall our total I/VOCs measured are in good agreement with the literature as we project from our measurements a total 291 g total VOCs (TVOCs) emitted per kg of paint applied and McDonald et al., estimates a range of 200 – 600 g TVOCs per kg of paint emitted.





Cumulative emissions measured by the (a) PTR-MS and (b) Tenax tubes as function of time elapsed after paint application. For these experiments, the PTR-MS operated continuously with ~2-minute time resolution. Dashed lines in (b) are to guide the eye between the periodic Tenax samples.

The three lower-gloss, indoor paints were the fastest emitting of the six paints tested. For low-gloss, indoor paints there are no long term I/VOC emissions. Measurements of IVOCs using Tenax tubes were below detection limits after one hour following paint application. Additionally, all of the compounds measured with the PTR-MS reached background levels by the end of the two day experiment. Figure 4.2 shows that the emissions from the indoor paints (Flat, Satin, SemiInt) as well as the Oil paint were primarily VOCs. Figure 4.3 shows that the emissions from these paints fall off rapidly. All four of these paints emit more than 50% of their total emissions within 12 hours after application. The temporal profile is most extreme for the Oil and SemiInt paints, which emit >90% and >80% of their emissions within 12 hours, respectively.

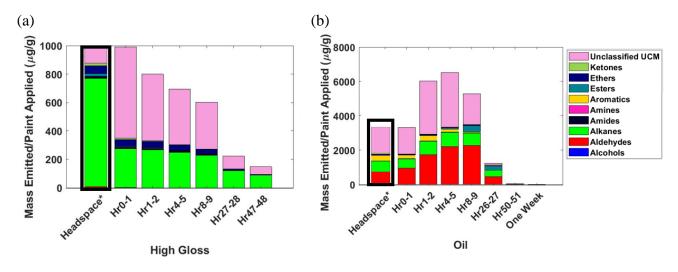
For the oil based paints the extended emissions experiments were run for more than two days. During preliminary tests, the oil paint showed a significantly higher magnitude of I/VOC emissions compared to the water based paints. Therefore, a longer, one week (rather than two

day) extended emissions experiment was conducted for the oil based paint. Surprisingly IVOC emissions from the oil paints also reached below limits of quantitation by the second day indicating little effects of long term IVOC emissions from oil based paints as well. The majority of ions detected by the PTR-MS measurements also reached background concentrations within the two day drying period. A list of ions that were still at concentrations greater than 5ppb above background levels are included in Table 4.7 in the SI.

While the emissions from the Oil paint fall off rapidly, the emissions transition from being VOC-dominated to IVOC-dominated over time, this is consistent with previous research (Khare and Gentner 2018). In general, the IVOCs are emitted more slowly than the VOCs, as would be expected based on volatility. Figure 4.3b and Figure 4.4b show that IVOC emissions from the oil paint persist for approximately one day after application.

Emissions from the SemiExt and High Gloss paints are dominated by IVOCs. Overall these paints emit more slowly. Figure 4.3a shows that after 12 hours these paints emitted <50% of the total emissions detected by the PTR-MS. Most of these emissions are IVOCs (i.e. naphthalene and hydroxyphenol). For these paints all but two ions measured by the PTR-MS reached background levels by the end of the two day extended emissions experiment but there were still IVOC emissions measured by the GC-MS at the end of the two days. A list of ions still detected at the end of the two day drying period are shown in Table 4.7 the SI.

26) Figure 4.4 IVOC emissions



Headspace and extended emission samples of (a) High Gloss and (b) Oil based paints measured on Tenax tubes. The total height of the headspace samples is matched to the emission rate for the Hour 0-1 samples to aid comparison. The headspace sample mirrors the emission profile of the respective paints at select points throughout the emission timeframe but do not accurately represent emissions from their respective paint over the entire drying and emitting timescale. The Oil paint headspace mirrors the emissions from the Oil paint during the first hour following paint application, however the headspace does not represent a true fingerprint of the Oil IVOC emissions over the entire paint drying timescale. Less volatile emissions, for example the esters, that are emitted after four hours after paint application take longer to volatize and therefore would be neglected if the headspace was used as an emission profile for Oil paint. Similarly (4a) the High Gloss headspace does not accurately represent the ratio of emitted alkanes to other compounds being emitted over the course of the drying timeframe. The magnitude of the emissions from the Oil paint increases over the course of the first day post-paint application but reach levels indistinguishable from zero by the second day post-paint application while the High Gloss paints continue to emit IVOCs at low levels into the second day after paint application.

Figure 4.4 shows the temporal evolution of IVOC emissions for the Oil and High Gloss

paints. IVOC emissions from the High Gloss gradually and continually decline over the course

of two days. However, even after 48 hours, the High Gloss paint continued to emit IVOCs. The

paints with low IVOC emissions (Flat, SemiInt, Satin) had a similar profile of declining IVOC

emissions with time, with emissions falling below the detection limit within 1-2 hours after paint

application. This suggests that some paints can act as extended sources of IVOC emissions.

The composition of High Gloss IVOC emissions changed over time. While alkanes were an important component of the emissions over the entire two days, they changed from being 28% during hour 0-1 to 60% at hour 47-48.

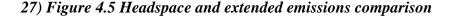
The evolution of IVOC emissions from the Oil paint was different. Emissions increased relative to the hour 0-1 sample for hours 1-2, 4-5, and 8-9, before falling rapidly and eventually reaching zero. As with the High Gloss, the composition of the IVOC emissions from the oil paint also changed over time, with increasing aldehyde and ester fractions contributing to the higher emissions around hours 1-2, 4-5, and 8-9.

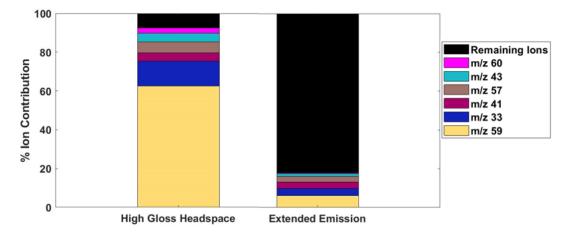
One question our data can address is whether or not the headspace samples of different paints can serve as a fingerprint for the I/VOC emissions of paints over their entire emission lifetime. Figure 4.5 clearly shows that this is not possible for VOCs. Figure 4.5 shows the PTR-MS measurements of VOCs emitted from both a headspace sample and an extended emission sample of High Gloss paint. The headspace sample is dominated by acetone (m/z 59) and methanol (m/z 33). On the other hand, over the lifetime of the paint drying as measured by the extended emissions tests methanol and acetone make up less than 10% of the total VOC emissions.

Similarly the GC-MS measurements also demonstrate how a simple headspace sample cannot capture the total emissions profile of a paint over the course of its emission lifetime. Figure 4.4 shows the headspace composition of the Oil and High Gloss paints next to the emission composition over the drying period. The headspace composition is similar to the emissions within the first hour following the application of the oil paint. However, as the oil paint dries the composition of the emissions changes. Aldehydes become a more important part of the emissions for the 1-2, 4-5, and 8-9 hour samples. Less volatile esters that are in the paint

are also emitted, but only after 8 hours following paint application. If headspace samples were used as an emission fingerprint these less volatile emissions, which potentially have greater SOA formation implications, would be underestimated.

Overall, the majority of the I/VOC emissions from paints dissipate by the end of two days at varying rates, with the exception of continued IVOC emissions from the High Gloss and SemiExt paints at low levels by the end of the two days. Additionally composition of the I/VOC emissions changes over the course of the two day drying period thus making using headspace samples as fingerprints to represent total I/VOC emissions insufficient.





Composition of headspace vapors and 48-hr emissions measured by PTR-MS for the High Gloss paint. The headspace measurements are dominated by acetone (m/z 59) and methanol (m/z 33) as well as minor contributions from m/z 60, cyclopropane (m/z 43), hydrocarbons (m/z 57), and propyne (m/z 41). However, over the course of the extended emissions High Gloss experiment these six ions make up less than 20% of the mass emitted. Headspace samples do not create a sufficient fingerprint for paint emissions of the entire drying timescale.

#### **4.3.3 Implications for SOA formation**

Here we use our emissions data to estimate total I/VOC emissions from paints in the U.S.

and potential impacts on SOA formation. We used the US Economic Census to scale up our

measured I/VOC emission factors to a national scale (US Census Bureau 2012). The US

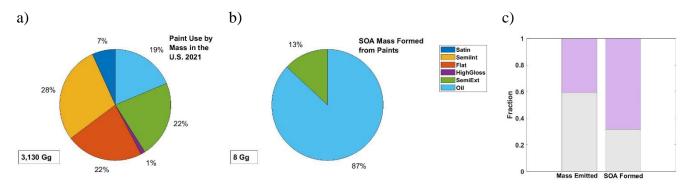
Economic Census lists out 23 different subcategories of "Architectural Coatings" which were

each mapped to one of our six paint types tested. Since the census reported paint usage for 2010 the usage was scaled up by population growth to obtain estimated paint usage for 2021. The mass of paints used for each of the six classes of paints tested were multiplied by the normalized I/VOC emission factors calculated in order to estimate the total expected I/VOC emissions from paints in the US.

Figure 4.6a and Table 4.1 summarize the paint usage. Flat, SemiInt, SemiExt, and Oil paints each contribute ~20-30% (~600-900 Gg) of annual paint usage in the U.S. Satin and High Gloss each contribute less than 10% of total paint usage. We estimate total paint I/VOC emissions of ~169 Gg/year. The majority of these emissions (59%, Figure 4.6c) are VOCs, with the remainder being IVOCs. The NEI does not report VOC emissions on as fine of a grain as we are however they do estimate that 337,414 tons of VOCs are emitted from "non-industrial surface coatings" which is about three times the amount of I/VOCs we measure (186,192 tons of I/VOCs are emitted from paints) however this lines up as paints are only some fraction of the total "non-industrial surface coatings" sector the NEI reports (EPA 2017).

Total emissions I/VOCs from paints are high on a mass of product used basis; emissions are approximately 290.7 g I/VOC emissions per kg of paint used. This amounts to 0.51kg/person of I/VOCs emitted per year in the US. This is one to two orders of magnitude greater than the I/VOC emission rates of gasoline and diesel related emissions (on a gram of I/VOC per kg of fuel used basis) (McDonald et al. 2018). Our per-person and per mass of "fuel used" estimates of paint I/VOC emissions are similar to recent estimates by McDonald et al (~300g/kg of product) (McDonald et al. 2018) and Seltzer et al (0.67kg/person per year) (Seltzer et al. 2020).

28) Figure 4.6 SOA mass formed



(a) Total paint usage in the U.S. reported by U.S. Census Bureau data (b) SOA mass formed, and (c) contributions of I/VOCs to paint emissions and SOA formation. The split between paint use by mass is fairly evenly divided between SemiInt, Flat, SemiExt, and Oil based paints. However, SOA mass formed is dominated by I/VOC emissions from Oil and SemiExt paints. Furthermore the IVOCs on the whole are more influential in the formation of SOA than the VOCs emitted by the paints.

Paint Type	Paint Usage in US 2021 [Gg]	IVOC+VOC Emissions	SOA Mass Formed [Mg]
		[Gg]	
Oil	584	152.6	6,947
Semi-Gloss Interior	892	0.09	2.1
(SemiInt)			
Flat	702	0.06	1.5
Satin	212	0.04	0.9
Semi-Gloss Exterior	701	15.9	1,042
(SemiExt)			
High Gloss	39	0.3	18.7

#### 7) Table 4.1 Paint usage and emissions

Total paint usage in the U.S. for each paint type, the total I/VOC yearly emissions in the U.S. for each paint type, and the SOA mass formed each year in the U.S. by paints.

While usage is somewhat evenly distributed across several paint types, I/VOC emissions are dominated by two classes: Oil and SemiExt. These two classes have the highest emission factors ( $\mu$ g/g) shown in Figure 4.2, and together they contribute 98% of total emissions. In particular, oil paints alone account for 90% of total paint I/VOC emissions.

We estimated the contribution of paint-emitted I/VOCs to ambient SOA production. We

estimated SOA production by multiplying the total I/VOC emissions by SOA mass yields for

each compound classes. The SOA formation potentials (g/g) were calculated using the Statistical Oxidation Model (SOM) (Cappa and Wilson 2012) and are the same SOA yield estimates used in McDonald et al. (McDonald et al. 2018). A table showing the compounds used for general compound class SOA yield calculations can be found in Table 4.8 in the SI.

We estimate total SOA production of ~8 Gg from paint I/VOCs (Table 4.1). Figure 4.6 and Table 4.1 show that SOA production, much like I/VOC emissions, are dominated by Oil and SemiExt paints. The other four paint types contribute negligibly to predicted SOA formation. IVOCs account for 68% of predicted SOA formation, reflective of their lower volatility and higher SOA yield. To put the SOA production from paints in perspective the global SOA yearly formation is estimated to be between ~13 – 119 Tg/year (Hodzic et al. 2016; Tsigaridis et al. 2014). On a national scale the ~8 Gg of SOA formed from paints is about 1% of the SOA formed from combustion sources in the U.S. (700 Gg) (Jathar et al. 2014).

There are several potential sources of uncertainty in our estimates of paint I/VOC emissions and subsequent SOA formation. These include uncertainty in SOA yield estimates, especially for UCM and oxygenated VOCs that have not been directly measured in the laboratory. There may be significant variation in paint emissions rate and composition across manufacturers that we did not capture here. Since emissions and SOA formation is dominated by paints that are often used outdoors, the role of sunlight-driven changes in emissions (Khare et al. 2020) should be considered in the future.

However, we are able to largely reduce one potential source of uncertainty in the contribution of paint I/VOCs to ambient SOA. The four paint types that are often used indoors (Satin, SemiInt, Flat, and High Gloss) all had low emissions and negligible SOA contributions. One potential confounder for the ambient impact of these emissions is indoor-to-outdoor transit

(i.e., paint fumes need to leave the building without being lost to walls or other surfaces). Our data suggest that these indoor use paints are a minor contributor to I/VOC emissions from the paints and coatings sector, and that emissions are dominated by paints used outdoors.

## **4.4 Conclusions**

Paints are an important segment of the VCP sector in terms of I/VOC emissions and SOA formation. I/VOC emissions from paints in the U.S. total to 168.9 Gg per year. These emissions occur on fast time scales (<2 days following paint applications). On a per person basis these emissions amount to 0.51kg/person of I/VOCs emitted per year in the US which is 290.7 g I/VOC emissions per kg of paint used. Furthermore the projected SOA yield of paints overall in this study is 4.7% [+/- 2%]. Paints are an important source of I/VOCs which should be further controlled in order to decrease the formation of SOA.

Particular attention should be directed to oil-based and semi-gloss exterior paints as they produce the largest amount of SOA of all paint types. Although over the past few decades the performance of water-based paints have improved leading toward the switch from oil-based to water-based paints for many paint jobs, oil-based paints are still sometimes chosen for their durability and ease of application, especially for exterior work. Further work is suggested to explore the effect of different ambient conditions (i.e. presence of light, temperature, humidity etc.) on I/VOC emissions and therefore SOA formation as well as experiments which directly measure SOA formation from paints.

## 4.5 Supplemental Information

#### **4.5.1 Headspace Sampling Procedure**

For the PTR-MS headspace samples each can of paint was opened inside a fume hood and a Teflon tube connected to the PTR-MS inlet drew air from ~ 5 cm above the headspace of the opened can for ~15 minutes. A fume hood background was taken for approximately 10 minutes before each consecutive paint sample to ensure that the air was flushed out and in order to obtain a background measurement for subtraction from the headspace measurement. For the Tenax tubes a similar tactic was employed in which each can of paint was opened and a Tenax tube was held about 5 cm above the paint surface. The Tenax tube was connected to a vacuum and mass flow controller (Alicat Scientific) set to 0.2 L/min. The Tenax tube was allowed to sample for 1 hour.

#### **4.5.2 PTR-MS Run Specifications**

While conducting measurements with the PTR-MS (Ionicon Analytik) we set the instrument to run in scan mode, where the PTR-MS scans through integer mass to charge ratios (m/z) 21 through 155 and dwells at each m/z for 500ms. The drift tube is operated at 600 V, a constant pressure of 2.1 ±0.1 mbar, and the measured flowrate is 0.3695 L/min.

### 4.5.3 Tenax Tube and GC-MS Specifications

The GC-MS has a thermal desorption sample extraction and injection system manufactured by Gerstel Inc. USA. Within the GC-MS is a DB-5 capillary column (5% phenol and 95% dimethylpolysiloxane). This column was selected as it is a common column that is suitable for the high temperatures which are desired to clean the Tenax tubes. This column type has been previously utilized for identification and quantification of lower volatility species which are of interest in this study (Jaoui et al. 2004; Lambe et al. 2010; Zhao et al. 2013).

Prior to sampling the Tenax tubes are cleaned via thermal desorption. The tubes were cleaned by heating to 300°C for 2 hours with continuous He purge flow. Once the tubes are cleaned they are capped and placed in a freezer until use in order to prevent unwanted artifacts on the tubes.

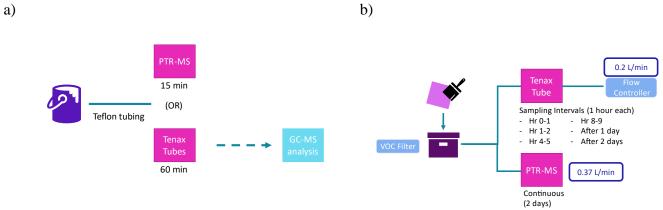
Following sampling the Tenax tubes are returned to the freezer until analysis in the GC-MS. During analysis, the Thermal Desorption System (TDS) is set to an initial temperature of 30°C, ramped up to 275°C at a rate of 6°C/minute and then held at 275°C for 6 minutes. The desorbed vapors are collected on a Cooled Injection System (CIS) initially held at -70°C. At the end of the TDS temperature ramp, the CIS is quickly ramped up to 320°C at a rate of 12°C/second and held at 300°C allowing each of the trapped compounds to elute into the column. The GC oven temperature program starts at 45°C is ramped up to 320°C at a rate of 5°C/min and held for 5 minutes. This results in an analysis run time of 65.4 minutes, during which the MSD detector detects compounds as they elute through the column at their characteristic times.

Immediately prior to analysis the Tenax tubes are spiked with an internal standard (IS) in order to assure losses aren't occurring in the GC system. The IS is composed of deuterated alkane and aromatic compounds which have retention times within the window of retention times for the compounds we are measuring ( $\leq 27$  minutes). The IS includes deuterated Naphthalene D8, deuterated Acenaphthene D10, and deuterated Hexadecane D34. Intra-batch percent deviation of response for each compound are  $\leq 11\%$ .

#### 8) Table 4.2 Paints selected

Paint Type	Solvent/Water	Gloss Level	Indoor/Outdoor
Satin	Water	Low-gloss	Indoor
Flat	Water	Low-gloss	Indoor
Semi-Gloss Interior	Water	Low-gloss	Indoor
(SemiInt)			
Semi-Gloss Exterior	Water	Higher-gloss	Outdoor
High-Gloss	Water	Higher-gloss	Indoor
Oil	Solvent	Low-gloss	Indoor/Outdoor

Listed are the six paints chosen for experiments, whether they are solvent or water based, their gloss level, and their intended use environment (i.e. outdoor vs. indoor). These paints were selected as they represented a wide array of products in the paint phase space.



29) Figure 4.7 Schematic of headspace and extended emissions experiments

Schematics for the a) headspace sampling set-up and the b) extended emissions set up. In both cases the PTR-MS sampled continuously throughout the experiment and the Tenax tubes were analyzed offline with the thermal desorption GC-MS.

## 9) Table 4.3 Experiment specifications

Experiment Name,	Paint Applied [g]	Tenax Tube sampling times [recorded as
Instruments used, total	11 101	hours elapsed since paint application at the
time		midpoint of one hour samples]
Satin-1	0.6633	0.5
• PTR-MS and GC-MS		1.7
· [42.6 hours]		4.9
		8.3
		21.9
		42.1 [hours]
Satin-2	0.7871	0.5
• PTR-MS and GC-MS		1.5
· [45.9 hours]		5.1
		8.3
		24.1
		45.4 [hours]
Satin-3	1.0196	0.5
· PTR-MS and GC-MS		1.6
· [50 hours]		4.9
		8.3
		49.5 [hours]
Flat-1	1.6579	0.5
· PTR-MS and GC-MS		1.5
· [52.8 hours]		5.4
		9.0
		29.1

		52.3 [hours]
Flat-2	1.5667	0.5
· PTR-MS and GC-MS		1.6
· [36 hours]		5.9
		35.5 [hours]
SemiInt-1	1.3307	0.5
· PTR-MS and GC-MS		1.4
· [50.6 hours]		4.7
		8.4
		50.1 [hours]
SemiInt-2	2.0094	0.5
· PTR-MS and GC-MS		1.5
· [47.8 hours]		5.0
		8.3
		27.3
		47.3 [hours]
SemiExt-1	1.099	0.5
· PTR-MS and GC-MS		1.6
· [49 hours]		5.1
		8.5
		26.4
		48.5 [hours]
SemiExt-2	2.0377	n/a
· PTR-MS		
· [93.9 hours]		
High Gloss-1	1.5589	0.5
· GC-MS		1.5
· [48.6 hours]		5.1
		8.5
		27.7
		48.1 [hours]
High Gloss-2	1.4264	n/a
· PTR-MS		
· [46.2 hours]		
Oil-1	1.1649	0.5
· GC-MS		1.5
· [341.6 hours]		5.0
		8.8
		27.1
		53.0
		341.1 [hours]
Oil-2	1.6499	n/a
· PTR-MS		
· [138.25]		
	of experiments per	formed, instruments used, and total run time, in

Shown in column 1: name of experiments performed, instruments used, and total run time, in column 2: mass of paint applied, and column 3 shows the Tenax tube sampling times. The

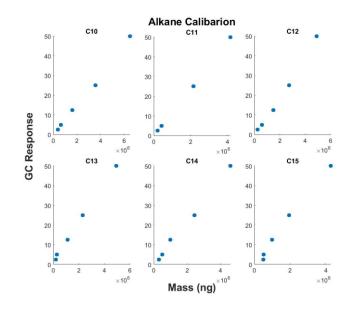
sampling times show the midpoint of the sampling hour (i.e. 1.5 means a Tenax tube sample was taken from time t = 1 to time t = 2 hours following paint application). The mass of paint applied in each of the experiments is displayed in grams. For the paint types which had more than one experiment conducted the measurements from all duplicate experiments were averaged in order to get the total I/VOC emissions from that paint type.

## 10) Table 4.4 Volatility binning by compound class

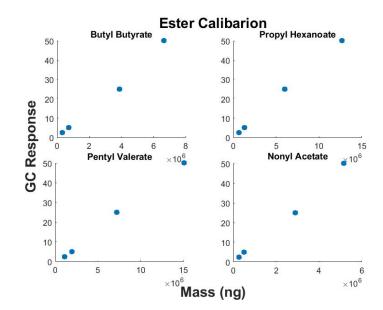
Compound	Volatility	Bin Limits		Retention	Response Factor
Class	Bin	[min]	Calibration Compound Time		[GC response area/ng]
Alkanes	7	<15.777	undecane	14.254	83,675
	6	[15.777:24.05]	tridecane	20.2	96,648
	5	>24.05	pentadecane	25.30	84,109
Aromatics	7	<15.777	(1-Methylethyl)benzene	8.628	151,974
	7		Propylbenzene	9.449	246,118
	7		mean bin 7	-	199,046
	6	[15.777:24.05]	interpolated	-	229,907
	5	>24.05	interpolated	-	200,078
Esters	7	<12.5925	butyl butyrate	10.984	137,388
	6	>12.5925	Propyl hexanoate	14.201	250,796
	6		Pentyl valerate	17.111	299,236
	6		Nonyl acetate	20.594	105,683
	6		mean bin 6	-	218,572
Ketones	6	<15.777	acetophenone	14.059	241,848
	5	[15.777:24.05]	interpolated	-	279,345
	4	>24.05	interpolated	-	243,102
Alcohols	7	<14.945	butanol 12.105		109,669
	6	[14.945:17.784]	interpolated	-	90,360
	5	>17.784	nonanol	20.624	47,035

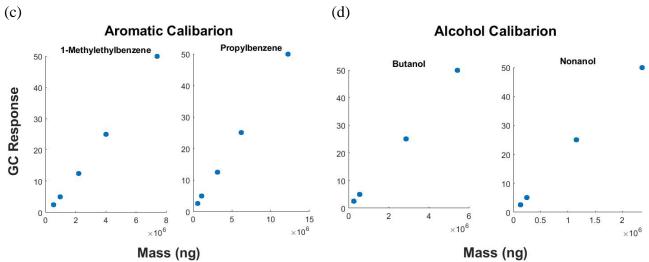
Volatility bins, bins limits, calibration compounds used for each compound bin, and resulting response factors. The response factors were calculated by creating a best fit line after plotting the GC-response area (y) versus the calibration mass (nonpolar calibration: [2.5, 5, 12.5, 25, 50]ng; polar calibration [2.5, 5, 25, 50]ng) (x). A formula for each calibration curve was generated [y = mx] and the response factor (m) was determined for each volatility bin for each compound class [units: GC area response/ng]. For some bins of some compound classes no compound was included in the calibration mixture and for those bins the response factor was interpolated based off of the present bins' relationship with the corresponding alkane bin's response factor. For amides, amines, aldehydes, and ethers there was not enough data to generate unique response factors, for these classes the binning and corresponding response factors for alkanes were used.

(a)



(b)





Calibration curves for (a) alkanes, (b) esters, (c) aromatics, and (d) alcohols. Response factors were determined for each compound class' volatility bins based off of calibration response factors. For compounds class bins which were not represented in calibration mixtures, alkane responses were used.

Compound		Percent	Marker	Mean Percent
Class		Contribution	Ion	Contribution
Class	Compound	(%)	(m/z)	(%)
Aromatics			91	35%
	Toluene	38.85		
	Ethylbenzene	40.63		
	p-Xylene	33.18		
	o-Xylene	34.40		
	Benzene, propyl-	53.12		
	Benzene, n-			
	butyl-	34.07		
	Benzene, hexyl-	30.15		
	Benzene, heptyl-	25.40		
	Benzene, octyl-	27.32		
Alcohols			31	6%
	Butan-1-ol	15.23		
	Pentan-1-ol	6.71		
	1-Hexanol	7.75		
	1-Heptanol	3.80		
	1-Octanol	3.31		
	1-Nonanol	2.67		
	1-Decanol	1.77		
Ketones			58	12%
	2-propanone	13.08		
	2-heptanone	19.10		

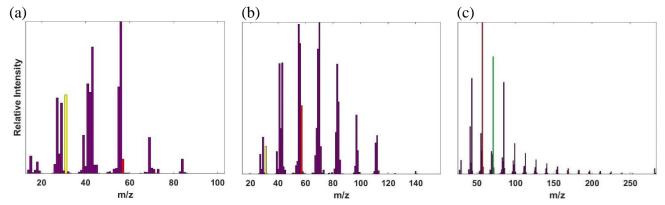
# 11) Table 4.5 Marker ions

	5-Dodecanone	9.83		
	5-Tridecanone	12.30		
	9-			
	Heptadecanone	6.63		
	5-Octadecanone	10.98		
	2-Nonadecanone	17.79		
Alkanes	2 1 (01100000010110		71	11%
	decane	7.98		
	undecane	10.72		
	dodecane	11.72		
	tridecane	11.55		
	tetradecane	13.51		
	pentadecane	13.23		
	hexadecane	13.27		
	heptadecane	13.57		
	octadecane	9.43		
	nonadecane	10.58		
	icosane	12.69		
Aldehydes	leosane	12.07	82	5%
7 Hueny des	Octanal	2.45	02	570
	Nonanal	2.73		
	Decanal	3.36		
	Undecanal	4.46		
	Dodecanal	5.34		
	Tridecanal	6.32		
	Tetradecanal	6.75		
	Pentadecanal-	7.29		
	Hexadecanal	7.32		
	Octadecanal	5.69		
Esters		5.07	60	3%
Loters	Ethyl butyrate	3.72	00	570
	Ethyl pentanoate	6.71		
	Ethyl isovalerate	7.50		
	Ethyl hexanoate	5.14		
	Ethyl heptanoate	5.40		
	Propyl acetate	0.26		
	Propyl	0.20		
	propanoate	0.05		
	Butyl formate	0.59		
	Butyl acetate	0.14		
	Butyl butyrate	2.42		
	Isobutyl acetate	0.10		
	Amyl acetate	0.10		
	Pentyl butyrate	1.58		
	Pentyl butyrate Pentyl hexanoate	2.79		
	i entyi nexanoate	2.19		

Amines			30	58%
	1-Hexanamine	68.84		
	1-Heptanamine	64.29		
	1-Octanamine	64.72		
	1-Nonanamine	64.93		
	1-Decanamine	59.13		
	1-Undecanamine	55.04		
	1-Dodecanamine	50.22		
	1-Tridecanamine	55.43		
	1-			
	Tetradecanamine	40.77		
Amides			59	38%
	Hexanamide	43.25		
	Octanamide	34.50		
	Nonanamide	45.58		
	Decanamide-	35.94		
	Dodecanamide	38.68		
	Tetradecanamide	29.50		
Ethers		27.50	45	28%
Luieis	Methyl propyl		15	2070
	ether	57.52		
	Butane, 1-	51.52		
	methoxy-	59.93		
	Pentane, 1-	57.75		
	methoxy-	36.01		
	Hexane, 1-	50.01		
	methoxy-	33.44		
	Octane, 1-	33.77		
	methoxy-	22.28		
	Methyl nonyl	22.20		
	ether	18.99		
	1-	10.77		
	Methoxydecane	12.36		
	Methyl undecyl	12.30		
	ether	14.70		
	Methyl tridecyl	11.70		
	ether	10.96		
	methyl	10.70		
	tetradecyl ether	11.03		

Compounds used to determine marker ion and percent contribution of the marker to the class mass. The marker ions for each compound group were selected based off of a group of compounds in the compound class groups with relevant saturation concentrations. An ion was selected to become a classes' marker if it (1) was (nearly) unique to that class of compounds and

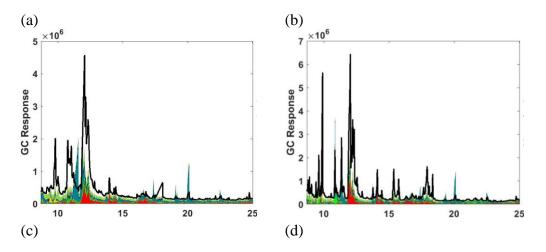
(2) contributed a significant portion of the total ion response for that compound based off of the NIST mass spectral library.

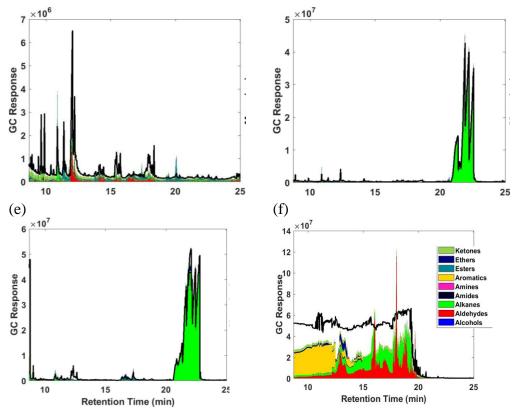


## 31) Figure 4.9 Marker ion examples

The mass spectrum for (a) hexanol, (b) decanol, and (c) eicosane. Although m/z 57 shown in red is a prominent contributor to the total mass spectrum for decanol (4.3%) it cannot be used as the marker ion for alcohols because it is also a significant contributor to alkanes as shown in (c) for eicosane (16%) and many other compounds with a long hydrocarbon chain. Instead m/z 31 is selected as the marker ion for alcohols (shown in yellow) as it is a significant contributor to many alcohols (hexanol and decanol shown as examples) and not found in other compound classes as often (not present in eicosane as shown). Similarly, although m/z 57 is a significant contributor to alkane mass spectra (as shown in c) it cannot be the marker ion for alkanes, instead m/z 71 was selected as the marker ion for alkanes (shown in green).

## 32) Figure 4.10 Headspace chromatographs





Headspace samples collected on Tenax tubes and analyzed with GC-MS of (a) Satin, (b) SemiInt, (c) Flat, (d) SemiExt, (e) High Gloss, and (f) Oil.

m/z	Compound ID	Source of ID	Class	[1:IVOC] [2:VOC]
27	ethyne	PTR library	3	2
28	ethene	PTR library	3	2
29	C2H4	-	3	2
31	formaldehyde	PTR library	2	2
33	methanol	PTR library	1	2
34	hydrogen sulfide	PTR library	3	0
40	С3Н3	-	3	2
		PTR library		
41	propyne	PubChem	3	2
42	acetonitrile	PTR library	3	0
		PTR library		
43	cyclopropane	PubChem	3	2
		PTR library		
44	ethenamine	PubChem	5	2
		Stockwell et		
45	ethylene glycol	al. 2020	2	2

	1' (1 1 '	PTR library		
	dimethylamine,	PubChem		
16	ethylamine,	I uocheni	5	2
46	dimethylamine	DTD 111 manual	5	2
17	ath an al	PTR library	1	2
47	ethanol	PubChem	1	$\frac{2}{2}$
48	nitrous acid	PTR library	4	2
10	4 4 1	PTR library	2	2
49	methane thiol	PubChem	3	2
50	CH2Cl	-	3	2
51	methyl chloride	PTR library	3	2
52	C4H3	-	3	2
53	1-buten-3-yne	PTR library	3	2
54	acrylonitrile	PTR library	3	2
		PTR library		
55	butadiene	PubChem	3	2
56	propane nitrile	PTR library	3	2
		PTR library		
57	hydrocarbon mix	PubChem	3	0
		PTR library		
58	propenamine	PubChem	5	2
		PTR library		
59	acetone	PubChem	9	2
		PTR library		
	trimethylamine, 1-	PubChem		
	propylamine,			
	isopropylamine,			
	methylethylamine,			
60	trimethylamine		5	1
		PTR library		
		PubChem		
61	acetic acid	EMR	7	2
62	nitromethane	PTR library	3	2
		PTR library		
63	1,2-ethanediol	PubChem	1	1
64	C2H7O2	EMR	7	0
65	hydrocarbon	PTR library	3	2
66	hydrocarbon	PTR library	3	2
67	hydrocarbon	PTR library	3	2
	-	PTR library		
68	C5H7	EMR	3	2
		PTR library		
69	isoprene	PubChem	3	2
	•	PTR library		
70	butane nitrile	PubChem	3	2

		DTD librory		
71		PTR library PubChem	9	2
/1	methyl vinyl ketone		9	Ζ
70	1. 1.	PTR library	~	2
72	pyrrolidine	PubChem	5	2
= 0		PTR library		2
73	butanal	PubChem	2	2
		PTR library	_	
74	diethylamine	PubChem	5	2
		PTR library		
75	methyl acetate	PubChem	7	2
		PTR library		
76	nitroethane	PubChem	4	2
		PTR library		
77	1,2-propanediol	PubChem	1	1
		PTR library		
78	amine	PubChem	5	0
79	benzene	PTR library	6	2
		PTR library		
80	pyridine	PubChem	6	2
		PTR library		
81	C5H6N	EMR	3	2
		PTR library		
82	С6Н9	EMR	3	2
		PTR library		
83	C4H3O2	EMR	7	2
		PTR library		
84	C6H11	EMR	3	2
		PTR library		
	1-hexene, cyclohexane,	PubChem		
85	methylcyclopentane		3	2
		PTR library		-
86	2-pyrrolidinone	PubChem	9	2
00	- pjilonamone	PTR library	,	-
		PubChem		
87	n-hexane	EMR	3	2
07		PTR library	5	-
88	C4H7O2	EMR	7	2
00		PTR library	,	-
89	ethyl acetate	PubChem	7	2
07	1-nitropropane, 2-	PTR library	,	<u> </u>
90	nitropropane	PubChem	4	1
90	muopiopane		+	1
91	lactic acid	PTR library PubChem	1	2
		PUDChem PTR library	6	
92	ethynylpyrrole			0
93	toluene	PTR library	6	2

94	aromatic	PTR library	6	0
95	phenol	PTR library	1	1
96	aromatic	PTR library	6	0
90	aromatic	PTR library	0	0
97	2-furfural	PubChem	8	2
21	2-Iuliulai	PTR library	0	
98	С6Н9О	EMR	9	2
70	2011/0	PTR library	,	2
		PubChem		
99	Cyclohexanone	EMR	9	2
100	aromatic	PTR library	6	0
100		PTR library	0	
		PubChem		
101	methyl methacrylate	EMR	7	2
102	triethylamine	PTR library	5	2
102		PTR library	-	
	acetic anhydride,	PubChem		
	isopropyl acetate, propyl			
103	acetate, ethyl propanoate		7	2
104	aromatics	PTR library	6	0
101		PTR library	0	
105	styrene	PubChem	6	2
106	aromatics	PTR library	6	2
		PTR library		
	benzaldehyde, m-xylene,	PubChem		
	p-xylene, ethyl benzene,			
107	o-xylene		6	2
108	aromatics	PTR library	6	2
109	aromatics	PTR library	6	2
110	C8H13	EMR	3	2
		PTR library		
111	2-hydroxyphenol	PubChem	6	1
112	aromatics	PTR library	6	0
		PTR library		
113	1-octene	PubChem	3	2
		PTR library		
114	C6H9O2	EMR	7	1
		PTR library		
		PubChem		
115	n-octane	EMR	3	2
116	Hexanoate	EMR	7	2
		PTR library		
	ethyl butanoate, propyl	PubChem		
117	propanoate, butyl acetate		7	2

		PTR library		
118	indole	PubChem	6	1
110		PTR library	0	1
119	indane	PubChem	6	2
119	aromatics	PTR library	6	0
120		PTR library	0	0
	trimethylbenzene,	PubChem		
101	acetophenone, n-propyl	PubChem		2
121	benzene		6	2
122	C8H9O	EMR	6	1
	2-phenylethanol, 4-	PTR library		
123	ethylphenol	PubChem	6	1
124	aromatics	PTR library	6	1
		PTR library		
125	guaiacol	PubChem	6	1
126	C8H13O	EMR	1	1
		PTR library		
127	benzylchloride	PubChem	6	2
128	C8H15O	EMR	8	2
		PTR library		
		PubChem		
129	naphthalene	EMR	6	1
130	C7H13O2	EMR	7	1
		PTR library		
		PubChem		
131	butyl propanoate	EMR	7	2
		PTR library		
132	Heptyl peroxy radical	EMR	8	2
102		PTR library	0	_
	1,2,3,4-	PubChem		
133	tetrahydronaphthalene		6	1
133	C6H13O3	EMR	8	1
-		PTR library	<u> </u>	1
135	aromatics	· · ·	9	2
136	bromoacetone	PTR library		
137	a-pinene	PTR library	3	2
138	nitrotoluene	PTR library	6	1
	2-methoxy-4-	PTR library		
139	methylphenol	PubChem	6	1
140	C9H15O	EMR	2	1
		PTR library		
141	1-decene	PubChem	3	2
		PTR library		
142	C8H13O2	EMR	7	1
		PTR library		
143	n-decane	PubChem	3	2
		•	1	

		EMR		
144	C8H15O2	EMR	7	1
	butyl butyrate, isobutyl	PTR library		
145	isobutyrate	PubChem	7	2
146	C8H17O2	EMR	1	1
		PTR library		
		PubChem		
147	C8H17O2	EMR	1	1
148	Cinnamate	EMR	6	1
149	C4[13]C3H13O3	EMR	7	0
150	Phenylglyoxylate	EMR	6	1
		PTR library		
151	benzyl acetate	PubChem	6	1
152	aromatics	PTR library	6	1
	vanillin, methyl	PTR library		
153	salicylate, camphor	PubChem	6	1
154	C10H17O	EMR	9	1
		PTR library		
155	biphenyl	PubChem	6	1

Mass-to-charge ratios scanned and recorded by the PTR-MS, compound identified at each m/z, method of identification, compound class and I/VOC identifications. In the column labelled "Compound ID" is the compound selected as the most likely compound at that m/z determined from a combination of one to three of the following methods. (1) PTR-MS library (Pagonis et al. 2019) was referenced (2) a search of compounds identified by the PTR-MS library were confirmed as likely products in paint with PubChem (NIH 2020) and (3) m/z that still could not be identified were IDed with analysis of open paint headspaces with a Thermo Exactive EMR mass spectrometer to generate a likely chemical formula. Based on the compound ID, compounds were sorted into one of nine class compound groups based on the best fit [1: alcohols, 2: aldehydes, 3: hydrocarbon chain/ring, 4: amides, 5: amines, 6: aromatics, 7: esters, 8: ethers, 9: ketones]. Each m/z was assigned as either [1: IVOC] or [2: VOC] based on calculation of the compound's saturation vapor pressure  $(C^*)$  using the SIMPOL method (Pankow and Asher 2008) [IVOCs have  $log(C^*) \le 6$ ; VOCs have  $log(C^*) \ge 7$ ]. For a few ions where a specific chemical structure could not be determined the I/VOC designation was not labeled and it was left blank (0). Ultimately, when mass was split between IVOCs and VOCs the mass of compounds that were unidentified was split proportionally between the IVOC and VOC classes.

13) Table 4.7 Ions still detected at the end of two-day extended emissions experiment

		Concentration above LOQ	
Paint Type	Ion m/z	[ppb]	
High Gloss	111	17	
	129	6.5	
SemExt	69	7.9	

	111	12.3
Oil*	45	37.5
	61	5.1
	83	5.9
	98	34.5
	117	13.2
	145	5.3

Ions still above background concentrations at the end of two day drying period. At the end of the two-day paint drying period (\*one week for the Oil paint) although the majority of the ions were no longer detected by the PTR-MS at elevated concentrations there were a few ions that were still able to be detected at concentrations > 5ppb above the limit of quantitation (LOQ). These ions and their concentration above the LOQ are recorded here.

## 14) Table 4.8 SOA yields

Compound Class	Compounds Used	SOA yield [g/g] (McDonald et al. 2018)	Uncertainty [g/g]	Mean VOC SOA yield [g/g]	Mean IVOC SOA yield [g/g]
Alkanes				0.018	0.069
VOCs	hexane	0.0028	0.0013		
	heptane	0.0066	0.0028		
	octane	0.013	0.004		
	nonane	0.021	0.006		
	decane	0.033	0.007		
	undecane	0.05	0.007		
	branched c8	0.003	0.0014		
	2-methylheptane	0.003	0.0014		
	branched c9	0.0083	0.0046		
	branched c10	0.02	0.011		
	branched c11	0.04	0.021		
IVOCs	branched c12	0.069	0.027		
	2,2,4,6,6-				
	pentamethylheptane	0.069	0.027		
	dodecane	0.069	0.006		
Aromatics				0.067	0.217
VOCs	toluene	0.09	0.023		

	ethylbenzene	0.049	0.013		
	isomers of xylene	0.049	0.013		
	m-xylene	0.049	0.013		
	styrene	0.049	0.013		
	4-ethyltoluene	0.073	0.013		
	3-ethyltoluene	0.073	0.017		
	c9 disubstituted	0.075	0.017		
	benzenes	0.073	0.017		
	1,2,4-	0.072	0.017		
	trimethylbenzene	0.073	0.017		
	1,2,3-				
	trimethylbenzene	0.073	0.017		
	1,3,5-				
	trimethylbenzene	0.073	0.017		
	misc				
	trimethylbenzenes	0.073	0.017		
	c9 trisubstituted				
	benzenes	0.073	0.017		
IVOCs	indene	0.16	0.09		
	methyl indanes	0.21	0.09		
	c11 tetralins or				
	indanes	0.28	0.08		
Alcohols				0.022	0.077
VOCs	cyclohexanol	0.022	0.018		
IVOCs	diacetone alcohol	0.067	0.061		
	hexylene glycol	0.16	0.15		
	2-butoxyethanol	0.052	0.046		
	butyl carbitol	0.16	0.15		
	texanol	0.0048	0		
	benzyl alcohol	0.09	0.023		
	phoxyethanol	0.023	0.008		
	1-phyoxy-2-				
	propanol	0.036	0.014		
	c10 alkyl phenols	0.1	0.03		
Esters				0.013	0.302
VOCs	propyl acetate	0.0085	0.0084		
	i-propyl acetate	0.0085	0.0084		
	pentanedioic acid,				
	dimethyl ester	0.029	0.028		
	n-butyl acetate	0.014	0.013		
	i-butyl acetate	0.014	0.013		
	dimethy succinate	0.038	0.037		
	ethyl-3-				
	ethoxypropianate	0.038	0.037		
	i-butyl isobutyrate	0.029	0.028		

IVOCs	dimethyl adipate	0.11	0.11		
	2-ethylhexyl				
	benzoate	0.067	0.033		
Ethers				0.016	0.106
	ethylene glycol				
VOCs	propyl ether	0.039	0.036		
	methyl carbitol	0.066	0.062		
	propylene glocol n- propyl ether	0.052	0.046		
	propylene glycol methyl ether acetate	0.029	0.028		
IVOCs	propylene glycol butyl ether	0.068	0.06		
	di(propylene glycol) methyl	0.12	0.11		
	ether	0.12	0.11		
**	butyl carbitol	0.16	0.15	0.011	0.0.00
Ketones				0.011	0.069
VOCs	methyl isobutyl ketone	0.013	0.013		
-	methyl amyl ketone	0.014	0.013		
	c9 ketones	0.029	0.028		
	cyclohexanone	0.0019	0.0012		
Amides				0.018	0.069
Amines				0.018	0.069
Aldehydes				0.018	0.069
Lists of compounds used to develop general SOA vields for each compound class IVOCs. The SOA					

Lists of compounds used to develop general SOA yields for each compound class I/VOCs. The SOA yields for the IVOCs and VOCs for each compound class were determined through selecting compounds which were reported in McDonald et al. 2018 that had relevant saturation concentrations  $[3 < log(C^*) < 10]$  and averaging their SOA yields. For the unclassified UCM as well as compound classes where there was not enough information on SOA yields the SOA yields for alkane I/VOCs were used.

## **Chapter 5**

## Conclusions

The first step to mitigating pollutant emissions is understanding them.  $PM_{2.5}$  comes from many different sources; anthropogenic and biogenic, as well as from both primary and secondary emissions. Additionally, emissions travel over time and space to impact locations sometimes many miles away from their point source. It is important to understand these different emission sources in order to take steps toward reducing emissions.

Findings in chapter two show the utility of deploying a low-cost sensor network. The high spatial and temporal resolution of the network allows for discerning between emission sources (e.g. traffic and industry) and an understanding of which areas are at higher risk of persistent pollutant enhancements. NO<sub>2</sub> and SO<sub>2</sub> were used as tracers for traffic and industrial emissions, respectively. Areas with high traffic experienced elevated PM<sub>2.5</sub> and NO<sub>2</sub> concentrations that were dominated by traffic emissions. While sites downwind of industrial sources experienced elevated PM<sub>2.5</sub> concentrations influenced by industrial point sources, indicated by higher SO<sub>2</sub> levels. Additionally, our investigations allowed us to explore whether or not there were connections between elevated pollutant concentrations and socio-economic factors like poverty and presence of minority populations. Although there have been studies that indicate such relationships exist on a national scale, we did not find such relations within our study domain. This highlights how concepts such as environmental justice are complex and need to be examined in location specific contexts. Our analysis demonstrated the value of a dense sensor network and its ability to capture temporospatial pollutant patterns that cannot be resolved

by the sparse network of regulatory monitors. The approach we use here could easily be replicated in other cities and we suggest further deployment of dense sensor networks throughout sparsely monitored locations.

In chapter three we further expanded the use of our low-cost sensor network. In response to the COVID-19 pandemic and the ensuing business and school closures pollutant concentrations decreased around the globe. On a local level our sensor network was able to capture the impact of modifiable factors (e.g. traffic, industrial emissions, etc.) on pollutant concentrations, particularly PM<sub>2.5</sub>. Over the course of the study we were able to discern a clear decrease in air pollution driven in large part by reductions in vehicle traffic. While the COVID-related shutdowns are unprecedented, they can offer insights into air pollution concentrations under future emissions scenarios. We found that a 50% reduction in vehicle emissions (which could result in a post-COVID world via tighter emissions standards or widescale adoption of electric vehicles) would drastically reduce the morning rush hour peak of PM<sub>2.5</sub>, CO, and NO<sub>2</sub>. This in turn would reduce acute exposures, especially in high traffic or near-road environments. We encourage further investigations to take place to better constrain the impact of transitioning the national fleet towards low or no-emitting vehicles.

In chapter four we turn our attention towards examining additional sources of ambient PM<sub>2.5</sub> and quantify volatile gas emissions from paints in order to predict their SOA mass yields. We found that I/VOC emissions from paints amount to 168.9 Gg per year in the U.S. and are projected to yield 8.0 Gg of SOA mass each year in the U.S. The paint emissions vary by paint type and use. Interestingly, findings suggest that the majority of the emissions from paints come from paints used outdoors and therefore can have a significant impact on SOA formation. It is important to continue to develop a thorough understanding of less traditional emission sources

like paints and other VCPs in order to better constrain where  $PM_{2.5}$  mass is coming from and move towards reductions. Additionally, we would recommend there be steps taken to minimize the IVOC components of outdoor paints which are the highest contributor to ambient SOA mass formation from paints.

Overall this work took a multi-faceted approach to understanding pollutant concentrations. We utilized the novel technology of low-cost sensor networks to understand patterns of PM<sub>2.5</sub> dispersion and evolution over time as well as other gaseous pollutants. We saw that local point sources from industry as well as traffic related emissions play a large role in variations in local ambient pollutant concentrations. We then took a zoomed out approach to understand a non-traditional source of PM<sub>2.5</sub>. We analyzed volatile organic emissions from paints (I/VOCs) and predicted SOA mass yields.

This work highlights many of the benefits of utilizing low-cost sensors in dense sensor networks. As research moves forward we would highly encourage the continued study of enhancing technologies of low-cost sensors to push them closer and closer to the data quality of regulatory monitors. As the accuracy and precision of low-cost air quality sensors increases the opportunities to further understand spatiotemporal variations in pollutant concentrations and their impact on people's daily lives will increase as well. Furthermore, we would urge that work be continued on the quantification of SOA mass formation from paints and other VCPs. Some next steps would be to conduct measurements of I/VOC emissions from paints and other VCPs under varying conditions as well as measuring actual SOA formed through use of an Oxidation Flow Reactor. Experimentation to measure SOA formed from paints to confirm the predictions made in this work which were based off of the theoretical SOA yields would be an important step toward further understanding sources of SOA. In all, in order to mitigate the unwanted

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environmental and health effects of  $PM_{2.5}$  and other pollutants it is imperative to fully understand not only real-time pollutant concentrations, but also emission sources as well as the evolution of those emissions over time and space.

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